

















# UTILITIES AND COMMON CARRIERS

ANNUAL REPORT | 2022





Serving the people of Kansas by regulating the State's energy infrastructure, oil and gas production and commercial trucking to ensure public safety.



# Inside... 3 | Electric 5 | Natural Gas and Pipeline Safety 6 | Telecommunications 7 | Transportation 9 | Public Affairs and Consumer Protection 12 | Appendix Corporation Commission

## **About the Commission**



Andrew J. French Chairperson



Dwiaht D. Keen Commissioner



Susan K. Duffv Commissioner

The Commission consists of three members appointed by the Governor to overlapping four-year terms. No more than two members may be of the same political party. The authority of the Kansas Corporation Commission is derived from K.S.A. 74-601 to 74-631.

The Commission regulates investor-owned utilities operating in the state, the Kansas oil and gas industry and motor carrier safety. The KCC does not regulate most electric cooperatives, water cooperatives, municipalities, wireless telephone, long distance service, cable companies, or internet services.

To carry out its multi-faceted mission, the Commission is financed from assessments, registration fees, operating charges, federal funds, and other fee funding sources. The KCC does not receive funding from the state general fund.

#### FY2021

94 **BUSINESS MEETINGS** 9 **EVIDENTIARY HEARINGS** П **PUBLIC HEARINGS** 

2,987

TOTAL ORDERS ISSUED BY THE COMMISSION IN FY2021



The Commission meets bi-weekly at 10 a.m. on Tuesday and Thursday. The meeting is broadcast live on the KCC's YouTube channel. To preview the agenda in advance, sign up for meeting notifications at:

https://kcc.ks.gov/

For more information, access the KCC Legislative reports at:

https://kcc.ks.gov/commission-activity/reports-to-the-legislature/2022



Prolonged arctic weather in February caused record demand for natural gas and electricity. At the same time, production declined. In Texas alone, production was down 45% due to wellheads freezing and lost electricity.

As the first signs of trouble began to emerge, KCC staff was in frequent contact with the Governor's office as well as its jurisdictional utilities to keep a pulse on the latest developments and determine the actions needed to mitigate the unprecedented situation. The top priority was to help ensure Kansans had sufficient heat for their homes amid sustained subzero temperatures, increasing energy demand, and natural gas supply constraints brought on by winter storm.



## Timeline of Events



## State of Disaster Emergency Declaration

Governor Kelly issues a State of Disaster Emergency Declaration.



## KCC issues emergency order to regulated utilities

The Commission directed utilities to do all things possible and necessary to ensure adequate amounts of natural gas and electricity to meet the needs of Kansas customer. With wholesale gas prices 10 to 200 times higher than normal, the order authorized regulated utilities to defer extraordinary costs for future review rather than immediately passing them on to ratepayers.



## Company specific investigations opened

The KCC opened seven company specific investigatory dockets to review costs incurred by each utility and minimize impact to consumers. The investigations will also address the cause of reduced natural gas supplies, high prices, supply and demand imbalances, and what steps can be taken to make sure utilities are better prepared for future extreme weather events.



KCC Chairperson Andrew French explained actions the agency was taking to help utility customers at Governor Kelly's press conference on February 17, 2021.





13 days

Freezing temperatures
February 7-19



\$300-\$400

Natural Gas prices per MMBtu February 13-16



\$600-\$1000

Natural Gas prices per MMBtu
February 17-18



1<sup>st</sup> in 80 years

SPP mandated controlled outages due to supply/demand imbalances.

February 15-16

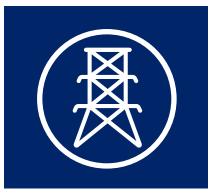
## UTILITIES



- Ensure sufficient and safe utility services at just rates.
- Enforce state and federal pipeline safety regulations.
- Administer Kansas Universal Service Fund (KUSF).









The Kansas Corporation Commission regulates the state's investor-owned electric companies: Evergy, Liberty-Empire, and Southern Pioneer. The KCC also has limited jurisdiction over the following: Sunflower Electric Power Corporation, Prairie Wind Transmission LLC, Midwest Energy Inc., and ITC Great Plains LLC.

The KCC regularly intervenes in electric matters at the Federal Energy Regulatory Commission (FERC) to protect the interests of Kansas ratepayers. The KCC also has representation in transmission matters at the Southwest Power Pool (SPP).

## The financial aftermath of winter storm Uri

As February's winter storm made its way through the state, the number one goal of the Commission was to keep power flowing and homes warm for Kansans, despite record demand and reduced supplies. When the extreme weather subsided, it was time to deal with the aftermath of extraordinarily high-energy costs and figure out a way to lessen the financial impact to ratepayers.



The Commission opened individual company-specific general investigation dockets to examine the how prepared jurisdictional electric and natural gas utilities were for the weather event, how they responded and how the event will affect its Kansas customers. Each utility was ordered to develop a plan to minimize the financial impact of the extreme wholesale energy prices for customers.

Most utilities are requesting to recover the costs over a three to five year period, depending on the magnitude of their exposure to the event and the affordability of the plan for customers. The Commission must approve all plans.

### **February Weather Event Extraordinary Costs**

\$317,914	No filing yet (estimate \$10.5 million)
Atmos	Evergy Central
\$88 million	\$147.4 million
Black Hills	Kansas Gas Service
\$87 million	\$358 million
City of Eskridge	Southern Pioneer
\$1.1 million	\$17 million
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Commission approval is required before consumer payment plans can be implemented. Cost recovery plans have been approved for American Energies and the City of Eskridge. Requests are pending for Southern Pioneer, Evergy Central, Kansas Gas Service, Black Hills and Atmos. As of the time of this writing, Empire District Electric Company has yet to submit its cost recovery plan.





## **Securitization offers finance option**

The Utility Financing and Securitization Act, passed by the 2021 Legislature, provides KCC jurisdictional utilities with a new financing option to pay for energy transition costs and qualified extraordinary costs. If approved by the Commission, a utility can access special low cost financing secured by ratepayers. Since the cost savings are passed on to customers, securitization can result in substantial benefits to ratepayers.



"If done correctly, securitization appears to present the opportunity to lower ratepayer costs while giving the utility certainty that it will be allowed to recover stranded costs created by the early retirement of generation units or other extraordinary utility costs," said Justin Grady, KCC Chief of Revenue Requirements, Cost of Service and Finance in legislative testimony on the bill.

Two regulated utilities, Kansas Gas Service and Atmos Energy, are currently pursuing securitization to recover extraordinary costs due to the February winter storm.

## **Detailed review of Evergy STP**

The Commission continued its investigation into Evergy's Sustainability Transformation Plan (STP) in 2021. Following Evergy's agreement with Elliott Management, to increase shareholder value by developing a standalone plan to cut costs or pursuing a merger, there was concern that Evergy's customers could be at risk for paying higher rates or receiving diminished service quality.

Four virtual workshops were held giving the Commission and interested parties a chance to learn more about the STP and ask questions. The workshops were broadcast on the KCC YouTube channel. A public comment period, opened to engage ratepayers in the process, drew 1,472 responses.

In an order on November 23, the Commission emphasized the importance of achieving and maintaining regionally competitive rates and that shareholder interests should not be prioritized to the detriment of ratepayer interests. The Commission also asked for more transparency on future spending increases.

#### Electric Generation Mix in Kansas | October 2020 to September 2021



Source: U.S. Department of Energy, The Energy Information Administration (EIA)



# Protections remain in effect for utility ratepayers

Since March 2020, the
Commission has acted to help
Kansans, struggling with the
effects of the COVID-19 pandemic,
maintain utility service. Those
actions included a disconnection
moratorium through May 31, 2020
for all jurisdictional utilities. At
the expiration of the moratorium,
the Commission enacted minimum
consumer protections that will
remain in place through the
duration of the pandemic.

The minimum protections apply to all jurisdictional electric, natural gas, and water utilities and include the following: utilities are required to offer a payment plan of up to 12-months for all existing customer delinquent balances and waive all late fees that accumulated during the pandemic and period of repayment. If two payment agreements are broken, customers can be subject to disconnection.



For detailed information on utility regulation, access the KCC Legislative reports at:

https://kcc.ks.gov/commission-activity/ reports-to-the-legislature/2022

## UTILITIES

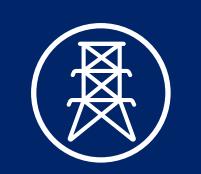


Pipeline Safety Penalty Orders
with \$19,500

in assessed fines.\*

\*Fines are payable to state general fund.







KCC Energy Operations and Pipeline Safety staff play an important role in keeping Kansans safe in their homes and neighborhoods. They enforce federal and state pipeline safety regulations ensuring the safe operation of all gas utilities. The staff also provides technical expertise relating to electric utility operations, reliability, safety, electric transmission siting, and electric and gas consumer service, as well as analyzing requested changes to natural gas and electric certificates.

The KCC regulates three large (annual operating revenue greater than \$10 million) natural gas distribution utilities: ATMOS Energy Corporation, Black Hills/ Kansas Gas Utility Co., and Kansas Gas Service, a Division of ONEOK, Inc. In addition, the Commission Staff monitors the rate and tariff activities of several interstate pipelines that serve Kansas local distribution companies, towns, and other large volume gas users.

## On-site inspections ensure compliance and uncover potentially dangerous problems

Pipeline safety personnel conduct on-site inspections of intrastate natural gas facilities to monitor the gas systems for any areas of non-compliance with safety regulations. A typical audit covers the operator's management of the integrity of the pipeline delivery system including such topics as review of emergency response plans, odorization requirements, cathodic protection, leak surveys, and operator qualification requirements. Engineers and pipeline safety inspectors also investigate any incident where natural gas is suspected to be the cause. Their findings are reported to the Commission.



Pipeline safety personnel also investigate violations of the Kansas Underground Utilities Damage Prevention Act (KUUDPA). Excavators and utility operators are required, by KUUDPA, to request locates prior to digging and locating facilities within 48 hours of being notified of pending excavation work.

Underground Damage Prevention Statistics for Calendar Year 2020								
LOCATION	TOTAL GAS DAMAGE INCIDENTS	GAS DAMAGES INVESTIGATED	GAS LOCATES REQUESTED	DAMAGES PER 1000 LOCATES				

LOCATION	TOTAL OAS DAMAGE INCIDENTS	ONS DAIMAGES INVESTIGATED	OAS LOCATES REQUESTED	DAMAGES I EN 1000 EOCATES
Johnson County	362	218	221,432	1.6
Sedgwick County	222	137	140,975	1.6
Remaining State	391	136	170,224	2.3

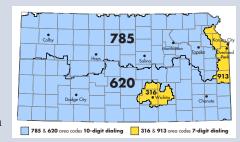


## **Telecommunications**

KCC Telecommunications staff is responsible for administering the Kansas Universal Service Fund as well as researching, investigating, analyzing and making recommendations to the Commission on all telecommunications matters. Staff is also involved in Federal Communications Commission cases and participates on National Association of Regulatory Utility Commission (NARUC) subcommittees and Federal-State Joint Boards.

## Ten-digit dialing comes to Kansas

Kansans that live in area codes 785 and 620 were in for some changes when the Federal Communications Commission (FCC) approved 988 as the new abbreviated number for the National Suicide Prevention Lifeline in July 2020. In order to implement the new number, any area code using the 988 prefix in telephone numbers was required to make the



switch to 10-digit dialing. In total, 82 area codes in 36 states made the change.

The FCC initiated a practice period in April allowing both 7-digit and 10-digit dialing to help callers adjust to the change. On October 24, 10-digit local calling became mandatory with the exception of any three digit abbreviated numbers available in the community such as 911. Callers still dial 1+ the area code for all long distance calls.

Beginning July 16, 2022, persons in crisis can dial 988 to reach the National Suicide Prevention Lifeline. Until then, callers will continue to dial 1-800-273-8255 (TALK).

## Kansas Universal Service Fund

The Kansas Universal Service Fund (KUSF) was established by the Commission in 1996, as required by the Kansas Telecommunications Act. Now in its 25th year, the KUSF continues to provide much needed services to Kansans. Those services include telephone discounts to low-income families, phone services and adaptive equipment for persons with disabilities, and network enhancements and upgrades in rural areas.

All telecommunications companies must contribute to the KUSF. Assessments are a percentage of intrastate (within Kansas) retail revenues. Companies are allowed to pass the assessment on to its customers, but not required to. Effective March 1, 2021, the current KUSF funding requirement is \$40.5 million. The carrier assessment rate is 10.84%.

#### How are KUSF dollars used?\*

_		
•	Enhancements/upgrades in rural areas	\$38.9 million
•	Lifeline Program	\$306,800
•	Kansas Relay 711	\$428,400
•	Telecommunications equipment program	\$301,100
•	Fund administration/carrier audits	\$515,600





## UTILITIES



FY2021



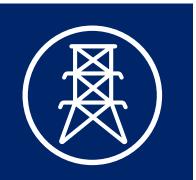
Telecom Penalty Orders
with \$3,155.18
in assessed fines.\*



Show Cause Orders with \$5,714.21 in assessed fines.\*

\*Fines are payable to state general fund.
The KCC receives no funding from the state general fund





## **TRANSPORTATION**



- Provide regulatory oversight of motor carriers.
- Conduct audits, inspections and licensing.
- Promote safety through education.



Transportation Penalty Orders
with \$130,050

in assessed fines.\*

\*Fines are payable to state general fund.
The KCC receives no funding from the state general fund.





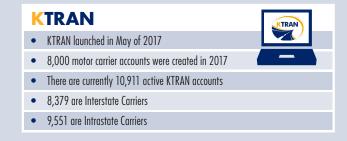
The role of the KCC Transportation Division is to ensure appropriate and effective regulatory oversight of motor carriers, with the goal of protecting the public interest and promoting safety through comprehensive planning, licensing, education, and inspection. Transportation Staff conducts both comprehensive and focused off-site investigations and audits on new and existing motor carriers, taking appropriate action upon discovering unsafe conditions. The staff works closely with the Kansas Highway Patrol and the Federal Motor Carrier Safety Administration to reduce crashes involving motor carriers through promotion of safety education.

## Four state agencies team up to make Kansas roads safer and their efforts are paying off

The combined efforts of four state agencies are making it safer to travel on Kansas roads. The KCC Transportation Division reports that nearly 98% of the state's motor carriers have met Unified Carrier Registration (UCR) requirements for 2021. The national average is currently 80.97%.

The Kansas Highway Patrol, the Kansas Department of Revenue, and Kansas Department of Transportation are working with the KCC to ensure compliance and promote safety. Kansas has been the top performer in UCR registrations since the program's inception in 2007. Currently, 41 states participate in the UCR program. All motor carriers based in or traveling through a participating state are required to register and pay an annual UCR fee based on number of vehicles they operate. The UCR fees collected fund safety education and motor carrier enforcement efforts. Those efforts are paying off. Statistics show Kansas-based motor carriers were involved in fewer crashes from 2018-2020 than the national average and the average of similarly situated Midwest states.

KTRAN, an online tool launched by the KCC in 2017, has benefited the industry and regulators alike. Motor carriers have the ability to view and manage their operating authority, driver waiver and UCR registration 24/7. They also receive messages, emails and timely alerts. Regulators and law enforcement have access to the database to help ensure safety compliance leading to safer roadways for the motoring public.



For detailed information on motor carriers, access the KCC Legislative reports at:

https://kcc.ks.gov/commission-activity/reports-to-the-legislature/2022

## Driver education is the key to increased safety and compliance

Educating motor carriers about potential hazards they may encounter, as well as teaching ways to mitigate risk and stay up-to-date on compliance requirements, makes travel in Kansas safer for everyone.



The shift from in-person to virtual safety classes has been well received. KCC instructors report active participation from motor carriers.

The KCC conducts free educational safety seminars.

New and out-of-compliance carriers are required to attend, but the seminars are open to all operators. KCC safety classes also incorporate training from Truckers Against Trafficking, a national group that teaches motor carriers how to identify and report human trafficking activity they may observe while traveling the nation's highways.

Prior to the COVID pandemic, six in-person classes were conducted each month at locations throughout the state. Now, the seminars are virtual and held three times per week. The change has been well received. Motor carriers can now attend from their homes or offices saving time and travel expenses while keeping interaction between instructors and participants at a high level.

Motor carriers are notified of seminar dates at the time they apply for KCC authority and the Division sends e-mails and invitations to each Kansas-based motor carrier that applies for and receives a new USDOT number. Safety seminar information is also located on the KCC website.

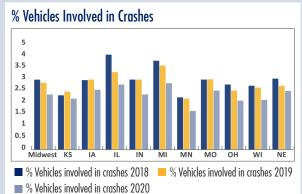
## Local help available for motor carriers

To supplement the motor carrier support provided by the KCC's Transportation Division office in Topeka, investigators are located in assigned regions throughout the state. These local resources respond to specific questions that come up in the field and conduct compliance investigations.

Investigators are located in the following cities: Concordia Colby, Garden City, Hutchinson, Lawrence, Mulvane, Parsons, Osawatomie, and Topeka.



## Safety & Compliance



The Transportation Division's focus on safety is showing results. In comparing accident data from 10 similar states, Kansas has ranked second lowest over the past three years.

#### Roadside Inspections & Out-of Service (OOS) rates

KANSAS INSPECTIONS	FEDERAL	STATE	TOTAL
Driver's Inspections*	104	33,926	34,030
with Driver OOS Violation	8	1,971	1,979
Driver OOS Rate	7.69%	5.81%	5.82%
Vehicle Inspections**	104	24,427	24,531
with Vehicle OOS Violation	27	3,594	3,621
Vehicle OOS Rate	25.96%	14.71%	14.76%
Hazmat Inspections***	20	2,815	2,835
with Hazmat OOS Violation	1	210	211
Hazmat OOS Rate	5.00%	7.46%	7.44%

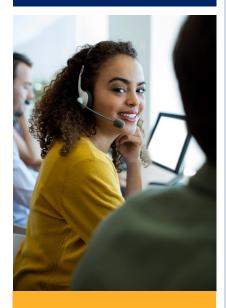
- \* Driver Inspections were computed based on inspection levels I, II, III and VI.
- \*\* Vehicle Inspections were computed based on inspections levels I, II, III and VI.
- \*\*\* Hazmat Inspections were computed based on inspection levels I, II, III, IV, V, and VI, when HM is present.

Inspections uncover violations that can put both drivers and vehicles out of service until the issue is remedied.

## Kansas scores high in Unified Carrier Registration compliance CY2021 Midwest Region as of 9/14/21

JURISDICTION	REGISTERED CARRIERS	PERCENT COMPLIANT
Nebraska	8,288	87.04%
Missouri	13,526	85.8%
Ohio	21,198	84.06%
Illinois	30,036	86.38%
Indiana	15,560	86.38%
lowa	9,943	80.78%
Wisconsin	11,109	82.82%
Michigan	12,210	84.38%
Minnesota	13,834	80.10%
Kansas	8,543	96.47%
Nationwide	589,926	80.64%
Source: National Registration System		

## **PACP**



FY2021

1,939 **Complaints** 

\$23,642.88

in disputed charges returned to customers

1,114 **Public** Comments

recorded





## **Public Affairs and Consumer Protection (PACP)**

The KCC Office of Public Affairs and Consumer Protection (PACP) supports the core mission of the agency by educating and protecting the general public on regulatory issues. PACP staff assists Kansas utility customers when they have questions or need help in resolving a service and billing issue with a regulated utility. In addition, the staff provides information about assistance programs and encourages community involvement and input through public hearings and comment sessions on matters important to Kansans.

## Community outreach plays an important role

Public input is an important part of the regulatory process. PACP plans and promotes public hearings and comment periods on issues important to Kansas ratepayers, giving them a forum to share their opinions and get more information. Rate change requests from utilities are the most common matters discussed at public hearings. However, public meetings are also held on some types of transmission line siting projects.

Many public hearings are held virtually. Members of the public don't have to leave their homes to be an active participant. For those that prefer to watch, livestreaming and recordings are available for online viewing. There are many convenient ways for the Kansans to submit a public comment on rate cases and other dockets with comment periods – online, email, via letter or a phone call to the Public Affairs office.

#### **View Commission events**

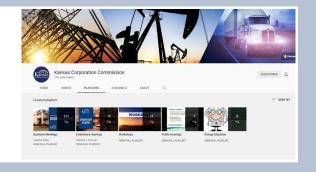
YouTube Watch live or later

**Commission Business Meetings** 

**Evidentiary Hearings** 

**Public Hearings** 

**Informational Workshops** 



#### **Get Assistance**

#### We are here to help

- Ask a auestion
- Get help resolving a utility issue
- Find resources

800-662-0027 785-271-3140

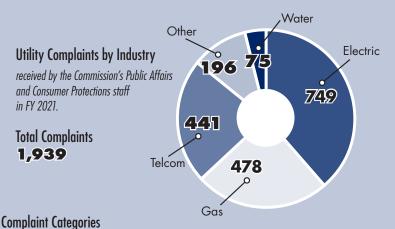
public.affairs@kcc.ks.gov

http://kcc.ks.gov/

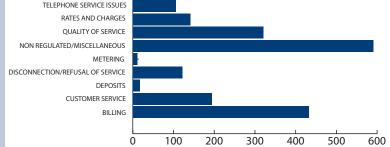


## **Advocating for Kansas Customers**

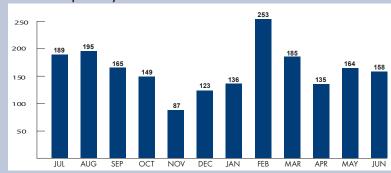
Consumers from all parts of the state turn to the KCC for help with utility issues. Our Public Affairs staff answers consumer questions, investigates complaints, and works to reduce the amount of time it takes to resolve disputes between the customer and the utility. Nearly 80% of complaints worked are resolved in one day.



#### TELEPHONE SERVICE ISSUES RATES AND CHARGES **OUALITY OF SERVICE** NON REGULATED/MISCELLANEOUS



#### FY 2021 Complaints by Month



#### Complaint - 5 year Trends





## **Assistance Referral**

PACP staff is instrumental in making Kansans aware of programs and services available to help them. Explaining the protections offered by the Cold Weather Rule, phone and internet discounts offered by the Lifeline program, and referrals to public assistance agencies.













For more information contact PACP at: 800-662-0027 or 785-271-3140 1500 SW Arrowhead Rd., Topeka, KS 66604 public.affairs@kcc.ks.gov



## KCC | Quick Reference

#### Main Office

1500 SW Arrowhead Road Topeka, KS 66604-4027 (785) 271-3100

#### **Consumer Inquiries/Complaints**

(800) 662-0027 (785) 271-3140 public.affairs@kcc.ks.gov

#### **Conservation Division**

266 N. Main St., Ste. 220 Wichita, KS 67202-1513 (316) 337-6200

#### **Transportation Division**

(785) 271-3145 transportation@kcc.ks.gov

#### **Legislative Contacts**

- Lynn Retz, Executive Director

   (785) 271-3170
   (785) 806-4047

   I.retz@kcc.ks.gov
- Peter Barstad, Legislative Liaison
   (785) 271-3188
   (785) 633-6226
   p.barstad@kcc.ks.gov

## KCC Website https://kcc.ks.gov/

#### Visit our website to find:

- Business meeting calendar
- File a utility complaint
- View a Docket
- Latest regulatory statistics
- Legislative Reports
- Details on public hearings and comment opportunities
- Energy Education resources



Follow us on Twitter and YouTube



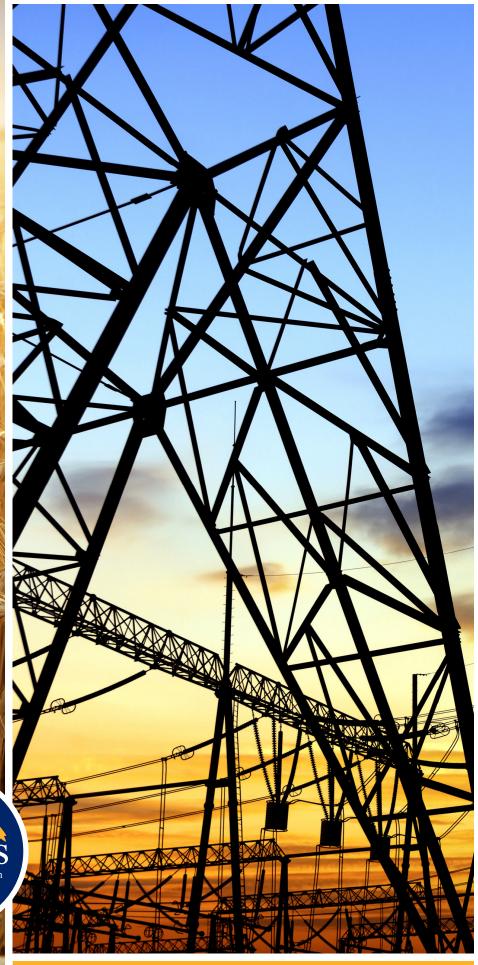


We serve the people of Kansas...

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The Utilities Division is responsible for administering the laws and regulations applicable to jurisdictional electric, natural gas, telephone, and water utilities. The Utilities Division is comprised of four sections that are responsible for providing recommendations and advice to the Commission to promote and ensure sufficient, efficient, and safe utility services at reasonable and non-discriminatory rates to consumers.

The four sections within the Utilities Division are Accounting and Financial Analysis, Economics and Rates, Energy Operations and Pipeline Safety, and Telecommunications. These sections are responsible for the following:

- 1. The Accounting and Financial Analysis section is responsible for investigating, analyzing and making recommendations to the Commission on accounting and financial issues relating to the electric, natural gas, telecommunication, and water industries. These issues include the development of a revenue requirement for rate cases and KUSF audits as well as yearly surcharge filings. The section is also involved in Federal Energy Regulatory Commission (FERC) cases and participating in Southwest Power Pool work groups.
- 2. The Economic Policy and Rates section is responsible for investigating and making recommendations on economic issues relating to the natural gas, electric, and telecommunications industries to the Commission as well as the Kansas Legislature and other state agencies. In rate cases, this section is also responsible for evaluating the class cost of service and developing a rate design.
- 3. The Energy Operations and Pipeline Safety section provides technical expertise relating to electric utility operations, reliability, safety, electric transmission siting, and electric and gas consumer service, as well as analyzing requested changes to natural gas and electric certificates. The section has primary responsibility to ensure safe operation of all gas utilities through enforcement of federal and state pipeline safety regulations. The section also has the responsibility to ensure the safe operation of electric utilities under the provisions of K.S.A. 66-101h.
- 4. The Telecommunications section is responsible for researching, investigating, analyzing, and making recommendations on courses of action for all telecommunications matters that come before the Commission. This section is also involved in Federal Communications Commission cases and participating on NARUC subcommittees and Federal-State Joint Boards.

#### Major Issues for FY2021

#### **WINTER STORM URI**

- From February 7, 2021, through February 19, 2021, Kansas and many other states throughout the Midwest experienced a severe and prolonged stretch of unseasonably cold temperatures. It was below freezing for thirteen straight days, with the temperatures during most of that time in the single digits to below zero. This period of prolonged arctic weather caused record demand for natural gas and electricity. At the same time, natural gas production in Texas declined by 45% due to wellheads freezing and lost electricity (weather and or firm load shed).
- In response to these weather conditions and supply constraints, Governor Kelly issued a State of Disaster Emergency Declaration on February 14, 2021. The Commission issued an Emergency Order in Docket No. 21-GIMX-303-MIS directing jurisdictional utilities to do all things necessary and possible to ensure natural gas and electricity service continued to be provided to their customers. Utilities were also directed to coordinate efforts and take all reasonably feasible, lawful, and appropriate actions to ensure adequate delivery of natural gas

and electricity to interconnected, nonjurisdictional utilities in Kansas.

- Lastly, utilities were directed to record extraordinary costs associated with the weather event in a regulatory asset account and then file a compliance report presenting the costs of the event and a plan to minimize the financial impacts to customers.
- Record demand for natural gas for home heating and electricity generation, amid reduced supplies from falling temperatures, led to a historic increase in the wholesale market price for natural gas. Natural gas prices of \$300-\$400 per MMBtu were widely reporting during the weekend of February 13-16, with prices of \$600-\$1,000 per MMBtu reported on February 17-18. During this time period, the daily Southern Star Central Gas Pipeline index reached a high of \$622.78.
- Operational flow orders (OFOs) were issued by both interstate gas pipelines and natural gas distribution companies in Kansas. Additionally, natural gas distribution utilities issued curtailment notices to customers. As a result, customers experienced periods of gas curtailment, including transportation customers and other interruptible customers.
- Record natural gas prices and demand for electricity led to record wholesale electricity prices in the SPP Integrated Market (IM). On Feb. 15, SPP's day-ahead market price reached an all-time high of \$4,274/MWh. For comparison, the average price of energy in SPP's dayahead market during 2020 was \$17.81/ MWh. These market prices were not isolated to a few pricing intervals, the average on-peak day-ahead locational marginal price in SPP's IM from February 15-19 averaged 11,280% higher than the average over the last five years for those same dates. In addition to elevated market prices in the IM, supply and demand imbalances within SPP required it to take the extraordinary step of mandating load shedding for the first time in its 80-year history. This occurred in two instances,

- 641 MW (1.5%) of load for 50 minutes on February 15, and 2,700 MW (6.5%) for a little more than three hours on February 16
- On March 9, 2021, the Commission opened individual company-specific general investigation dockets to examine the events leading up to, and occurring during and after, Winter Storm Uri. The investigations will examine how each jurisdictional electric and natural gas utility was prepared for the cold weather event, how each responded during the event, and how the event will impact each utility's Kansas customers.
- Each jurisdictional utility in Kansas was ordered to present a plan in their individual dockets to minimize the impact of the extreme wholesale energy prices for their customers. Most utilities are requesting to recover these costs over a three to five year period, depending on the magnitude of their exposure to the event and the affordability of the plan for customers.
- The Commission has approved cost recovery plans for the City of Eskridge and American Energies Gas Service, with cost recovery requests still pending for Southern Pioneer Electric Company, Evergy, Kansas Gas Service, Black Hills and Atmos. As of the time of this writing, Empire District Electric Company has yet to submit its cost recovery plan.

#### **COVID-19 RESPONSE**

• In response to the novel coronavirus outbreak (COVID-19), the Commission opened one general investigation Docket and processed several more in the months that followed. On March 16, 2020, the Commission issued an Order in Docket No. 20-GIMX-393-MIS that suspended all disconnection activity for electric, natural gas, telecommunication, and water utilities through April 15, 2020. The Commission subsequently issued two more orders suspending disconnects through May 31, 2020. On May 21, 2020, the Commission adopted certain minimum customer protections that were required to apply to all electric, natural

gas, and water utilities. The protections were as follows: utilities were required to offer a payment plan of up to 12-months for all existing customer delinquent balances, waive all late fees that accumulated during the pandemic and period of repayment, and a customers had to break a payment arrangement twice before being disconnected. Additionally, the Commission allowed utilities to defer the negative financial effects of these minimum customer protections (increased bad debt expense and foregone late fee revenue) until their next rate case. These minimum consumer protections applied through the end of Calendar Year 2021.

- On December 8, 2020, Commission Staff filed a Report and Recommendation detailing the impact that the COVID-19 pandemic had thus far on utility companies and the ratepayers they serve. The report provided an update to the Commission on the following data being tracked and monitored at the time:
  - Number of Residential and Commercial Customers:
  - Number of Involuntary Disconnections;
  - Number of Reconnections;
  - Number of Voluntary Disconnections;
  - Total Outstanding Arrearage Balances;
  - Number of Customers with Delinquent Accounts;
  - Number of Residential Customers on COVID-19 Payment Plans;
  - Average Residential Payment Plan Amount;
  - Number of Customers Unable to Keep Payment Plan Arrangements;
  - Net Change in Customer Count (Residential and Commercial);
  - Net Residential Customer Disconnections (Net of Reconnections); and

- Running Total of Residential Disconnections as a % of Total Residential Customers.
- Based on the data analyzed at the time, Staff recommended that the Commission's minimum customer protections were working as intended to allow customers to pay down their arrearages, while at the same time avoiding mass disconnections of utility service. Staff recommended that the Commission's minimum customer protections should extend beyond the 2021 calendar year, through the official end of the COVID-19 pandemic.
- On December 15, 2020, the Commission approved Staff's recommendation to extend the minimum customer protections being afforded to customers as a result of the COVID-19 pandemic. However, the Commission also ordered Staff to investigate whether an additional suspension of disconnections was warranted. The Commission required Staff to file this analysis/report by January 8, 2021, and allowed others to respond by January 15, 2021.
- On January 7, 2021, Staff filed another Report and Recommendation addressing the Commission's specific question of whether the Commission should reestablish a statewide moratorium on disconnection of utility services. Staff reviewed updated unemployment rates in the State, as well as updated customer accounts data being submitted monthly/ quarterly by utilities. Additionally, Staff reviewed the status of other States' moratoria on utility disconnections across the country. Ultimately, Staff concluded that this was a subjective policy decision for the Commission to make, but Staff did not recommend reinstating a statewide ban on utility disconnections at the time.

## EVERGY AGREEMENT WITH ELLIOTT MANAGEMENT

• On February 28, 2020, Elliott Management (an asset management firm that manages \$40 billion in assets) and Evergy entered into an agreement that called for the creation of a new Strategic Review and Operating Committee

- (SROC) that would report to Evergy's Board to evaluate ways to increase Evergy's shareholder value. The SROC's designated role was to consider either a Modified Standalone Plan or a Merger Transaction.
- On June 18, 2020, the Commission opened a general investigation into Evergy's agreement with Elliott, in Docket No. 20-GIME-514-GIE (20-514 Docket). The Commission expressed a concern that Elliott's focus on increasing shareholder value would place Evergy's customers at risk of paying higher rates or receiving diminished service quality. The Commission also ordered Staff to review the SROC and Board materials pertaining to the Elliott engagement and the ongoing strategic review.
- On August 5, 2020, Evergy announced that its Board of Directors had approved a Modified Standalone Plan its new Sustainability Transformation Plan (STP).
- On August 13, 2020, Evergy submitted a report in 20-514 Docket addressing the questions that were required by the Commission's Order opening the general investigation, and providing information about its STP.
- On August 27, 2020, the Commission issued an Order Opening General Investigation, in the 21-EKME-088-GIE Docket (21-088 Docket), to evaluate and investigate the STP.
- On November 11, 2020, the Commission issued Order Establishing Procedural Schedule including a directive that all work studies were to be conducted online due to the COVID-19 pandemic. The schedule approved by the Commission called for a set of three public workshops and formal comments filed by the intervenors in order to evaluate Evergy's STP.
- On December 3, 2020, the Commission conducted a public workshop involving the Grid Modernization Investments/ Efforts that are part of Evergy's STP. The workshop can be viewed on YouTube at: https://youtu.be/D9r59lB15bc

- On December 21, 2020, the Commission conducted a public workshop involving the Operational Efficiencies and Related Benefits of the STP. The workshop can be viewed on YouTube at: https://youtu.be/ HfpNyBhsmZM
- On January 21, 2021, the Commission conducted a public workshop involving the Evergy's Customer Experience as it relates to the STP. The workshop can be viewed at the Commission's YouTube Channel at: https://youtu.be/I4tyGg4wZas
- On April 14, 2021, Staff filed Comments on Evergy's STP and the Topics Addressed During Commission Workshops. In our comments, Staff stated that we viewed Evergy's STP as a balanced and reasonable plan that has the potential to improve Evergy's regional rate competitiveness and service reliability. Staff also suggested several refinements that Evergy should make to the STP in order to gather true public acceptance of the plan.
- On May 24, 2021, Evergy completed its fourth and final workshop before the Commission in which it responded to the comments filed by parties to this Docket. This workshop can be viewed at the Commission's YouTube channel here: https://youtu.be/LH3bliz\_-mo
- The Commission issued an order on November 23, 2021 noting concerns and adding reporting requirements to evaluate the STP's progress toward regionally competitive rates.

## SIGNIFICANT INCREASES IN CAPITAL INVESTMENT

• In recent years, the momentum to decarbonize the electric generation sector in the United States has accelerated dramatically, with 35 Edison Electric Institute members having adopted a netzero carbon emissions target by 2050. This is up from 24 members this time last year. This movement has been driven by both environmental concerns about greenhouse gasses emitted by fossilfueled generation sources as well as the

recent significant declines in the all-in cost of energy production from renewable generation sources. In addition, many major banks, investment firms, and rating agencies have adopted policies designed to limit their exposure to risk associated with utilities that have coal-fired generation. This movement has the potential to drive significant capital investment to replace existing generation assets much sooner than the current net-zero carbon emissions target date of 2050. The timing of any retirement of existing generation, what generation technology will be used to replace any retired assets, and the ultimate rate impact of these decisions is undetermined at this time. These decisions, when made, are expected to be evaluated in Integrated Resource Planning (IRP) Dockets or Predetermination proceedings filed with the Commission pursuant to K.S.A. 66-1239. One potential tool to address the undepreciated book value of any existing generation assets that are retired is asset Securitization. Securitization legislation was enacted into law during the 2021 Legislative Session.

- Another issue becoming prevalent in the electric utility industry is hardening, and in some case replacing, the aging distribution network of electric utilities to improve both reliability and resiliency and to set the stage for what many view is the coming surge in electric vehicle adoption. The aging distribution network of the Kanas-jurisdictional electric utilities is an issue that has long been discussed at the Commission, and this issue is likely to be a major driver of additional capital investment in Kansas in years to come.
- The Southwest Power Pool continues to approve new transmission facilities to enhance reliability of the transmission system. The potential new investments in transmission assets are capital intensive and will continue to place upward pressure on utility rates to the extent corresponding reductions in fuel and purchased power do not offset these increases. While Every Kansas Central (formerly Westar) and Every Kansas Metro (formerly KCP&L) are under a base rate moratorium through January of 2024

- as a result of the Commission-approved merger in Docket No. 18-KCPE-095-MER, transmission costs for these utilities will continue to be passed through to retail customers with changes in each utility's actual transmission costs, in accordance with K.S.A. 66-1237.
- · Investor-owned natural gas distribution utilities in Kansas are facing aging infrastructure, some of which is constructed of materials that are considered to be obsolete or that present a safety risk. This has led to these gas utilities placing an increased emphasis on accelerating the rate of replacement of aging infrastructure. This effort has also increased capital expenditures levels and led to more frequent general rate cases. Senate Bill 279 took effect January 1, 2019, and amended K.S.A. 66-2202, 66-2203, and 66-2204. This bill expanded the definition of eligible capital investments that are recoverable through the Gas System Reliability Surcharge (GSRS) and doubled the size limitations previously in effect for the GSRS. With these revisions, Gas utilities in the state will be able to file for Commission approval of an annual cost recovery mechanism to recover up to \$.80 per month, per residential customer, in new revenues each year. This surcharge may recover up to 20% of a company's currently approved base revenue level. The surcharge may only be in effect for five years (with an additional year extension available upon Commission approval) until a rate case must be filed in order for the utility to regain eligibility to file for a new GSRS surcharge. Staff believes this newly expanded GSRS surcharge will alleviate the need for KGS and Black Hills to file frequent rate cases because this surcharge will cover substantially all of their currently projected capital investment. This is based on facts presented in KGS's 2019 rate case and Black Hills' public statements that it does not plan on filing a rate case until 2021. However, Atmos has a desire to accelerate its aging infrastructure replacement and capital expenditure levels beyond that allowed to be recovered through the GSRS, and
- thus is requesting supplemental alternative ratemaking mechanisms to cover this investment. For example, in Docket No. 19-ATMG-525-RTS (19-525 Docket), Atmos requested the System Integrity Program (SIP) tariff to recover these increased costs more frequently and with less time and expense than a rate case filing. On June 25, 2020, the Commission approved a revised version of Atmos's SIP tariff that was supported by the Citizens Utility Ratepayer Board (CURB) and the Commission Staff.
- Telecommunications companies are continuing to invest in new technologies, primarily to enhance broadband services. The investment in broadband services is generally driven by the FCC's National Broadband Plan, which has a goal of supporting the provision of broadband communications in areas that would be unserved or underserved without such support. However, the continued investment in new technologies should not increase the size of the KUSF. The 2013 Kansas Legislature passed House Bill 2201, which modified K.S.A. 66-2008. K.S.A. 66-2008, as revised, caps the KUSF at \$30 million for rate of return regulated carriers, caps support for price cap regulated carriers, eliminates KUSF support for electing carriers, and phased out KUSF support for competitive eligible telecommunications carriers.

#### Electric

#### **OVERVIEW**

The KCC regulates nine large (annual operating revenue greater than \$10 million) electric utilities. A list of the large electric utilities and a brief overview of the major activities for FY2021 are noted below. A summary of each major docket associated with the companies follows this narrative section along with a listing of all other electric dockets. Also included in this narrative section is a discussion of the Federal Energy Regulatory Commission (FERC) activity and transmission issues that the Utilities Division spent a significant amount of time on during FY2021.

#### LARGE ELECTRIC UTILITY COMPANIES

(Annual operating revenue greater than \$10M)

#### **Empire District Electric Company**

**Evergy Metro** (formerly Kansas City Power & Light Company)

**Southern Pioneer Electric Company** 

Sunflower Electric Power Corporation (Limited per K.S.A. 66-104d)

**Evergy Kansas Central** (formerly Westar Energy Inc.)

Wheatland Electric Cooperative, Inc.

**Prairie Wind Transmission, LLC** (Transmission Only)

**Midwest Energy, Inc.** (Limited per K.S.A. 66-104d)

ITC Great Plains, LLC (Transmission Only)

Three major electric utility companies filed an Application to change rates during FY2021.

Evergy, Inc., filed Docket No. 21-EKME-050-RTS on July 31, 2020, in order to reflect the elimination of state income tax rates from its rate structure and to comply with HB 2585 from the 2020 Legislative Session. Evergy proposed the following: (1) a total rate reduction of \$8,580,710 for Evergy Kansas Metro in one phase, effective January 1, 2021; and (2) a total rate reduction of \$21,629,594 for Evergy Kansas Central in three phases, with 25% of the total rate change effective on January 1, 2021; 25% of the total rate change effective on January 1, 2022; and the remaining 50% total rate change effective on January 1, 2023. Staff recommended, and the Commission ultimately approved these rate reductions, with a change to the sequencing of Evergy Kansas Central's reductions. For Evergy Kansas Central, the rate reductions will be phased in as 25% of the reduction in 2021, another 50% in 2022, and another 25% in 2023.

Empire District Electric Company filed Docket No. 21-EPDE-444-RTS on May

28, 2021. This was an abbreviated rate case approved in Docket No. 19-EPDE-223-RTS, and was limited to the following: (1) recovering the capital and operating costs relating to its acquisition of three wind projects; (2) accounting for the retirement of its Asbury coal fired generating plant; and (3) capturing the revenue requirement impact directly related to the non-growth plant and related accumulated depreciation and accumulated deferred income tax balances as of the end of the test year ending June 30, 2020.

Empire's Application indicated a gross revenue deficiency of \$4,465,956. Alternatively, Empire has proposed a fixed-price PPA-type approach to recover its wind projects. Staff is actively investigating this alternative. Staff and Intervenor testimony are due in this matter on January 13, 2022. A Commission Order is due May 27, 2022.

Southern Pioneer filed Docket No. 21-SPEE-411-RTS on May 31, 2021. Southern Pioneer requested approval of the following: (1) a net retail Formula Base Rate (FBR) adjustment of \$1,495,735, which was then allocated to its retail customer classes based on base revenues and converted to per kWh rate adjustments for each class; and (2) an adjusted Local Access Delivery Service (LADS) rate of \$4.29 per kilowatt (kW). Ultimately, the Commission approved a retail rate increase of \$1,466,997 and a revised LADA rate of \$4.28/kW.

#### **FEDERAL ISSUES**

The KCC intervened in several electric matters at the FERC during FY2021.

FERC Rulemaking Dockets:

• RM18-9 – FERC's final ruling (Order 2222) on the participation on distributed energy resource aggregation in wholesale markets. Distributed energy resources (DER) are any resource located on the distribution system or behind the meter. DER is a broad definition that can include decentralized, modular, and more flexible technologies. These systems provide energy, capacity and other

ancillary services. Order 2222 was issued on September 17, 2020, and it removed barriers to the participation of DER aggregations in the capacity, energy, and ancillary service markets operated by **Regional Transmission Organizations** (e.g. the Southwest Power Pool or SPP). RTO/ISO's must amend their tariffs to allow DER aggregators to participate in their markets. RTO's have until Spring 2022 to implement the changes required in Order 2222. The Commission has intervened in this docket at FERC and is actively participating in the stakeholder process at SPP. Currently, there is one company in Kansas operating as a DER.

- RM20-10 FERC's notice of proposed rulemaking (NOPR) regarding potential revision to its transmission incentives policy. The KCC intervened and provided comments addressing elements of the NOPR that it believes would produce unjust and unreasonable rates. Generally, the KCC takes issue with types of incentives that add basis points to public utility and independent system operator's ROEs. On April 15 2021, FERC issued a Supplemental NOPR proposing the following revisions to the prior NOPR: (1) limiting the return on equity adder for RTO participation to three years; (2) capping the RTO Adder at 50 basis points; (3) granting the RTO Adder only where a public utility voluntarily joins a Regional Transmission Organization; and (4) barring public utilities from receiving the RTO Participation Adder for transmission plant already under the operational control of an RTO. On June 25, 2021, the KCC issued supplemental comments on the NOPR generally in support of the revisions contained in the Supplemental NOPR. However, the KCC's continues to advocate for the complete elimination of the RTO Participation Adder. This issue is pending before FERC.
- RM21-17 FERC's advanced notice of proposed rulemaking requesting comments on building for future through electric regional transmission planning. The KCC filed comments recommending FERC focus on reforms that facilitate

the development of interregional transmission projects and improving regional transmission planning by providing guidance to RTOs. This matter is still ongoing.

FERC Dockets involving Kansas-Jurisdictional Utilities:

- ER19-2273 This filing was submitted by the Southwest Power Pool, on behalf of Sunflower Electric (Sunflower), to modify Sunflower's Transmission Formula Rate (TFR) to effectuate the merger between Sunflower and the Mid-Kansas Electric Company. This TFR was needed in order to combine the two different rate areas of Sunflower and Mid-Kansas, and to recognize the existence of the surviving entity, Sunflower. The Commission was integrally involved in this proceeding, and participated in settlement process. A Settlement Agreement was filed by Sunflower on November 12, 2020, and modified by Sunflower on November 18, 2020. The Settlement Agreement was certified by FERC on January 25, 2021, as modified, resolving all the issues that FERC set for hearing in the Docket.
- ER20-2239 This filing was filed by the Southwest Power Pool, on behalf of Kansas Power Pool (KPP), for the implementation of a new transmission formula rate to recover certain transmission facilities that were whollyowned assets of the City of Winfield, a member of KPP, to joint ownership of the assets by the City of Winfield assets with GridLiance High Plains LLC. The City of Winfield's transmission assets were previously recovered under a stated rate approved by FERC in Docket ER12-240. A settlement agreement was filed by KPP on June 21, 2021, which the KCC Staff was actively involved in negotiating. FERC certified the Settlement Agreement on August 21, 2021, resolving all issues set for hearing.
- EL21-18 KEPCo filed a formal challenge and complaint against Evergy asserting certain costs that Evergy recovers through its Generation Formula

Rate from KEPCo are unjust and unreasonable. The Commission remains active in settlement negotiations with the KEPCo and Evergy, and this matter is still ongoing at FERC.

#### SOUTHWEST POWER POOL

The Southwest Power Pool (SPP) was approved as a Regional Transmission Organization (RTO) by the Federal Energy Regulatory Commission (FERC) in 2004 and is, therefore, required to ensure reliable supplies of power, adequate transmission infrastructure, and competitive wholesale pricing of electricity. SPP is a non-profit corporation organized under the laws of the State of Arkansas and regulated by the FERC.

SPP is also a regulated public utility in Kansas, having obtained from the Commission a limited Certificate of Convenience and Authority to manage and coordinate use of certain transmission facilities and to develop an energy imbalance market. Simultaneously, the Commission authorized the existing electric public utilities in Kansas to transfer functional control of transmission facilities to and participate in an SPP energy imbalance market.

When SPP became an RTO, it had 131 employees and 48 members including 28 (58% of membership) investor-owned utilities, municipal systems, generation and transmission cooperatives and state authorities who served retail loads in parts of eight states (Arkansas, Kansas, Louisiana, Mississippi, Missouri, New Mexico, Oklahoma, and Texas). Three Nebraska public power utilities became members of SPP in 2008. On October 1, 2015, SPP membership expanded to include the Integrated Transmission System consisting of a portion of the Western Area Power Administration, Basin Electric Cooperative and the Heartland Consumers Power District. This integration, while contested by the KCC at FERC because of cost allocation concerns, added 5,000 MW of peak demand and 7,600 MW of generating

capacity to SPP's system. In 2019, SPP launched the first of its Western Energy Services, Western Reliability Coordination, expanding its service territory to encompass parts of 17 states. SPP currently has around 600 employees and 103 members directly or indirectly responsible for serving 19 million people in the 17 states, 552,000-square-mile region, including more than 60,000 miles of high-voltage transmission lines.

Commission interaction with the SPP and participation in RTO matters is authorized by K.S.A. 74-633 and K.S.A. 66-106. The SPP organization has created approximately thirty primary working groups/committees, as well as multiple subordinate task forces. The Commission is represented by one KCC Commissioner on the Regional State Committee (RSC), which consists of one regulator or other state representative from each of the states in the SPP. The Cost Allocation Working Group reports to the RSC and is composed of one voting staff member from each of the states, along with other state staff participants. The RSC fulfills an advisory role in SPP and does not "approve" any transmission projects. Its participation in the SPP process is mostly limited to providing collective state regulatory agency input and participation, as stated in the RSC By-Laws. However, the RSC does have specific authority over specific areas within SPP including cost allocation methodologies. For example, the RSC designed the original cost allocation methodology for committing retail ratepayer funds for construction of new transmission projects and has revised the methodology from time-totime. The RSC has no responsibility in approving transmission projects or their associated costs. Approval of specific transmission projects and their associated costs are ultimately approved for construction by the SPP Board of Directors. Thus, the KCC has no direct oversight through its SPP involvement over the amount of spending for Kansasspecific or regional transmission projects, which makes it imperative that the

Commission be represented in a liaison capacity on multiple SPP stakeholder committees and task forces.

The Kansas RSC member represented states' interest as a member of the Strategic and Creative Re-engineering of Integrated Planning Team (SCRIPT). The scope of activities for SCRITP includes, in part, improvements on SPP's transmission planning and study processes, in order to: (1) Develop more optimal transmission planning solutions that meet a broader set of customer needs; (2) Synergize analysis so that beneficiaries and cost-causers can be identified in a holistic and uniform fashion; (3) Improve planning efficiency, effectiveness, and timeliness; (4) Reduce the number of transmission planning model sets; and (5) Reduce reliance on customer-requested, queue-driven studies. On September 24, 2021, the SCRIPT team approved its report and sent it to the SPP Board of Directors for review and approval. The SCRIPT report included 35 recommendations and 11 sub-recommendations for business practices, policies, and tariff revisions, which will consolidate planning process development and improve planning processes. The SPP Board approved the SCRIPT recommendations at its October 2021 meeting.

Kansas also participated directly in SPP's Improved Resource Availability Task Force (IRATF). The IRATF was formed as a result of SPP's 2021 February Winter Weather Event Report. The Winter Weather Report identified four Tier 1 recommendations for immediate action that were assigned to the IRATF. The Tier 1 recommendations fall under fuel assurance and resource planning and availability. For fuel assurance, the report identified; (1) the development of policies that enhance fuel assurance to improve the availability and reliability of generation in the SPP region, and (2) the evaluation and, as applicable, advocacy for improvements in gas industry policies, including use of gas price cap mechanisms, needed to assure gas supply is readily and affordably available during extreme events. For resource planning and availability, the report identified; (1) perform initial and ongoing assessments of minimum reliability attributes needed from SPP's resource mix, and (2) improve or develop policies, which may include required performance of seasonal resource adequacy assessments, development of accreditation criteria, incorporation of minimum reliability attribute requirements, and utilization of market-based incentives that ensure sufficient resources will be available during normal and extreme conditions. The IRATF's work in ongoing.

## TRANSMISSION PLANNING AND CONSTRUCTION

Since its inception as an RTO, the SPP has added \$8.3 billion in new transmission projects.

The total ATRR for region-wide transmission projects is \$646 million as of October 2021. This represents annual capital cost recovery, operation and maintenance (O&M), and other transmission related expenses for regional projects that are in-service. These revenue requirements are recovered through FERC-approved Transmission Formula Rates (TFR), FERC-approved stated rates, or, in some cases, state approved TFRs. The current annual cost to Kansas ratepayers is approximately 17% of the annual transmission revenue requirement for the region, or \$109 million. The amount of expected transmission investment is currently expected to grow by approximately \$1.14 billion for the entire SPP footprint in the next three years as new SPP-approved projects come in to service. These projects are expected to come into service during the years 2020 through 2024, with relatively few new transmission projects currently expected after that timeframe.

#### **Grain Belt Express**

Clean Line Energy Partners (Clean Line) was certificated as a public utility by the Commission in December 2011. Clean Line subsequently filed a line siting application for the Grain Belt Express Clean Line Project and this project was approved by the Commission on November 7, 2013. On November 9, 2018, Clean Line entered into a purchase agreement to sell the Grain Belt Express assets to Invenergy Transmission LLC. The assets primarily consist of a proposed  $\pm 600$  kilo-volt (kV), high voltage direct current (HVDC) transmission line along with associated facilities capable of delivering 4,000 megawatts (MW) of power from Kansas to load centers east of Kansas. The project will originate in western Kansas near Sunflower's Spearville substation and traverse east across the state (approximately 370 miles) into Missouri where it will interconnect in the MISO RTO market at the St. Francis substation in southeastern Missouri. From there, the line will continue into Indiana where it will interconnect with the PJM RTO market at the Sullivan substation. The Project has been estimated to cost \$2.2 billion. This project will be funded through the sales of transmission capacity to wholesale customers (referred to as merchant transmission) and, therefore, will not be funded by Kansas retail ratepayers.

The project was approved by Indiana, Missouri, and Kansas. The Certificate it had received from the Illinois Commerce Commission was reversed upon appeal. After a July 2018 court ruling in Missouri, the decision of issuing a Certificate of Convenience and Necessity was remanded to the Missouri Public Service Commission (MPSC) for additional review. On March 20, 2019, the MPSC granted the Grain Belt Express a Certificate of Convenience and Necessity. On December 28, 2018, Invenergy Transmission LLC and Clean Line filed and Application seeking approval of Invenergy purchasing the Kansas assets of Clean Line. On June 18, 2019, issued an order approving the transaction with certain conditions The Kansas docket approving the siting of the proposed transmission line required the Grain Belt Express to begin construction

in Kansas by November of 2018. In an October 4, 2018, Order issued in Docket 13-GBEE-803-MIS (13-803 Docket), the Commission extended the sunset term of the siting approval to March 1, 2019, conditioned upon Grain Belt submitting evidence of its financial, managerial and technical ability to complete the Project. Some of the conditions contained in the settlement agreement that was approved as part of the sale of the Grain Belt assets to Invenergy are related to the sunset provisions contained in the 13-803 Docket. On September 26, 2019, the Commission replaced the 13-803 sunset provisions with requirements for Invenergy to meet specific deadlines to obtain easements in Kansas for the new line. Failure to meet the deadlines will subject Invenergy to financial penalties.

Wind Generation and the Renewable Portfolio/Energy Standard (RPS/RES)

The Renewable Energy Standards Act (RESA) (K.S.A. 66-1256, 66-1257, and 66-1259), part of Kansas House Bill 2369 which became law on May 22, 2009, established a required renewable energy standard for the state. In addition, RESA authorized and required the KCC to formulate rules and regulations in regards to the established renewable energy standard. In October 2010, the KCC finalized K.A.R. 82-16-1 through 82-16-6, establishing these rules and regulations.

Kansas Senate Bill No. 91 (SB 91), which was signed into law May 28, 2015, repealed and amended sections of RESA which, in turn, affected the KCC's rules and regulations that were authorized and required by RESA. Notably, the renewable energy standard for the state is now a voluntary goal that nameplate capacity from renewable energy generation resources be 20% of a utility's peak load demand by 2020. During 2021, each of the Kansas electric utilities submitted compliance filings with the Commission indicating that they had met the voluntary goal of 20% renewable energy compliance by year end 2020. Accordingly, on November

16, 2021, the Commission accepted the results of these compliance reports and closed the tracking dockets being used to accumulate this data.

#### Natural Gas

#### **OVERVIEW**

The KCC regulates three large (annual operating revenue greater than \$10 million) natural gas distribution utilities. A list of the large gas distribution utilities and a brief overview of the major activities for FY2021 are noted below. A summary of each major docket associated with the companies follows this narrative section along with a listing of all other natural gas dockets. Also included in this narrative section is a discussion of the Federal issues that the Utilities Division spent a significant amount of time on during FY2021.

#### LARGE GAS UTILITY COMPANIES

**ATMOS Energy Corporation** 

Black Hills/Kansas Gas Utility Co.

Kansas Gas Service, a Division of ONEOK, Inc.

During FY2021 there were three rate cases processed involving major natural gas companies.

On August 28, 2020, in Docket No. 21-BHCG-099-RTS, Black Hills filed to reflect its recent exemption from Kansas State Income Taxes, pursuant to HB 2585. Black Hills reduced its base rates by \$558,416 and its GSRS rates by \$159,037.

On August 28, 2020, Kansas Gas Service filed Docket No. 21-KGSG-097-RTS to reduce its Kansas rates to reflect its recent exemption from Kansas State Income Taxes pursuant to HB 2585. KGS' rates were reduced by \$4,874,974 as a result of this filing.

On May 7, 2021, Black Hills Energy filed Docket No. 21-BHCG-418-RTS, seeking an increase in its base rates of \$2.5 million. The company cited the following reasons for filing the case: (1) the requirement to file a full rate case in order to continue using the Gas System Reliability Surcharge; (2)

general cost increases since the last rate case; (3) additional investment in gas plant, property and equipment; (4) increases in wages, medical expenses, materials and supplier costs; (5) new depreciation rates for Black Hills' general plant; and (6) a request for the creation of a Tax Adjustment Rider in order refund excess deferred income taxes.

On October 8, 2021, the parties to this Docket presented a settlement agreement calling for no change in current rates, and a provision to refund \$3,024,315 in rate refunds each year for the next three years. The agreement is currently before the Commission and an order is due by December 30, 2021.

#### **FEDERAL ISSUES**

The Commission Staff monitors the rate and tariff activities of several interstate pipelines that serve Kansas local distribution companies, towns, and other large volume gas users. Chief among these is Southern Star Central, which transports 60% to 70% of all natural gas consumed annually in Kansas. Other interstate gas pipelines such as Kansas Pipeline Company, Cheyenne Plains Gas Transmission, Panhandle Eastern Pipeline, Tallgrass Interstate Gas Pipeline, and Northern Natural Gas are also monitored.

In addition to monitoring rate and tariff issues, the KCC intervenes in a variety of other filings by interstate gas transmission companies including: annual fuel and lost and unaccounted for gas filings (FL&U), construction and abandonment filings, tariff change filings, gas quality specifications filings, and storage dockets. The KCC also participates rulemaking and policy dockets that affect the cost of gas transportation.

During FY2021, the KCC intervened in the following important Natural Gas Dockets at FERC:

• RP19-59 and RP19-1353—Northern Natural Gas Section 5 Rate Investigation and Section 4 Rate Proceeding – This matter is the consolidation of an investigation into Northern Natural Gas Company's rates following the Tax Cuts and Jobs Act, and Northern Natural's filing of a Section 4 rate case filed in 2019. Northern sought to increase the rates by roughly 90% on its interstate pipeline system and make numerous tariff modifications. The parties entered into a Settlement Agreement on June 19, 2020. The Settlement Agreement contained a 28.5% rate increase, increase in Northern's filing, a rate moratorium until June 30, 2022, a Maintenance Capital Plan. The Settlement Agreement included the development of a Tariff Working Group and resulted in the withdrawal of numerous contentious tariff-related issues. The KCC participated actively in Settlement Proceedings and filed in nonopposition to the Settlement Agreement. The Settlement Agreement was approved by FERC on September 28, 2020. RP21-432 – Southern Star Central Gas Pipeline Modernization Surcharge Filing - This docket arose out of a settlement agreement in Docket RP19-289. In the RP-19-289. Southern Star Central agreed to reduce its rates to reflect the impact of lower tax rates from the Tax Cuts and Jobs Act and instituted a rate recovery mechanism for its Pipeline Modernization Program. The Pipeline Modernization Program allows Southern Star Central to recover its investment in pipeline infrastructure or technological upgrades of up to \$88 million per year of customer-approved modernization projects. Southern Star Central filed the surcharge filing to implement surcharge rates for its investment in modernization projects during 2019 and 2020. The KCC intervened in the filing and audited the Surcharge filing to determine if the rates were correctly calculated and complied with the Settlement Agreement. The KCC audit determined the rates were correctly applied. The surcharge filing was approved by FERC on February 23, 2021, and the surcharge rates are effective from March 1, 2021, until October 31, 2021.

 RP21-778 – Southern Star Section 4 Rate Case – On April 30, 2021, Southern Star filed a Section 4 General Rate Case at FERC requesting an annual increase in revenues of \$141.9 million from its natural gas transportation and storage customers. In addition, Southern Star is requesting to establish a pipeline modernization program, totaling \$528 million over a six-year period. Under the program, Southern Star would invest up to \$88 million per year to modernize its pipeline infrastructure, which would be billed through a cost-recovery mechanism (CRM) surcharge. The KCC is actively intervened, engaged in reviewing Southern Star's requested cost of service and modernization program, and participating in ongoing settlement discussions. If a settlement does not materialize, testimony is due on January 18, 2022, and an initial decision would be expected September 19, 2022.

#### Natural Gas Pipeline Safety

#### **OVERVIEW**

Pipeline safety personnel continue to conduct on-site inspections of intrastate natural gas facilities. The inspections monitor the gas systems for any areas of non-compliance with pipeline safety regulations. A typical audit will stress compliance with the operator's management of the integrity of the pipeline delivery system including such topics as review of emergency response plans, odorization requirements, cathodic protection, leak surveys, and operator qualification requirements. In addition, engineers and pipeline safety inspectors investigate any incident suspected of being caused by natural gas and report these to the Commission. Any recommendations of Staff regarding prevention of potential incidents are included in the report and considered by the Commission. Statistics that provide a snapshot of the pipeline safety personnel's inspection activity, as well as an overview of the regulated gas infrastructure in Kansas, can be found in the pipeline safety section of this report.

Pipeline safety personnel also investigate violations of the Kansas Underground Utilities Damage Prevention Act (KUUDPA). Investigators work with excavators and utility operators to assure compliance with provisions of the Act such as requesting locates prior to digging and locating facilities within 48 hours of being notified of pending excavation work. To enhance our KUUDPA enforcement efforts, KCC receives two federal grants that provide funding for two inspector positions for the calendar year. For the calendar year of 2020, the Commission acted upon 36 filings in which fines were levied to 25 excavators for failure to notify utilities prior to excavating over buried facilities. Fines were also levied to 8 utility operators for failure to provide timely or accurate locates. For the 36 cases, a total of \$63,000 in fines was levied.

Kansas experienced one natural gas incident in FY2021.

#### Liquid Pipeline Common Carriers

#### **OVERVIEW**

Although there are relatively few intrastate pipeline common carriers in Kansas, the Commission is responsible for the economic regulation of this sector. The majority of Staff's work in this area is the review of tariff and rate filings in an effort to ensure efficiency, public interest, and balanced benefit to Kansas common carriers and shippers. Staff is responsible for the economic regulation, including but not limited to: processing tariff and rate filings; analyzing tariffs for compliance; monitoring complaints; processing and monitoring certificate filings due to sales, purchases, mergers and acquisitions, divestitures, service cessations and abandonment; and monitoring operations for unforeseeable events and capacity constraints. Additionally, Staff tracks all intrastate revenue reported from each pipeline company. Staff processed 14 intrastate pipeline common carrier dockets during FY2021. The intrastate

liquids pipeline companies regulated by the KCC are noted below. There were no major dockets in FY2021 for liquid pipelines.

**LARGE LIQUID PIPELINE UTILITIES** (\$10M or more in annual intrastate revenues)

Magellan Pipeline Company, LP

Mid-America Pipeline Company, a subsidiary of Enterprise Products Partners, LP

**SMALL LIQUID PIPELINE UTILITIES (\$10M or** 

less in annual intrastate revenues)

Jayhawk Pipeline (owned by NCRA)

**Kaw Pipeline Company** 

NuStar Pipeline Operating Partnership, LP

**ONEOK NGL Pipeline, LLC** 

**ONEOK North Systems** 

Plains Pipeline, LP

#### **Telecommunications**

#### **OVERVIEW**

The KCC regulates four primary types of telecommunications carriers with varying degrees of regulation: Incumbent Local Exchange Carriers (ILECs); Electing Carriers; Competitive Local Exchange Carriers (CLECs); and Interexchange Carriers (IXCs) (also known as long distance carriers). These telecommunications carriers serve a customer base ranging from as few as one customer to over 250,000 customers. The large telecommunications carriers regulated by the KCC are noted below. A summary of each major docket follows this narrative section along with a listing of all other telecommunications dockets.

#### LARGE TELECOMMUNICATIONS CARRIERS

**Consolidated Communications Enterprise Services, Inc.** 

Cox Kansas Telecom, LLC

Kansas Fiber Network, LLC

Level 3 Communications, LLC

**MCI Communications Services, LLC** 

Rural Telephone Service Co., Inc.

Southwestern Bell Telephone Company d/b/a AT&T Kansas

United Telephone Company of Kansas, Inc. d/b/a Century Link

In addition to the eight large telecommunications utilities listed above, the Commission has certificated 36 incumbent local exchange carriers (ILECs), 116 competitive local exchange carriers (CLECs), and 202 interexchange carriers (IXCs).

The KCC continues its effort in fulfilling its obligation to protect the rights and privileges of Kansas consumers in balance with the interests of the telecommunication carriers. The evolution of competition in providing local and long distance telecommunications has resulted, in some instances, in greater choice of available services at a variety of rates with a wider array of providers, packages, and bundles from which to choose. Technology developments have also continued to play a key role in the evolution of the telecommunications industry.

The KCC Staff is responsible for the economic regulation, including but not limited to: processing incumbent local exchange carrier tariff filings and switched access rate filings; analyzing tariffs for compliance with KCC rules and regulations; administering consumer and carrier complaints; processing certificate filings, service cessations, and name changes; reviewing carrier-to-carrier interconnection agreements and providing mediation when necessary; ensuring quality services of the incumbent local exchange carriers; overseeing the Kansas Universal Service Fund (KUSF); auditing rate-of-return regulated ILECs; processing video service applications; and processing eligible telecommunications carriers filings.

#### KANSAS UNIVERSAL SERVICE FUND

The KUSF was established by the

Commission in 1996, as required by the Kansas Telecommunications Act. The KUSF is in its twenty-fifth year of providing support to local telephone service providers to assist in making universal service available in high-cost areas of the state, to provide dual-party relay service and telecommunications equipment for persons with disabilities, and to provide assistance to those with lower incomes through the Lifeline program. Effective March 1, 2021, the annual KUSF funding requirement is approximately \$40.5 million and the carrier assessment rate is 10.84%. The KUSF provides approximately \$38.9 million in high-cost support, \$306,800 for low-income subscribers, \$428,400 for telecommunications relay service, \$301,100 for the telecommunications equipment program, and \$515,600 for the fund administration and carrier audits.

The Commission began audits of all ILECs receiving KUSF support in 2000. The Commission has completed the initial audits for all ILECs and continues to monitor and initiate new audits when warranted based on an annual review of financial metrics. All of the rural ILECs have elected rate-of-return regulation; therefore, the audits provide an opportunity for the Commission to determine whether or not the KUSF support is cost-based for each rural ILEC.

On August 12, 2021, Craw-Kan Telephone Cooperative, Inc. (Craw-Kan) filed an Application requesting an additional \$5.5 million of annual KUSF support. On August 31, 2021, the Commission issued a Procedural Schedule Order. Staff's Direct Testimony is due December 15, 2021, the Company's Rebuttal Testimony is due December 30, 2021. The Commission's Order on the proceeding is due April 11, 2022.

#### **FEDERAL ISSUES**

Congress has determined that broadband has gone from being a luxury to a necessity for full participation in our economy and society – for all Americans.

For that reason, the FCC has adopted comprehensive reforms of its Universal Service Fund (USF) and Intercarrier Compensation (ICC) systems to accelerate broadband build-out to the approximately 23 million Americans (as of December 31, 2013) who lacked access to infrastructure capable of providing 10/1 Megabits per second (Mbps) fixed broadband. This reform will expand the benefits of high-speed Internet to millions of consumers in every part of the county by transforming the existing USF into a new Connect America Fund (CAF) focused on broadband. The broad goal is to support the provision of broadband communications in areas that would be unserved without such support or that depend on universal service support for the maintenance of existing broadband service. The FCC has initiated numerous proceedings aimed at implementing its broadband goals.

As part of its reform efforts, the FCC offered over \$1.675 billion annually, for six calendar years (2015-2020), modelbased CAF support to price cap carriers nationwide to fund the deployment of voice and broadband-capable services of at least 10/1 (Mbps) in the eligible areas. CenturyLink accepted \$16.5 million to provide broadband to 29,018 eligible households in Kansas, and AT&T accepted \$18.9 million to provide broadband to 35,375 eligible households in Kansas. Carriers are required to meet specific deployment milestones required by the FCC, including deployment to 100 percent of the supported locations in each state by December 31, 2020, with the FCC authorizing carriers to extend the deadline until December 31, 2021. as a result of the Covid-19 Pandemic. Compliance shall be determined based on the total number of supported locations in

Taking further steps to expand rural broadband deployment, in March of 2016, the FCC approved modernization and reform of its universal service program supporting the nation's rate-of-return carriers. Providing approximately

\$20 billion in support over ten years, modernizations of the universal service program for rate-of-return areas include enabling rate-of-return providers to meet consumer demand for stand-alone broadband by providing support for stand-alone broadband. Reforms also included better targeting of support to communities that need it the most. The FCC further allowed carriers to voluntarily transition from legacy rate-of-return support to model-based support, similar to CAF Phase II for rate-of-return carriers (also known as Alternative Connect America Cost Model or ACAM). Kansas rate-of-return carriers: Haviland Telephone Company; JBN Telephone Company; Moundridge Telephone Company; S&A Telephone Company; Zenda Telephone Company; and Totah Communications elected to transition from legacy USF support to ACAM support, starting in 2017. Carriers electing to voluntarily transition to model-based support must deploy service providing 10 Mbps/1 Mbps to all funded locations, with faster 25 Mbps/3 Mbps service required in areas of higher population density. Carriers are required to meet specific deployment milestones required by the FCC. Because the amount of federal high-cost support a carrier receives is included in the calculation of high-cost KUSF support received by a rate-of-return regulated carrier, changes in federal support may affect the KUSF - although it remains subject to the \$30 million cap.

In December 2019, the FCC offered additional funding to rate-of-return carriers that receive A-CAM support if they expand the availability of 25/3 Mbps broadband, while deploying at least 10/1 Mbps to new locations in their service areas. The FCC also provided an opportunity for rate-of-return carriers that did not elect to transition to A-CAM support in 2016 to transition to A-CAM II model-based support in return for specifically tailored obligations to deploy 25/3 Mbps broadband. H&B Communications; LaHarpe Telephone Co., Inc.; MoKan Dial Inc.; Moundridge

Telephone Co.; Rural Telephone Service Company; and Twin Valley Telephone, Inc. elected A-CAM II support. The FCC further authorized additional support for those carriers that elect to remain on legacy rate-of-return support in exchange to build-out 25/3 Mbps broadband.

In August 2019, the FCC proposed Auction 904, a reverse auction, to build on the Connect America Fund Phase II (CAF II) auction and bring broadband service to Americans living in unserved areas. The Rural Digital Opportunity Fund (RDOF) is the FCC's next step in bridging the digital divide to efficiently fund the deployment of broadband networks in rural America. Through a two-phase reverse auction mechanism, the FCC will direct up to \$20.4 billion over ten years to finance up to gigabit speed broadband networks in unserved rural areas, connecting millions of American homes and businesses to digital opportunity.

The RDOF Phase I auction (up to \$16.0B) opened on October 29, 2020, and targeted over six million homes and businesses in census blocks that are entirely unserved by voice and broadband with speeds of at least 25/3 Mbps. On December 7, 2020, the Public Notice announcing the Auction 904 winning bidders was released. The FCC awarded \$9.23 billion of the budgeted \$16 billion for the ten-year period to 180 companies to deploy voice and broadband services to 5,295,771 locations in 61,766 census blocks in 49 states and one territory. Twelve companies, were awarded a total of \$62.1 million to deploy service to 46,827 Kansas locations. The Commission granted ETC designation to ten of the companies and two of the companies forfeited their awards.

#### Water

#### **OVERVIEW**

The Kansas Corporation Commission (KCC) regulates four water companies, none of which fall into the large utility category. These water companies serve a

customer base ranging from as few as 60 to as many as 1,850. Customers served by these companies typically live in a suburban area approximately two to ten miles outside the city. The water supplied varies from city water supplied by a nearby town to ground water suppliedby

the company's own water wells. The follwing water companies are regulated by the KCC.

#### WATER COMPANIES

Barton Hills Water District
Delmer Townes d/b/a Towns Riverview
Green Acres Mobile Home Park, LLC
Suburban Water, Inc.

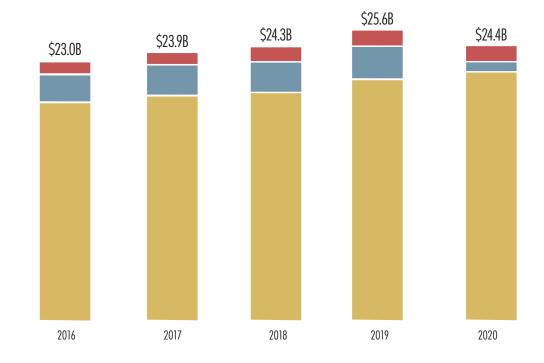
#### Financial Information and Other Metrics

#### **OVERVIEW**

The KCC sets rates for electric, natural gas, telecommunications, and water utilities on a rate base/rate of return basis for public or private utilities or a Times Interest Earned (TIER)/Debt Service Coverage Ratio (DSC) basis for cooperatives. For the electric, natural gas, and rural local exchange companies, the amount of capital investment, fuel and purchased power expense, total revenues, and total expenses are measured in billions of dollars. Following this overview section, more details are provided regarding financial information and other metrics for all the KCC jurisdictional utility industries on a consolidated and individual industry basis.

## GAS, ELECTRIC, AND RURAL LOCAL EXCHANGE COMPANIES TOTAL GROSS PLANT | 2016–2020





## GAS, ELECTRIC, AND RURAL LOCAL EXCHANGE COMPANIES TOTAL REVENUES AND EXPENSES | 2018–2020





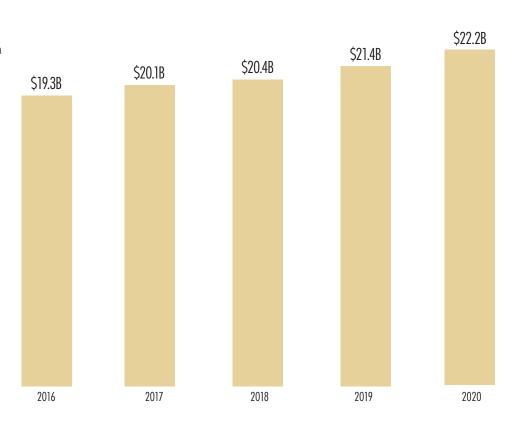


## Financials

The following are selected Gross Plant, Revenue, and Expense charts and tables including updated information beyond the close of the fiscal year, where available. Some charts depict information available only on a calendar-year basis. Empire District Electric and Evergy Kansas Metro have operations in multiple states. The values represented in the following charts relate only to the Kansas operations of those two entities.

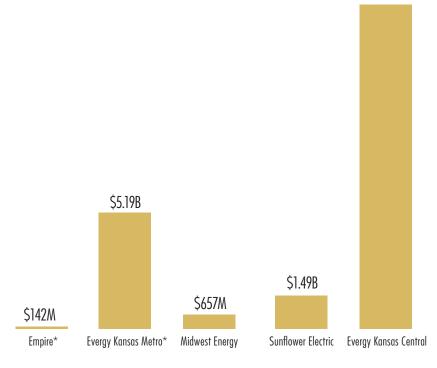
#### ELECTRIC COMPANIES STATEWIDE GROSS PLANT | 2016–2020

Gross Plant is the sum total value of utility assets and property as well as the fixed cost of the generation and provision of service on which the Utility's rate of return is applied in accordance with rules set by the KCC. This chart displays the aggregate total gross plant of all regulated public utilities in Kansas for the past five years.



#### ELECTRIC COMPANIES GROSS PLANT, BY COMPANY | 2020

This chart takes the statewide aggregate gross plant figure from the preceding table and divides it among the major regulated utilities. As can be seen from the data, two entities encompass a vast majority of utility gross plant across Kansas.



\$14.67B

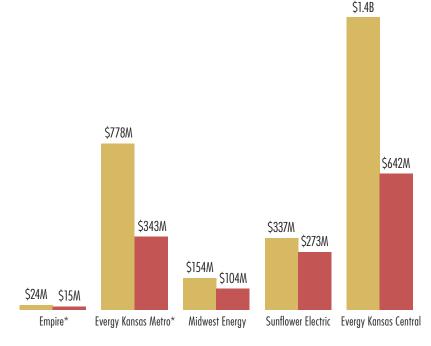
\*All figures for Kansas operations only.

#### ELECTRIC COMPANIES REVENUES AND EXPENSES, BY COMPANY | 2020

This chart compares each utility's annualized revenues and expenses. The difference between the two represents the gross margin of each company. Worth noting is the difference in gross margins between the investor-owned utilities and the cooperatives. Embedded in that difference is the dividend cost that rewards and helps ensure continued shareholder investment.

■ Total Revenues

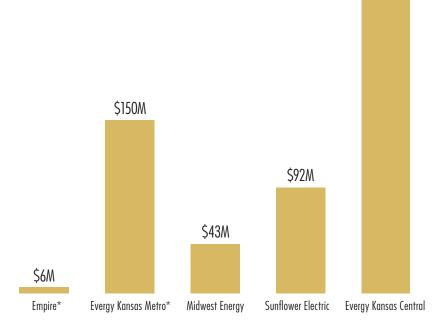
Total Expenses



<sup>\*</sup>All figures for Kansas operations only.

#### ELECTRIC COMPANIES GENERATING AND PURCHASED POWER COSTS, BY COMPANY | 2020

This chart takes the expense portion from each utility on the previous table and carves out the cost of generation and purchased power. As can be seen by comparing the two tables, generation and purchased power represent only about half the total cost of providing safe, reliable, and efficient electric service.



\$268M

\*All figures for Kansas operations only.

#### ELECTRIC COMPANIES WITH REVENUES OF OVER \$10M | RATE CASE RESULTS | FY17-FY21

- Requested
- Granted
- Refunds
  and/or Rate Reductions



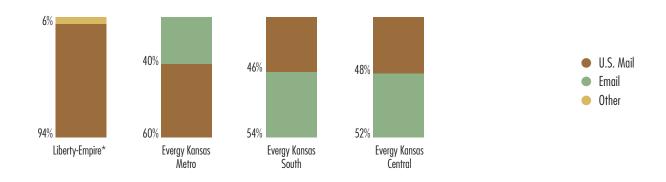
#### RESIDENTIAL ELECTRIC BILL COMPARISON | JULY 2020 - JUNE 2021

The following chart represents a comparison of monthly bills based on average residential consumption of 894 kWh.

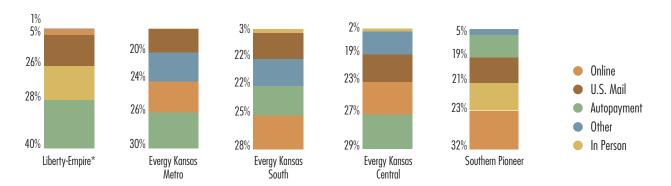
	Company**	Customer Charge	Energy Charge	ECA**	Environmental Cost Recovery	Energy Efficiency Rider	Trans. Delivery Charge	Property Tax Surcharge	Total Bill Without Tax	Cost Per KWH	Utility Bill as a % of National Avg. Bill	Utility Bill as a % of Kansas Wt. Avg. Bill	Number of Residential Customers FY20
-	Empire	\$14.25	\$58.78	\$18.37	\$-	\$-	\$15.10	\$1.61	\$108.12	\$0.121	90.68%	95.04%	8,183
Investor-Owned	KCPL	\$14.25	\$79.56	\$16.40	\$-	\$-	\$5.92	\$0.46	\$116.59	\$0.130	97.79%	102.49%	234,541
Ţ	Westar	\$14.50	\$65.42	\$17.06	\$-	\$0.19	\$14.63	\$0.96	\$112.76	\$0.126	94.58%	99.13%	628,617
									\$113.76	\$0.127	95.41%		
									\$119.22	\$0.133			

<sup>\*</sup> EIA Avg Price by State by provider (1990-2018)

#### BILL DELIVERY METHODS SELECTED BY RESIDENTIAL ELECTRIC CUSTOMERS



#### PAYMENT METHODS UTILIZED BY RESIDENTIAL ELECTRIC CUSTOMERS



<sup>\*\*</sup> Utility Average ECA for the July 2020 through June 2021 period

<sup>\*\*\*</sup> Weighted by customers using 2019 Annual Report data and EIA Annual Report data

## Generation Planning Survey

The Commission continues to monitor the generation capacity and reliability of Kansas electric utilities. Staff members annually review generation and load forecasts of Kansas electric utilities to ascertain whether there is adequate electric supply to meet the needs of Kansas electric consumers. Beginning in 2021, Staff added the parameter "Net Capability" to the

survey. Net Capability for the winter or summer season is defined as the operator's average net power output for the respective season. The findings of that survey can be seen below. Based on its review, staff determined that, at this time, forecasted electric generation capacity and planning to meet future changes in system load in the State of Kansas appears sufficient given

current load and generation forecasts planned. Although utilities in the chart do indicate deficiencies in the future, planned generation capacity additions can be made that will satisfy future planning responsibility. The chart on this page describes the generation capacity situation major utilities in Kansas face going forward.

		INVESTOR	OWNED UTILIT	TES (IOUs)		COOPERATIVES		MUNICIPAL UTILITIES			
		Liberty Utilities (Legacy Empire)	Evergy Kansas Metro (Legacy KCP&L)	Evergy Kansas (Legacy Westar)	Kansas Electric Power Coop. (KEPCo)	Midwest Energy (Midwest)	Sunflower Electric Power Corporation (Sunflower)	Kansas City Board of Public Utilities (KC-BPU)	Kansas Municipal Energy Agency (KMEA)	Kansas Power Pool (KPP)	
	Total Summer Net Capacity	66	2,070	6,337	124	115	1,129	562	366	261	
ICAL	Total Winter Net Capacity	72	1,735	5,110	124	115	1,129	562	366	0	
2018 HISTORICAL	Total System Capacity	70	2,180	6,843	484	432	1,250	754	556	261	
2018	System Peak Responsibility (MW)	67	1,856	6,294	463	383	1,058	556	445	239	
	System Capacity Surplus (Deficit)	3	324	549	21	49	192	198	111	21	
	Total Summer Net Capacity	62	1,929	5,355	123	115	1,153	412	362	259	
CTED	Total Winter Net Capacity	68	1,591	4,119	123	115	1,151	412	362	0	
2023 PROJECTED	Total System Capacity	68	1,915	6,078	443	432	1,165	608	466	261	
202	System Peak Responsibility (MW)	63	1,891	5,630	417	380	1,032	553	438	233	
	System Capacity Surplus (Deficit)	5	24	448	26	52	133	55	29	27	
	Total Summer Net Capacity	53	1,929	5,220	123	115	1,140	412	362	299	
Œ	Total Winter Net Capacity	59	1,591	4,119	123	115	1,138	412	362	0	
2028 PROJECTED	Total System Capacity	67	2,061	6,038	451	432	1,143	604	386	261	
202	System Peak Responsibility (MW)	65	2,012	5,723	420	383	1,051	559	456	241	
	System Capacity Surplus (Deficit)	2	49	315	31	49	92	46	(70)	19	

Table continued on next page.

#### Continued from previous page.

		INVESTOR	OWNED UTILIT	TES (IOUs)		COOPERATIVES	;	MUNICIPAL UTILITIES			
		Liberty Utilities (Legacy Empire)	Evergy Kansas Metro (Legacy KCP&L)	Evergy Kansas (Legacy Westar)	Kansas Electric Power Coop. (KEPCo)	Midwest Energy (Midwest)	Sunflower Electric Power Corporation (Sunflower)	Kansas City Board of Public Utilities (KC-BPU)	Kansas Municipal Energy Agency (KMEA)	Kansas Power Pool (KPP)	
	Total Summer Net Capacity	53	1,745	4,225	123	115	1,004	412	362	296	
ECTED	Total Winter Net Capacity	59	1,407	3,123	123	115	998	412	362	0	
PROJ	Total System Capacity	67	1,858	4,880	456	432	1,006	601	386	261	
2033	System Peak Responsibility (MW)	67	2,079	5,821	431	387	1,025	564	475	248	
	System Capacity Surplus (Deficit)	(0)	(221)	(941)	26	45	(19)	37	(90)	13	
	Total Summer Net Capacity	53	1,745	4,225	123	115	998	412	362	294	
CTED	Total Winter Net Capacity	59	1,407	3,123	123	115	992	412	362	0	
3 PROJE	Total System Capacity	67	1,779	4,644	461	432	1,000	560	386	261	
2038	System Peak Responsibility (MW)	68	2,128	5,991	446	389	1,016	570	499	254	
	System Capacity Surplus (Deficit)	(2)	(349)	(1,347)	15	43	(16)	(10)	(113)	7	

## Large Electric Rate Cases

#### WESTAR ENERGY, INC.

Docket number 18-WSEE-328-RTS
Docket type Electric
Date filed 01 Feb 2018
Action date 08 Apr 2021
Commission action Closed

In the Matter of the Joint Application of Westar Energy, Inc. and Kansas Gas and Electric Company for Approval to Make Certain Changes in their Charges for Electric Services.

On September 27, 2018, the Commission issued its Order Approving Non-Unanimous Stipulation and Agreement, finding in relevant part, that: (1) the expert witnesses for the parties who supported the Non-Unanimous S&A provided substantial, competent, and compelling evidence to approve the Non-Unanimous S&A's three-part rate design; (2) the evidence demonstrated that Residential Distributed Generation (RS-DG) customers' usage patterns, rather than their use of renewable energy, was the basis for paying a different rate than their non-DG counterparts; and (3) RS-DG customers were not disadvantaged by any alleged difficulty in understanding or responding to the three-part RS-DG rate.

On October 12, 2018, Sierra Club and Vote Solar filed a Petition for Reconsideration (PPR), alleging that the Commission: (1) erred in finding that the Non-Unanimous S&A's revenue reduction allocation and RS-DG tariff were supported by substantial and competent evidence; (2) erred in approving a proposed RS-DG rate that violated federal and state law; and (3) erred in finding that the RS-DG rate was in the public interest.

On November 8, 2018, the Commission denied Sierra Club and Vote Solar's PFR. Subsequently, on December 10, 2018, the Sierra Club and Vote Solar filed their Notice of Appeal. Pursuant to K.S.A. 66-118a(b), the Court of Appeals of the State of Kansas had exclusive jurisdiction over the appeal.

On April 12, 2019, the Court of Appeals issued an unpublished Memorandum Opinion, in which it affirmed the Commission's Order. On May 10, 2019, the Sierra Club and Vote Solar filed a Petition for Review with the Supreme Court of Kansas. On September 3, 2019, the Supreme Court granted the Petition

for Review. The Supreme Court heard oral argument on the Petition for Review on December 19, 2019. On April 3, 2020, the Supreme Court reversed the judgment of the Court of Appeals' and the Commission and remanded the matter back to the Commission for further proceedings consistent with its opinion.

On June 16, 2020, the Commission issued an Order Setting Procedural Schedule on Rate Design, which reopened the Docket for further proceedings. The Order directed all parties to file initial comments in this docket addressing the two options for rate design suggested by the Supreme Court: (1) restructuring rates to fully recover fixed rates by the flat fee charged to each customer hooked to the grid and (2) imposing a nondiscriminatory time-of-use rate, or a sliding scale rate that decreased the per-unit price as the customer purchased a higher volume of energy. The parties were also directed to propose any other possible alternative rate design consistent with K.S.A. 66-117d. After reviewing the comments from the parties, Evergy was directed to file its proposed rate design with supporting testimony by October 13, 2020.

After multiple parties filed comments and reply comments, Evergy filed a new proposed distributed generation rate design on October 13, 2020, consisting of a monthly residential grid access charge (GAC) of \$3.00 per kW of installed DG capacity, applicable to all residential customers. The monthly GAC is based on a customer's installed DG capacity. Customers with higher DG capacity would pay more than customers with smaller DG capacity, and non-DG customers would have a monthly grid access charge of zero. If approved, Evergy would no longer offer service under the grandfathered DG rates or the three-part residential DG demand rate and all remaining customers served under those rates would be moved to the Residential Standard DG rate.

As an alternative to the GAC, Evergy proposes a monthly minimum bill of \$35 for all residential customers. Like the GAC, a \$35 minimum monthly bill is only about 50% of the approximately \$77 a month in costs to serve customers, and Evergy realizes it is unreasonable to set the minimum bill at the total cost level. If

approved, the minimum bill would produce revenues not contemplated in Evergy's last general rate proceeding. Therefore, Evergy proposes those new incremental revenues be placed in a deferral account and fully considered in its next general rate case. However, Evergy prefers the GAC to a minimum monthly bill because the GAC does not have unintended effects on low-income and fixed-income customers.

The Commission denied Evergy's proposed GAC. However, this Order's should not be viewed as a blanket rejection of the grid access charge concept. The Commission acknowledges that exporting electricity back onto the grid may constitute a separate, additional service received by DG customers. Had Evergy demonstrated its proposed GAC was based on the incremental costs of an additional exporting service, rather than simply a more generalized attempt to eliminate the subsidy to DG residential customers, the Commission would have given serious consideration to the GAC.

While Evergy's minimum bill proposal is clearly non-discriminatory for DG customers compared to non-DG customers the Commission finds it is overly regressive and an unnecessarily disruptive solution based on the scale of the issue it purports to address. Therefore, the Commission rejects the proposed \$35 minimum monthly bill as unjust and unreasonable.

Therefore the Commission finds that the RS-DG rate class will remain a separate class for tracking purposes, and the RS-DG tariff will mirror the two-part rate design of the standard residential tariff residential DG customers will be charged the identical rates as standard residential customers.

## EVERGY, INC. (EVERGY METRO, INC., EVERGY KANSAS CENTRAL, INC. AND EVERGY SOUTH, INC.)

Docket number21-EKME-050-RTSDocket typeElectricDate filed31 Jul 2020Action date24 Nov 2020Commission actionApproved

In the Matter of the Application of Evergy Metro, Inc., Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for Adjustment of Base Rates to Comply with HB No. 2585.

On July 31, 2020, Evergy filed an application with the Commission seeking

approval of new base rates to reflect the elimination of Kansas state income taxes from the revenue requirements in order to comply with HB 2585. Evergy proposed the following: (1) a total rate reduction of \$8,580,710 for Evergy Kansas Metro in one phase, effective January 1, 2021; and (2) a total rate reduction of \$21,629,594 for Evergy Kansas Central in three phases, with 25% of the total rate change effective on January 1, 2021; 25% of the total rate change effective on January 1, 2022; and the remaining 50% total rate change effective on January 1, 2023.

On September 18, 2020, Staff filed its R&R recommending that the Commission approve Evergy's rate reduction proposal for Evergy Kansas Metro as provided in the application. Staff also agreed with Evergy's calculation of Evergy Kansas Central's total impact amount of \$21,629,594. Staff recommended altering Evergy Kansas Central's proposal from a 25%-25%-50% phase-in to a 25%-50%-25% phase-in over the next two years. Staff recommended the Commission approve the request that if Evergy Kansas Central's credit metric falls below a specific level, the utility may request a change in the reduction rates for years 2022 and 2023.

On October 15, 2020, the parties filed a joint motion requesting the Commission convert this proceeding into a settlement proceeding and cancel the remainder of the procedural schedule.

The Commission issued an Order Approving the settlement on November 24, 2020, which can be found here: https://estar.kcc.ks.gov/estar/ViewFile. aspx/20201124111322.pdf?Id=1d324d88-ed60-4114-8dc3-817c1bf1b3b8

#### SOUTHERN PIONEER ELECTRIC COMPANY

Docket number 21-SPEE-411-RTS
Docket type Electric
Date filed 03 May 2021
Action date 29 Jul 2021
Commission action Approved

In the Matter of the Annual Filing of Southern Pioneer Electric Company for Approval to Make Certain Changes to Its Charges for Electric Services, Pursuant to the Consolidated Formula Based Ratemaking Plan Approved in Docket No. 19-SPEE-240-MIS and Implementation of Rate Adjustment Pursuant to Docket No. 20-SPEE-169-RTS.

On May 3, 2021, Southern Pioneer Electric

Company (Southern Pioneer) submitted an Application with the Commission requesting approval of annual adjustments to its retail rates and its retail and wholesale rates for the Local Access Delivery Service (LADS) for services over its 34.5kV subtransmission system.

On May 20, 2021, the Citizens' Utility Ratepayer Board (CURB), Kansas Power Pool (KPP) and the Kansas Municipal Energy Agency (KMEA) were all granted intervention in this Docket. On May 24, 2021, Southern Pioneer submitted an Errata to Application (Errata) which corrected two minor errors in the original Application.

Southern Pioneer's current Formula Based Rate (FBR) Plan was approved by the Commission in Docket No. 19-SPEE-240-MIS. This FBR mechanism allows Southern Pioneer to make annual adjustments to its retail rates charged to customers receiving service off the Company's distribution system and its LADS rate charged to customers receiving service off its 34.5kV sub-transmission system, thereby enabling Southern Pioneer to achieve a 1.60 Debt Service Coverage (DSC) ratio for both of its systems, as required in Southern Pioneer's FBR Protocols.

Using the current FBR template, Southern Pioneer calculated (1) a net retail FBR adjustment of \$1,495,735, which was then allocated to its retail customer classes based on base revenues and converted to per kWh rate adjustments for each class; and (2) an adjusted LADS rate of \$4.29 per kilowatt (kW).

On July 13, 2021, Staff filed testimony identifying the following errors in the Application: (1) The Excel version of Exhibit 3, page 2 of the Application did not include the rounding function; (2) the January 2020 billing determinants were inadvertently used to determine the coincident peak for April 2020; and (3) a formula error affected the rate per kWh FBR adjustments for the 801-5800 kWh winter block for the Residential Space Heating customer class and the 'Rider No.1 – Space Heating Service' rate for the General Service Space Heating customer

Southern Pioneer concurred with Staff's recommended corrections and Staff included them in the Exhibits to its testimony. The corrections resulted in

a revised net retail FBR adjustment of \$1,466,997 and a revised adjusted LADS rate of \$4.28 per kW.

Southern Pioneer had no objections to Staff's testimony and did not submit a Rebuttal Testimony. KPP and KMEA did not file testimony in this Docket.

On July 23, 2021, CURB submitted Cross Answering Testimony supporting the updated Application and concluding that it would result in just and reasonable rates.

On July 29, 2021, the Commission issued an Order on Rates approving the Application as modified by the May 21, 2021 Errata and KCC Staff's July 13, 2021 testimony.

https://estar.kcc.ks.gov/estar/ViewFile. aspx/20210709104432.pdf?Id=0e75d3f1-c12f-4f1e-a591-79dae92923cc

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 21-EPDE-444-RTS
Docket type Electric
Date filed 28 May 2021
Action date N/A
Commission action Not Final

In the Matter of the Application of The Empire District Electric Company for Approval of the Commission to Make Certain Changes in its Charges for Electric Service.

On May 28, 2021, The Empire District Electric Company (Empire) filed an abbreviated rate case Application to make certain changes in its charges for electric service. Empire's Application is limited to: (1) recovering the capital and operating costs relating to its acquisition of three wind projects; (2) accounting for the retirement of its Asbury coal plant; and (3) capturing the revenue requirement impact directly related to the non-growth plant and related accumulated depreciation and accumulated deferred income tax balances as of the end of the test year ending June 30, 2020. Empire's Application indicated a gross revenue deficiency of \$4,465,956. Furthermore, as part of its Application, Empire agreed to extend the 240-day statutory deadline in this abbreviated case to 365 days.

On June 14, 2021, the Citizens' Utility Ratepayer Board (CURB) filed a Petition to Intervene, and on July 8, 2021, the Commission issued an Order granting CURB's Petition to Intervene.

On July 13, 2021, the Commission issued

an Order Adopting Procedural Schedule and Designating Presiding Officer, which in relevant part set a deadline for Empire to file near final acquisition cost of the three wind projects of July 30, 2021. On July 30, 2021, Empire filed a Motion to Extend Filing Date, explaining the final acquisition costs of the wind projects would not be available until September 15, 2021. On August 17, 2021, the Commission issued an Order granting Empire's Motion.

On September 15, 2021, Empire submitted the near final cost update for the wind projects. Staff is currently reviewing the Application and updated cost filing. Staff and Intervenor testimony are due in this matter on January 13, 2022. A Commission Order is due May 27, 2022.

# Major Dockets | ELECTRIC UTILITIES

Pursuant to K.S.A. 66-117b, the following is a review with narrative of all dockets for Large Electric Utilities (those with \$10,000,000 or more annual revenue in the preceding calendar year) which caused changes to "rule or regulation or practice pertaining to the service or rates of a public utility or common carrier approved by the state corporation commission during the preceding fiscal year."

#### **EVERGY KANSAS METRO, INC.**

Docket number 21-EKME-436-TAR
Docket type Electric
Date filed 25 May 2021
Action date 24 Sep 2021
Commission action Closed

In the Matter of the Joint Application of Evergy Kansas Metro, Inc., Commission Staff, and the Citizens Utility Ratepayer Board to Make Certain Changes to Evergy's Residential Distributed Generation Tariff.

After the Commission's February 25, 2021, Order in the 18-WSEE-328-RTS Docket, Staff, Evergy, and CURB expressed concerns that Evergy Kansas Metro RS-DG customers remain on a three-part demand rate while Evergy Kansas Central RS-DG customers are on a two-part rate design with identical rates as standard residential customers. Rectify the disparity, Staff, Evergy, and CURB filed The Joint Application to Discontinue the Three-Part Demand Rate for Distributed Generation Customers. The Joint Application was unopposed.

The Commission granted the Joint Application and authorized Evergy Kansas Metro to amend its RS-DG tariff to mirror the two-part rate design of the standard residential tariff and preserve the RS-DG class for tracking purposes; thus, mirroring the 18-WSEE-328-RTS February 25, 2021 Order. In addition, Evergy Kansas Metro is authorized to refund the difference in revenue collected under the RS-DG rate compared to what would have been collected under the standard residential rate, consistent with the Commission's directive in the 18-328 Docket.

## EVERGY, INC. (EVERGY METRO, INC., EVERGY KANSAS CENTRAL, INC.)

Docket number21-EKME-320-TARDocket typeElectricDate filed24 Feb 2021Action date06 Dec 2021Commission actionApproved

In the Matter of the Application of Evergy Kansas Metro Inc. and Evergy Kansas Central Inc. Application for Approval of Transportation Electrification Portfolio.

On February 24, 2021, Evergy filed its Application for Approval of Transportation Electrification Portfolio as Docket No. 21-EKME-320-ACT, which was later changed to Docket No. 21-EKME-320-TAR to better reflect the dockets subject.

Evergy's Application sought approval of a Transportation Electrification Portfolio (TEP or Portfolio), which included rebate programs, rates for charging services, education and program administration budgets, authorization to use deferral accounting to track program costs associated with the Portfolio (incentive rebates and other program costs such as customer education and program administration) for recovery of prudently incurred costs in future rate cases through expense amortization over a period of five years. Evergy also requested the Commission find that its Clean Charge Network (CCN) expansion plans in the Application are prudent from a decisional perspective.

Evergy proposed its deferral accounting mechanism to track program costs relate to its rebate programs (incentive rebates and other program costs such as customer education and program administration), but not the CCN.

Evergy also proposed two new tariff rates: 1) Electric Transit Service (ETS) for transit bus fleet customers in the EKM service territory, which includes a two-period Time of Use (TOU) rate with a 12-hour off-peak period (6 p.m. – 6 a.m.) and a small local facility demand charge; and 2) Business EV Charging Service (BEVCS) for commercial customers that is a TOU rate with three time periods designed to address commercial rate challenges for Electric Vehicle Service Providers (EVSPs) and

encourage workplace and fleet charging during off-peak times.

The following parties were granted intervention: Citizens' Utility Ratepayer Board ("CURB"), National Resource and Defense Counsel ("NRDC"), ChargePoint and American Fuel & Petrochemical Manufacturers ("AFPM").

On June 25, 2021, direct testimony was filed by Staff of the State Corporation Commission of the State of Kansas ("Staff"), CURB; ChargePoint; and AFPM. On July 2, 2021, cross-answering testimony was filed by CURB and ChargePoint.

On July 16, 2021, rebuttal testimony was filed on behalf of Evergy.

On July 29, 2021, Evergy, Staff, CURB and NRD (referred to collectively as "Signatories"), filed a Non-Unanimous Partial Settlement Agreement ("S&A" or "Agreement") for Commission approval.

Included in the terms of the S&A are: (1) no agreement about the CCN with the parties presenting their positions at the Evidentiary Hearing; (2) Evergy dropping the Developer Rebate Program; (3) the Residential rebate is reduced from \$500 to \$250 per household with the proviso that if the customer signs up for an electric vehicle or time-of-use rate, that customer will get an additional \$250; and (4) the initial Commercial Charger Rebate (CCR) Programs' budget is reduced from \$15.4 million to \$10 million with \$1.6 million targeted for underserved areas. However, a process agreed to by Staff and CURB will be put in place to expedite raising the CCR budget up to \$15.4 million if certain conditions are met.

On July 30, 2021, testimony in support of the S&A was filed by Evergy, Staff, and CURB.

ChargePoint filed a statement on August 3, 2021, representing that it did not object to the S&A but believed additional terms

should be included. AFPM objected to the S&A in testimony and pleadings filed on July 30, 2021, and August 6, 2021.

An evidentiary hearing was held on September 20-21, 2021.

Initial Post-Hearing Brief of Evergy on October 8, 2021.

Post-Hearing Response Briefs of ChargePoint, AFPM, CURB, and Staff were file October 22, 2021.

Reply Brief of Evergy was filed on November 5, 2021.

A Commission Order was issued on December 6, 2021 approving the Non-Unanimous settlement agreement.

## EVERGY, INC. (EVERGY METRO, INC., EVERGY KANSAS CENTRAL, INC. AND EVERGY SOUTH, INC.)

Docket number 20-EKME-514-GIE
Docket type Electric
Date filed 11 Jun 2020
Action date 29 Oct 2020
Commission action Closed

In the Matter of the Investigation into the Agreement between Evergy and Elliott Management to consider a Modified Standalone Plan or Merger Transaction.

On January 21, 2020, Elliott Management issued a press release summarizing the contents of an Open Letter that Elliott sent to the Board of Evergy. The letter made public the fact that Elliott had engaged in private discussions with Evergy management on ways to maximize value for all of Evergy's key stakeholders.

Elliott is an asset management firm that manages approximately \$40.2 billion in assets, with a stated goal of generating a consistent return to its investors. Elliott stated that it owned an economic interest equivalent of 11.3 million shares in Evergy or approximately \$760 million value.

On February 28, 2020, Evergy entered into an Agreement with Elliott that resulted in the appointment of two new members to Evergy's Board, and the creation of a Strategic Review & Operations Committee (Committee) to explore ways to increase Evergy's shareholder value. The Committee would to consider either a Modified Standalone Plan that would effectively cut operating and maintenance expenses and increase capital expenditures dramatically, or a Merger Transaction. The Committee would present its recommendation to Evergy's Board for consideration and vote.

The Elliott Agreement called for the formal recommendation to the Board by July 30, 2020, and the deadline for the Board to vote on the formal recommendation by August 17, 2020. If Evergy pursued a Modified Standalone Plan, the deadline for publicly presenting the plan to the investor community was set at October 14, 2020.

Beginning with the date of the initial public announcement by Elliott Management on January 21, 2020, Commission Staff tracked all publicly available information on Elliott's interaction with Evergy and other public utilities.

One June 11, 2020, Staff filed Petition of Commission Staff For Order Initiating Investigation recommending the Commission open an investigation in order for the Commission, stakeholders, and customers to be fully informed of the analysis and rationale of Evergy's decisions relating to and resulting from the Elliot Agreement.

Staff stated it was very concerned that Elliott's focus on increasing shareholder value would place Evergy's customers at a high risk of paying higher rates or receiving lower quality service in order to support an increase in shareholder value through either the Modified Standalone Plan or a Merger Transaction. Such a result would be in direct opposition to a variety of considerations including, but not limited to, Evergy's obligations pursuant to Docket No. 18-KCPE-095-MER and the current emphasis in Kansas to move Evergy's rates towards regional competitiveness (discussed in Substitute for Senate Bill No. 69 and 20-GIME-068-GIE).

Furthermore, Staff's Petition emphasized the difficulties of attempting either of the two proposed alternatives, without harming consumers and stakeholders, given the severe and unprecedented economic slowdown caused by the global pandemic which began in the first quarter of 2020.

Because of the extensive obstacles facing Elliott's plan to increase shareholder value, Staff asserted in its Petition that Evergy's Board of Directors Strategic Review and Operations Committee's evaluation of a Modified Standalone Plan and a Merger Transaction should not only be transparent, but fully explained to the Commission and stakeholders. In order to accomplish this, Staff recommended that it begin to evaluate the Strategic Review and Operating Committees process and

work product immediately and that Evergy submit a report to the Commission in this general investigation responding to specific questions. Staff's Petition can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S20200611165836.pdf?Id=19819d9e-cc52-4928-b39d-2a1d085eac3f

Staff's Petition contains the January 21, 2020, press release issued by Elliott Management.

On June 18, 2020 the Commission issued Order Opening General Investigation. The Commission stated that it is in the public interest to maintain a transparent process where the Commission, customers, and stakeholders are kept apprised of Evergy's Strategic Review and Operating Committee's evaluation of its path forward. The Commission believed opening a general investigation is the best means to ensure a transparent process. The Commission adopted Staff's Report and Recommendation in its entirety and directed Staff to: 1) start reviewing meeting materials and work product of Strategic Review & Operations Committee immediately; 2) start reviewing of Board Minutes and related meeting materials immediately; 3) review all work product generated by consultants retained to evaluate both the Modified Standalone Plan and a possible Merger Transaction; and 4) review the final report submitted to Evergy's Board for vote.

The Commission's Order required Evergy to submit a report to the Commission responding to specific questions that were proposed by Staff in its June 6, 2020 Petition. That Order and the questions posed by Staff can be found here:

https://estar.kcc.ks.gov/estar/ViewFile. aspx/20200618104632.pdf?Id=509dd85d-5964-4d3c-923d-1f2ba1811834

On June 22, 2020, Staff and Evergy filed Joint Motion for Revisions to Procedure for Docket.

On July 30, 2020, the Commission issued Order Granting Joint Motion for Revisions to Procedure for Docket.

On August 13, 2020, Evergy filed its Report to the Commission that contained its decision on a path forward and responses to the Commission's questions. Evergy's report stated that its Board of Directors adopted the Modified Standalone Plan as recommended by the Strategic Review & Operations Committee. Evergy's report to the Commission and responses to Commission questions can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S20200813150519.pdf?Id=8fbb3276-b3fe-437d-86f9-1252432184e1

In that report Evergy made public the Modified Standalone Plan entitled the Sustainability Transformation Plan (STP).

On September 28, 2020, Staff filed Staff's Comments and Recommendation in Response to Evergy's Report in which Staff recommended that the Commission issue an order finding that Evergy has met its requirements set out by the Commission's June 18, 2020 Order. Staff noted that further evaluation of Evergy's STP would continue and occur in Docket 21-EKME-088-GIE that was opened by the Commission on August 27, 2020 in response to a petition filed by Staff on August 19, 2020.

Staff's Comments and Recommendation in Response to Evergy's Report can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202009281332165596.pdf?Id=448a95e3ccbc-4f8f-938f-6873429329d8

The Petition of Commission Staff for Order Initiating Investigation that lead to the Commission opening 21-EKME-088-GIE can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/S20200819162339.pdf?Id=2bb36c6c-db48-4bld-89a7-41872219370b

On October 29, 2020, the Commission issued Order Closing General Investigation. In that Order the Commission stated that Evergy has fully complied with the Commission's instructions in this Docket. The Commission found that the stated goals for this general investigation have been met and that 21-EKME-088-GIE opened on August 27, 2020, is the appropriate forum to evaluate the Sustainability Transformation Plan (STP) proposed by Evergy.

The Commission's Order closing this docket can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/20201029103808.pdf?Id=b9103f3f-4637-44ec-94bb-9df76fd86180

## EVERGY, INC. (EVERGY METRO, INC., EVERGY KANSAS CENTRAL, INC. AND EVERGY SOUTH, INC.)

Docket number 21-EKME-088-GIE
Docket type Electric
Date filed 27 Aug 2020
Action date 23 Nov 2021
Commission action Closed

In the Matter of the Investigation into the Sustainability Transformation Plan of Evergy Metro, Inc., Evergy Kansas Central, Inc., and Evergy South, Inc. (collectively Evergy).

On August 27, 2020, the Commission issued Order Opening General Investigation adopting Staff's Report and Recommendation in its entirety, agreeing with Staff that an investigative docket is warranted to provide Staff, stakeholders, and Evergy an opportunity to collaborate and evaluate the STP. The Commission directed Staff and parties to collaborate on a procedural schedule. The Commission's Order can be found here: https://estar.kcc.ks.gov/estar/ViewFile. aspx/20200827103642.pdf?Id=f5e15fda-13af-464a-99b5-6b9db8e5926e

On September 11, 2020, Evergy filed Evergy Notice of Stakeholder Update that contained a presentation of its STP that Evergy made to the investment community. That notice and presentation can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202009111517423332.pdf?Id=d025be45-038c-492f-9fbf-04717f4ccae4

On October 8, 2020, Commission Staff filed Procedural Update Regarding STP Investigation to update the Commission on the collaborative effort to arrive at a procedural schedule and a means for parties to review confidential data that Evergy relied on in its STP.

Staff's filing can be viewed here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202010080835253591.pdf?Id=df9832bf-55c7-4126-b366-72e8f29f27b7

On November 11, 2020, the Commission issued Order Establishing Procedural Schedule including a directive that all work studies were to be conducted online due to the COVID-19 pandemic.

The Commission's Order can be viewed here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/20201119102432.pdf?Id=5eb7d791-17b4-4bab-97e6-5801e83e81dc

On November 25, 2020, Evergy filed in

the Docket, Evergy Grid Modernization Workshop Presentation connected to the workshop to be held on December 3, 2020. That presentation can be found here:https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202011251452575729.pdf?Id=1df42160-717d-44a1-a947-6d41b942881f

The December 3, 2020, Grid Modernization Workshop can be viewed at the Commission's YouTube Channel at this address: https://youtu.be/D9r59lB15bc

On December 14 and 22, 2020, Evergy filed in the Docket, presentation materials for the December 21, 2020, workshop on operational efficiencies and related benefits. Those presentations can be found here:https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202012141333104552.pdf?Id=9530f3dc-3313-4c3a-933b-f228fcae025a and here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202012221137415905.pdf?Id=0e88797f-2d95-4697-8ccf-566b37bc32fd

Evergy's Workshop on Operational Efficiencies and Related Benefits can be viewed on the Commission's YouTube Channel at this address: https://youtu.be/ HfpNyBhsmZM

On January 13, 2021, Evergy filed presentation materials on customer experience related to the January 21, 2021 workshop. The presentation materials can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202101131554028234.pdf?Id=85da4efb-d0d4-4152-aa88-645d541de72e

Evergy's Workshop on Customer Experience can be viewed at the Commission's YouTube Channel at this address: https://youtu.be/I4tyGg4wZas On February 11, 2021, Commission Staff filed its Unopposed Motion to Extend Comment Deadlines by Two Weeks (But Maintain Final Workshop Date). Staff requested the additional time because there was voluminous and complex discovery that was outstanding and Staff had committed considerable time to presentations before the legislature in recent weeks. Staff requested the additional time so that it could prepare meaningful comments on Evergy's STP for Evergy to consider in its STP updates. This motion does not request a change in the date of the May 5, 2021, workshop were Evergy would respond to parties' comments and feedback.

On February 18, 2021, the Commission issued Order Granting Staff's Unopposed Motion to Extend Comment Deadlines grantint Staff's February 11, 2021, Motion to extend the comment period. The Commission's Order can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/21-088\_Order.grantingextenstion2filecomments.pdf'?Id=b4da6198-858b-4eb2-b080-db64813d7867

On February 24, 2021, Commission Staff filed Staff's Second Motion for Modification of Procedural Schedule to extend the procedural schedule due to the amount of Staff's time and attention required by Docket 21-GIMX-303-MIS that was opened in response to the State of Disaster Emergency and winter storm Uri. Staff's motion can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202102241521435520.pdf?Id=b0e9fe2e-2bce-4f90-9be2-cea683b37dfa

On March 2, 2021, the Commission issued Order Granting Staff's Second Motion for Modification of Procedural Schedule to modify the February 18, 2021 procedural schedule.

The Commission's Order can be viewed here:https://estar.kcc.ks.gov/estar/ViewFile.aspx/21-088\_Order.granting2dextenstion2filecomments\_(002).pdf?Id=0efd527e-16c9-4bc1-9197-1fe16e22b1c6

On March 29, 2021, Staff filed Staff's Third Motion for Modification of Procedural Schedule seeking to amend the Commission's March 2, 2021, procedural schedule. Staff cited its ongoing work in Docket 21-GIMX-303-MIS related to the State of Disaster Emergency resulting from winter storm Uri that diverted Staff's attention away from this Docket.

Staff's motion can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202103291252148260.pdf?Id=7b97e760-2677-41ab-923f-2bb447948f98

On April 8, 2021, the Commission issued Order Granting Staff's Third Motion for a Modified Procedural Schedule granting Staff's proposed modified procedural schedule with some modifications.

The Commission's Order can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/20210408111123.pdf?Id=eb9269d0-d61d-4e3f-9993-4e354177064c

On April 6, 2021, Evergy filed Evergy's 2nd Revised KCC Operational Efficiency Presentation Files related to its December 21, 2020, workshop. These files update those that were filed on December 22, 2020.

Those files can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202104061307408929.pdf?Id=18232be5-8965-44bf-90f2-f47a80bad38b

On April 14, 2021, Staff filed Redacted (Public) Staff Comments on STP and Topics Addressed During Commission Workshops. In its comments Staff stated that it viewed the STP as a balanced and reasonable plan that has potential to improve Evergy's regional rate competitiveness and service reliability. Staff suggested several refinements that Evergy should make to the STP if it is likely to be a plan that all (or a majority) of stakeholders can support.

Staff's Comments can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202104161339002367.pdf?Id=2da1373ef5aa-4b0f-af08-692750df758d

On May 6, 2021, the Commission issued Order Establishing Public Comment Period encouraging the public to submit comments concerning this docket via the Commission's website, electronic mail, or in writing. The Commission noted that the workshop presentations made in this docket are archived and available to the public at the Commission's YouTube Channel. The comment period would remain open July 7, 2021. The Commission's Public Affairs and Consumer Protection division shall file a report summarizing the public comments by July 14, 2021.

That order can be viewed here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/20210506102741.pdf?Id=a9fc179f-115d-4ef9-83e0-c9a23ae16265

On May 20, 2021, Evergy filed presentation materials connected to the May 24, 2021, Sustainability Transformation Plan Work Study Session that focuses on Evergy's response to comments filed by parties in this Docket. That presentation can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202105201616546544. pdf?Id=22a8c828-c337-4926-b6a6-dd66d23c9bf9

The May 24, 2021, workshop can be viewed at the Commission's YouTube

Channel at this address:

https://youtu.be/LH3bliz\_-mo

On July 13, 2021, public comments were filed in the docket by the Commission's Division of Public Affairs and Consumer Protection (PACP). Public comments were extensive, totaling 1,472. Those public comments can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202107131323298732.pdf?Id=1fba4979-3433-44eb-beec-56b49305a3a2

On July 15, 2021, PACP filed an addendum to the July 13, 2021, filing to clean up miscellaneous typographical errors, name misspellings and include one comment inadvertently omitted. The addendum can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202107151018554510.pdf?Id=a931af35-c7b7-49e7-b6a1-acae88280e33

On November 23, 2021, the Commission issued an order noting concerns and adding reporting requirements to evaluate the STP's progress toward regionally competitive rates.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 21-EPDE-330-GIE
Docket type Electric
Date filed 09 March 2021
Action date N/A
Commission action Pending

In the Matter of the Investigation into Empire District Company d/b/a Liberty Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

This Docket was opened on March 9, 2021 as a result of Staff's request in Docket No. 21-GIMX-303-MIS for the Commission to open separate company-specific investigations into each utility's response to the February 2021 Winter Storm Uri, as well as how the storm affected each utility from an operational and financial perspective. Each utility was required to file a compliance filing in its Docket detailing the extent of the costs incurred during the storm, and presenting a plan to minimize the financial effects of the storm for customers.

Empire's docket has been opened and Staff is continuing its investigation, however no financial plan to minimize the effects of the Winter Strom on customers has been presented.

## EVERGY, INC. (EVERGY METRO, INC., EVERGY KANSAS CENTRAL, INC. AND EVERGY SOUTH, INC.)

Docket number 21-EKME-329-GIE
Docket type Electric
Date filed 09 Mar 2021
Action date N/A
Commission action Pending

In the Matter of the Investigation into Evergy Kansas Metro and Evergy Kansas Central Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

This Docket was opened on March 9, 2021, as a result of Staff's request in Docket No. 21-GIMX-303-MIS for the Commission to open separate company-specific investigations into each utility's response to the February 2021 Winter Storm Uri, as well as how the storm affected each utility from an operational and financial perspective. Each utility was required to file a compliance filing in its Docket detailing the extent of the costs incurred during the storm, and presenting a plan to minimize the financial effects of the storm for customers.

On July 2, 2021, Evergy filed its customer plan to minimize the impacts of the Winter Storm for its customers. Evergy reported that its Evergy Kansas Central division incurred \$33.7 million in excess fuel costs and \$113.1 million in extra purchased power expense during the Winter Storm. Evergy requests to recover these costs over a two year period, which will increase the average residential bill by \$4.69 per month from April 2022 through March 2024. Evergy also reported that its Evergy Kansas Metro division received \$44.6 million in lower overall fuel and purchased power costs as a result of the event (due to wholesale power margins during this time). Evergy proposes to return these excess off-system sales margins to customers over a two year period, offset by \$5.7 million in allocation shortfalls caused by different allocation methods in Kansas and Missouri. Evergy's filing can be found here: https://estar.kcc.ks.gov/estar/ ViewFile.aspx/S202107021628058324. pdf?Id=9eb2e8b2-17b0-4d83-a54fae8da22530b5

Staff and other parties to this case are still investigating Evergy's preparation for, and actions during Winter Storm Uri, and a procedural schedule has yet to be developed to address Evergy's customer plan.

#### SOUTHERN PIONEER ELECTRIC COMPANY

Docket number 21-SPEE-331-GIE
Docket type Electric
Date filed 09 Mar 2021
Action date N/A
Commission action Pending

In the Matter of the Investigation into Southern Pioneer Electric Company Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

This Docket was opened on March 9, 2021, as a result of Staff's request in Docket No. 21-GIMX-303-MIS for the Commission to open separate company-specific investigations into each utility's response to the February 2021 Winter Storm Uri, as well as how the storm affected each utility from an operational and financial perspective. Each utility was required to file a compliance filing in its Docket detailing the extent of the costs incurred during the storm, and presenting a plan to minimize the financial effects of the storm for customers.

On March 10, 2021, Southern Pioneer presented its financial plan to minimize the impact of the Winter Weather event on its customers. Southern Pioneer reported that it incurred approximately \$17 million in extraordinary costs associated with the event. The original plan was as follows: (1) Each customers' share of their costs will be based on their kWh usage during the month of February 2021. (2) The amount calculated will be recovered in equal monthly payments over a thirtysix (36) month period. Large Industrial customers will have the option of paying their obligation in fewer than 36 months if the provide notice to Southern Pioneer. (3) If a customer disconnects from service, the amount still owed on this charge will be included as part of the disconnect fee for that customer and collected accordingly. Southern Pioneer's application can be found here: https://estar.kcc.ks.gov/estar/ ViewFile.aspx/S202103101410027302. pdf?Id=f829f7bc-b829-4f7a-8158-74389a91cafa

On June 11, 2021, Southern Pioneer filed a revised and supplemental application clarifying that line losses, company usage amounts, and other miscellaneous costs should not be allocated to individual customers, but instead should be recovered through the normal reconciliation process of the ACA (fuel clause). Additionally, Southern Pioneer revised its plan to include carrying charges at the company's actual cost of debt, plus the required cushion/margin that its lenders require. This allows these costs to be collected from the customers that caused them as opposed to other customers like transmission customers that do not purchase power from Southern Pioneer. The supplemental application can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202106111300228807.pdf?Id=98d11662-f6bd-40d0-b718-6bf2c10bb784

## Dockets | LARGE ELECTRIC UTILITIES (revenues of more than \$10M)

The Commission acted upon the following dockets filed by Large Electric Utilities from July 1, 2020 to June 30, 2021. These filings involved companies with operating revenues of more than \$10,000,000.

#### **GENERAL INVESTIGATION ELECTRIC**

Docket number 20-GIME-068-GIE
Docket type Electric
Date filed 03 Sep 2020
Commission action Noted & Filed

In the Matter of a General Investigation Regarding the Rate Study and Assessment Expenses Resulting from Substitute for Senate Bill No. 69.

#### SOUTHERN PIONEER ELECTRIC COMPANY

Docket number 20-SPEE-169-RTS
Docket type Electric
Date filed 09 Oct 2019
Action date 14 Jul 2020
Commission action Approved

In the Matter of the Application of Southern Pioneer Electric Company for Approval to Make Certain Changes in its Charges for Electric Service.

#### **GENERAL INVESTIGATION ELECTRIC**

Docket number 19-GIME-504-GIE
Docket type Electric
Date filed 14 May 2021
Action date 14 May 2021

In the Matter of a General Investigation Regarding the Possible Implementation of a Separate Schools-Only Tariff in Kansas City Power & Light's Service Territory.

#### SOUTHWEST POWER POOL, INC.

Docket number 17-SPPE-117-GIE
Docket type Electric
Date filed 19 Jan 2017
Action date 15 Oct 2020
Commission action Withdrawn

In the Matter of a General Investigation for the Purpose of Investigating Whether Annual or Periodic Cost/Benefit Reporting by the SPP and Kansas Electric Utilities that Participate in SPP is in the Public Interest.

#### **SOUTHERN PIONEER ELECTRIC COMPANY**

Docket number 19-SPEE-240-MIS
Docket type Electric
Date filed 20 Dec 2018
Action date 11 Aug 2020
Commission action Granted

In the Matter of Southern Pioneer Electric Company for Approval of the Continuation of its Debt Service Coverage and 34.5 kV Formula Based Ratemaking Plans.

#### **GENERAL INVESTIGATION ELECTRIC**

Docket number
Docket type
Date filed
Action date
Commission action
Docket number
16-GIME-242-GIE
Electric
03 Dec 2015
April 2020
Final Order

In the Matter of a General Investigation Regarding the U.S. Environmental Protection Agency's Final Rule on Carbon Pollution Emission Guidelines for Existing Stationary Sources: Electric Utility Generating Units.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 19-EPDE-216-ACA
Docket type Electric
Date filed 03 Dec 2018
Action date 11 Feb 2021
Commission action Approved

In the Matter of the Application of The Empire District Electric Company for Approval of its Annual Energy Cost Adjustment ("ACA") Filing.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-287-TARDocket typeElectricDate filed30 Dec 2019Action date04 Aug 2020Commission actionDenied

In the Matter of the Joint Application of Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for a Waiver from Certain Provisions of the Commission's Rules and Regulations for Net Metering.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-254-TARDocket typeElectricDate filed11 Dec 2019Action date15 Sep 2020Commission actionApproved

In the Matter of the Application of Evergy Kansas Central Inc. for Revisions to its General Terms and Conditions, Section 7, to Change the Responsibility of Who Furnishes the Meter Receptacle.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-353-CCSDocket typeElectricDate filed12 Feb 2020Action date30 Jul 2020Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Cease Transacting the Business of an Electric Public Utility Specifically, in Section 21, Township 07 South, Range 09 East in Pottawatomie County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-357-TARDocket typeElectricDate filed14 Feb 2020Action date29 Oct 2020Commission actionApproved

In the Matter of the Application of Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for Approval of Customer Forward Program Tariff Changes.

#### **EVERGY METRO, INC**

Docket number20-EKME-358-TARDocket typeElectricDate filed14 Feb 2020Action date29 Oct 2020Commission actionGranted

In the Matter of the Application of Evergy Kansas Metro Inc. for Approval of Customer Forward Program Tariff Changes.

#### **EVERGY METRO, INC**

Docket number 20-EKME-397-COM
Docket type Electric
Date filed 12 Mar 2021
Action date 11 May 2021
Commission action Denied

In the Matter of the Complaint Against Kansas City Power & Light (Evergy) by William J Flohrs.

#### **EVERGY METRO, INC**

Docket number20-EKME-341-COMDocket typeElectricDate filed30 Jan 2020Action date10 Sep 2020Commission actionDismissed

In the Matter of the Complaint Against KCP&L, Inc. (Evergy Metro) by Carol Foulds.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-356-COMDocket typeElectricDate filed13 Feb 2020Action date22 Dec 2020Commission actionFinal Order

In the Matter of the Complaint Against Evergy by Nicholas J Beery.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-424-COCDocket typeElectricDate filed15 Apr 2020Action date01 Sep 2020Commission actionApproved

In the Matter of the Application of Evergy Kansas Central, Inc. for a Certificate of Public Convenience and Authority to Transact the Business of an Electric Public Utility Defined as the Marshall County Transmission Line Project WR#M100924508 in Marshall County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-414-COMDocket typeElectricDate filed06 Apr 2020Action date11 Mar 2021Commission actionDismissed

In the Matter of the Complaint Against Evergy by Richard S. & Barbara R. Brooks.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-425-COMDocket typeElectricDate filed14 Apr 2021Action date17 Jun 2021Commission actionDenied

In the Matter of the Complaint Against Evergy Kansas Central, Inc. by Steven & Chaunzey Tenbrink.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-297-COCDocket typeElectricDate filed09 Jan 2020Action date14 Jul 2020Commission actionApproved

In the Matter of the Application of Evergy Kansas Central, Inc. for Certificate of Public Convenience and Authority to Transact the Business of an Electric Public Utility Defined as Bee Creek to Elk Junction Transmission Line Project in Montgomery, County, Kansas.

#### **EVERGY METRO, INC**

Docket number 20-EKME-514-GIE
Docket type Electric
Date filed 11 Jun 2020
Action date 29 Oct 2020
FCommission action inal Order

In the Matter of the Investigation into the Agreement Between Evergy and Elliott Management to Consider a Modified Standalone Plan or Merger Transaction.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number 20-EKCE-516-COC
Docket type Electric
Date filed 12 Jun 2020
Action date 11 Aug 2020
Commission action Granted Tro

In the Matter of the Application of Evergy Kansas Central, Inc. for a Certificate of Public Convenience and Authority to Transact the Business of an Electric Public Utility Defined as KDOT Highway 99 Road Project in Wabaunsee County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-532-TARDocket typeElectricDate filed29 Jun 2020Action date01 Sep 2020Commission actionApproved

In the Matter of the Application of Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for Approval of Revisions to Direct Renewable Participation Service Tariff.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 20-EPDE-503-COC
Docket type Electric
Date filed 01 Jun 2020
Action date 17 Nov 2020
Commission action Approved

In the Matter of the Application of The Empire District Electric Company for a Certificate of Public Convenience and Authority to Transact the Business of an Electric Public Utility (Transmission Rights Only) in Portions of Neosho County, Kansas.

#### **EVERGY METRO, INC**

Docket number 21-EKME-017-CCS
Docket type Electric
Date filed 09 Jul 2020
Action date 11 Aug 2020
Commission action Granted

In the Matter of the Application of Evergy Kansas Metro, Inc. for Authority to Cease Transacting the Business of an Electric Public Utility Specifically in Portions of Section 30, Township 18 South, Range 24 East, Miami County, Kansas.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 20-EPDE-526-TAR
Docket type Electric
Date filed 22 Jun 2020
Action date 28 Jul 2020
Commission action Approved

In the Matter of the Application of The Empire District Electric Company a Liberty Utilities Company for the Annual Transmission Delivery Charge (TDC) Rider.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-025-TARDocket typeElectricDate filed15 Jul 2020Action date22 Sep 2020Commission actionApproved

In the Matter of Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. Seeking Commission Approval for Tariff Revisions to its Energy Efficiency Rider.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 21-EPDE-015-TAR
Docket type Electric
Date filed 08 Jul 2020
Action date 18 Mar 2021
Commission action Approved

In the Matter of the Application of The Empire District Electric Company for Temporary Waiver of Meter-Testing Requirements and Approval of Tariffs to Enable the Deployment of Advanced Metering Infrastructure for Electric Customers.

### SUNFLOWER ELECTRIC POWER CORPORATION

Docket number 21-SEPE-049-TAR
Docket type Electric
Date filed 29 Jul 2020
Action date 15 Apr 2021
Commission action Granted

In the Matter of the Joint Application of Sunflower Electric Power Corporation, Prairie Land Electric Cooperative, Inc., The Victory Electric Cooperative Association, Inc., and Western Cooperative Electric Association, Inc., for Approval of Continuation of 34.5 kV Formula-Based Rates and Updated 34.5 kV Loss Factors.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number 20-EKCE-458-TAR
Docket type Electric
Date filed 07 May 2020
Action date 07 Jul 2020
Commission action Approved

In the Matter of the Application of Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for approval of Changes to its Property Tax Surcharge Tariff.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number20-EPDE-459-TARDocket typeElectricDate filed08 May 2020Action date16 Feb 2021Commission actionApproved

In the Matter of the Application of The Empire District Electric Company's Proposed Tariff Revision of the Credit/Debit Card Transaction Amounts.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number20-EKCE-470-COMDocket typeElectricDate filed13 May 2020Action date26 Jan 2021Commission actionDismissed

In the Matter of the Complaint Against Westar/Evergy by Shooters Gun Club Richard G. Sells.

#### **EVERGY METRO, INC**

Docket number 20-EKME-483-MIS
Docket type Electric
Date filed 22 May 2020
Action date 07 Jul 2020
Commission action Approved

In the Matter of the Application of Evergy Metro, Inc., Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for Approval of COVID-19 Related Customer Programs.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number 21-EKCE-041-CCS
Docket type Electric
Date filed 23 Jul 2020
Action date 19 Nov 2020
Commission action Granted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Cease Transacting the Business of an Electric Public Utility Specifically, in Section 11, Township 20 South, Range 02 West in McPherson County, Kansas.

#### **EVERGY METRO, INC**

Docket number 1-EKME-050-RTS
Docket type Electric
Date filed 31 Jul 2020
Action date 24 Nov 2020
Commission action Approved

In the Matter of the Application of Evergy Metro, Inc., Evergy Kansas Central, Inc. and Evergy Kansas South, Inc. for Adjustment of Base Rates to Comply with HB No. 2585.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number21-EPDE-101-TARDocket typeElectricDate filed31 Aug 2020Action date24 Nov 2020Commission actionApproved

In the Matter of the Application of The Empire District Electric Company for Approval of a Credit to Customers to Comply with House Bill 2585.

#### **EVERGY METRO. INC**

Docket number21-EKME-122-COMDocket typeElectricDate filed22 Sep 2020Action date15 Oct 2020Commission actionDismissed

In the Matter of the Complaint Against Evergy by Jeffery C. Long.

### WOLF CREEK NUCLEAR OPERATING CORPORATION

Docket number 21-WCNE-103-GIE
Docket type Electric
Date filed 01 Sep 2020
Action date 20 Apr 2021
Commission action Granted

In the Matter of the 2020 Wolf Creek Triennial Decommissioning Financing Plan.

#### **EVERGY METRO, INC**

Docket number21-EKME-241-TARDocket typeElectricDate filed30 Dec 2020Action date21 Jan 2021Commission actionApproved

In the Matter of the Application of Evergy Kansas Metro Seeking Approval for a Property Tax Surcharge Adjustment for 2021, Per K.S.A. 66-117(f).

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-209-CCSDocket typeElectricDate filed09 Dec 2020Action date11 Feb 2021Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Cease Transacting the Business of an Electric Public Utility Specifically, in Section 35, Township 29 South, Range 02 West in Sedgwick County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-240-TARDocket typeElectricDate filed30 Dec 2020Action date26 Jan 2021Commission actionApproved

In the Matter of the Application of Evergy Kansas Central, Inc. Filing its Property Tax Surcharge.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number21-EPDE-282-TARDocket typeElectricDate filed29 Jan 2021Action date25 Feb 2021Commission actionApproved

In the Matter of the Application of The Empire District Electric Company for the Ad Valorem Tax Surcharge Rider Tariff Adjustment Annual True-Up for 2021.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-230-CCSDocket typeElectricDate filed21 Dec 2020Action date12 Jan 2021Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Cease Transacting the Business of an Electric Public Utility Specifically in Section 32, Township 12 South, Range 19 East in Douglas County, Kansas.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number21-EPDE-205-TARDocket typeElectricDate filed04 Dec 2020Action date12 Jan 2021Commission actionApproved

In the Matter of the Application of The Empire District Electric Company for Approval of its Annual True-Up of its Net Excess ADIT Pursuant to its Tax Change Rider.

#### **EVERGY METRO, INC**

Docket number 21-EKME-287-CPL
Docket type Electric
Date filed 02 Feb 2021
Action date 18 Mar 2021
Commission action Final Order

In the Matter of Evergy Kansas Metro, Inc. and Evergy Kansas Central, Inc. Filing Compliance Reports and Information Notify the Commission upon Closing Walk-in Payment Locations Docket No. 04-GIMX-651-GIV.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-308-TARDocket typeElectricDate filed23 Feb 2021Action date01 Apr 2021Commission actionAdopted

In the Matter of the Application of Evergy Kansas Central Inc and Evergy Kansas South, Inc. Filing Tariff Revisions to its Transmission Delivery Charge.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number 21-EKCE-318-TAR
Docket type Electric
Date filed 22 Feb 2021
Action date 08 Apr 2021
Commission action Apprvd/Mod

In the Matter of Evergy Kansas Central and Evergy Metro Filing Tariff Revisions to Limited Large Customer Economic Development Discount Rider (LEDR).

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number21-EPDE-276-CONDocket typeElectricDate filed27 Jan 2021Action date10 Jun 2021Commission actionFinal Order

In the Matter of the Application of The Empire District Electric Company's Submission of its Affiliated Interest Agreements with North Fork Ridge Wind, LLC and Kings Point Wind, LLC.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number21-EPDE-346-CONDocket typeElectricDate filed11 Mar 2021Action date17 Jun 2021Commission actionFinal Order

In the Matter of the Application of The Empire District Electric Company's Submission of its Affiliated Interest Agreements with Neosho Ridge Wind, LLC and Affiliated Interest Agreements Relating to the Neosho Ridge Wind Project.

#### SOUTHERN PIONEER ELECTRIC COMPANY

Docket number21-SPEE-232-TARDocket typeElectricDate filed21 Jan 2021Action date21 Jan 2021Commission actionApproved

In the Matter of the Application of Southern Pioneer Electric Company Filing its 2020 Property Tax Surcharge for 2021 Rates.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-291-COMDocket typeElectricDate filed04 Feb 2021Action date06 Apr 2021Commission actionDenied

In the Matter of the Complaint Against Evergy by Gary Alan Klasen.

## SUNFLOWER ELECTRIC POWER CORPORATION

Docket number 21-SEPE-113-CON
Docket type Electric
Date filed 11 Sep 2020
Action date 11 Mar 2021
Commission action Approved

In the Matter of the Application of Sunflower Electric Power Corporation for Approval of the City of St. Francis Firm Energy, Capacity, and Load Following Agreement, and City of Hill City Firm Energy, Capacity, and Load Following Agreement.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-141-COCDocket typeElectricDate filed08 Oct 2020Action date14 Jan 2021Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Transact the Business of an Electric Public Utility Specifically in a Portion of Section 12, Township 13 South, Range 15 East, Shawnee County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-148-COCDocket typeElectricDate filed13 Oct 2020Action date17 Nov 2020Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Transact the Business of an Electric Public Utility Specifically, in a Portion of Section 22, Township 04 South, Range 06 East, Marshall County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-147-COCDocket typeElectricDate filed13 Oct 2020Action date16 Mar 2021Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for a Certificate of Public Convenience and Authority to Transact the Business of an Electric Public Utility Defined as County Line to Arnold 115 K Transmission Line Project from Jefferson County, Kansas to Atchison County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-371-CCSDocket typeElectricDate filed24 Mar 2021Action date22 Apr 2021Commission actionGranted

In the Matter of the Application of Evergy Kansas Central, Inc. for Authority to Cease Transacting the Business of an Electric Public Utility Specifically, in Section 23, Township 26 South, Range 02 East in Sedgwick County, Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-375-COMDocket typeElectricDate filed25 Mar 2021Action date03 Jun 2021Commission actionDismissed

In the Matter of the Complaint Against Evergy Electric Company by Austin & Megan Ross.

#### **EVERGY METRO, INC**

Docket number21-EKME-361-TARDocket typeElectricDate filed19 Mar 2021Action date20 May 2021Commission actionAdopted

In the Matter of Evergy Metro, Inc. d/b/a Evergy Kansas Metro Seeking Commission Approval to Implement Changes in their Transmission Delivery Charges Rate Schedules.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number21-EKCE-381-COMDocket typeElectricDate filed31 Mar 2021Action date04 May 2021Commission actionDismissed

In the Matter of the Complaint Against Evergy by Virginia Lauppe.

# Dockets | SMALL ELECTRIC UTILITIES (revenues of less than \$10M)

The Commission acted upon the following dockets filed by Small Electric Utilities from July 1, 2020 to June 30, 2021. These filings involved companies with operating revenues of less than \$10,000,000.

#### C. M. S. ELECTRIC COOPERATIVE, INC.

Docket number 21-CMSE-211-COC
Docket type Electric
Date filed 10 Dec 2020
Action date 11 Feb 2021
Commission action Granted

In the Matter of the Application of CMS Electric Cooperative, Inc. for a Certificate of Convenience and Authority to Transact the Business of a(N) Electric Public Utility in the State of Kansas. To Bury a New Underground 34.Kv Line in Seward County, Kansas.

#### C. M. S. ELECTRIC COOPERATIVE, INC.

Docket number21-CMSE-212-COCDocket typeElectricDate filed10 Dec 2020Action date11 Feb 2021Commission actionGranted

In the Matter of the Application of CMS Electric Cooperative, Inc. for a Certificate of Convenience and Authority to Transact the Business of a(N) Electric Public Utility in the State of Kansas. The NE ½ Sec. 21 Twp.34s, Rng32w, Seward County, Kansas.

#### FREESTATE ELECTRIC COOPERATIVE, INC.

Docket number 21-FSEE-179-COC
Docket type Electric
Date filed 03 Nov 2020
Action date 12 Jan 2021
Commission action Granted

In the Matter of the Application of FreeState Electric Cooperative for a Certificate of Convenience and Authority to Transact the Business of a(n) Electric Public Utility in the State of Kansas. Section 32 Township 12 South Range 19 East.

#### FREESTATE ELECTRIC COOPERATIVE, INC.

Docket number 21-FSEE-139-CCS
Docket type Electric
Date filed 07 Oct 2020
Action date 14 Jan 2021
Commission action Approved

In the Matter of the Application of FreeState Electric Cooperative, for Authority to Cease Transacting the Business of an Electric Public Utility Specifically, in a Portion of Section 12, Township 13 South, Range 15 East, in Shawnee County, Kansas.

#### **CITY OF WATERVILLE**

Docket number 21-WVKE-156-CCS
Docket type Electric
Date filed 19 Oct 2020
Action date 17 Nov 2020
Commission action Granted

In the Matter of the Application of the City of Waterville, KS for Permission to Cease Operating as an Electric Public Utility, Specifically, in a Portion of Section 22, Township 4 South, Range 06 East, Marshall County, Kansas.

#### **CITY OF WINFIELD**

Docket number21-WNFE-080-COCDocket typeElectricDate filed10 Aug 2020Action date01 Oct 2020Commission actionGranted

In the Matter of the Application of City of Winfield, for a Certificate of Convenience and Authority to Transact the Business of a(n) Electric Public Utility in the State of Kansas.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number19-WSEE-380-ACADocket typeElectricDate filed20 Mar 2019Action date28 Jan 2021Commission actionApproved

In the Matter of the Joint Application of Westar Energy, Inc. and Kansas Gas and Electric Company for Approval of Their Annual Energy Cost Correction Adjustment Factor.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number19-WSEE-410-COMDocket typeElectricDate filed09 Apr 2019Action date24 Sep 2020Commission actionDenied

In the Matter of the Complaint Against Westar Energy by Elvis J. Grubbs.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number 19-WSEE-361-COM
Docket type Electric
Date filed 11 Mar 2019
Action date 09 Jul 2020
Commission action Denied

In the Matter of the Complaint Against Westar Energy by John Feldkamp.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number18-WSEE-328-RTSDocket typeElectricDate filed01 Feb 2018Action date08 Apr 2021Commission actionFinal Order

In the Matter of the Joint Application of Westar Energy, Inc. and Kansas Gas and Electric Company for Approval to Make Certain Changes in their Charges for Electric Services.

#### **CITY OF WELLINGTON**

Docket number
Docket type
Date filed
Action date
Commission action

21-WELE-154-COC
Electric
15 Oct 2020
Act 2020
Withdrawn

In the Matter of the Application of City of Welling for a Certificate of Convenience and Authority to Transact the Business of a(n) Electric Public Utility in the State of Kansas. Portions of Sumner County, City of Wellington.

#### WHEATLAND ELECTRIC COOPERATIVE, INC.

Docket number21-WHLE-296-CCSDocket typeElectricDate filed09 Feb 2021Action date27 May 2021Commission actionFinal Order

In the Matter of the Application of Wheatland Electric Cooperative, Inc. for Permission to Cease Operating as an Electric Utility in the State of Kansas.

#### WHEATLAND ELECTRIC COOPERATIVE, INC.

Docket number21-WHLE-295-CCSDocket typeElectricDate filed09 Feb 2021Action date25 May 2021Commission actionFinal Order

In the Matter of the Application of Wheatland Electric Cooperative, Inc. for Permission to Cease Operating as an Electric Utility in the State of Kansas.

#### **CITY OF GARDEN CITY**

Docket number 21-GDCE-247-MIS
Docket type Electric
Date filed 21 Dec 2020
Action date 25 May 2021
Commission action Final Order

In the Matter of the Notice of Annexation of Territory and Selection of a Retail Electric Service Provider by the City of Garden City, Kansas.

#### **CITY OF GARDEN CITY**

Docket number 21-GDCE-167-MIS
Docket type Electric
Date filed 26 Oct 2020
Action date 27 May 2021
Commission action Final Order

In the Matter of the Notice of Annexation of Territory and Selection of a Retail Electric Service Provider by the City of Garden City, Kansas.

#### **EVERGY METRO, INC**

Docket number 20-KCPE-154-MIS
Docket type Electric
Date filed 01 Oct 2019
Action date 23 Jul 2020
Commission action Granted

In the Matter of the Application of Evergy Metro, Inc. for Approval to Extend its Demand-Side Management Programs.

#### **EVERGY METRO, INC**

Docket number 19-KCPE-353-ACA
Docket type Electric
Date filed 01 Mar 2019
Action date 04 Feb 2021
Commission action Approved

In the Matter of the Application of Kansas City Power & Light Company for Approval of 2018 Actual Cost Adjustment ("ACA").

#### **EVERGY METRO, INC**

Docket number20-KCPE-107-COMDocket typeElectricDate filed04 Sep 2019Action date17 Sep 2020Commission actionResolved

In the Matter of the Complaint Against KCPL by Kevin and Laura Fitzpatrick.

### WESTERN COOPERATIVE ELECTRIC ASSN., INC.

Docket number 20-WSTE-440-TAR
Docket type Electric
Date filed 30 Apr 2020
Action date 15 Sep 2020
Commission action Approved

In the Matter of the Application of Western Cooperative Electric, Inc. Seeking Commission Approval to Update its Local Access Delivery Service Tariff Pursuant to the 34.kV Formula Based Rate Plan Approved in Docket No. 16-MKEE-023-TAR.

### WESTERN COOPERATIVE ELECTRIC ASSN., INC.

Docket number21-WSTE-309-CCSDocket typeElectricDate filed23 Feb 2021Action date30 Mar 2021Commission actionGranted

In the Matter of the Application of Western Cooperative Electric Assn., Inc. for Permission to Cease Operating as an Electric Public Utility in the State of Kansas S 1/2 of the NW 1/4 and SW 1/4 of Section 5, Township 14S, Range 11W Russell County, Kansas.

#### KANSAS ETHANOL, LLC

Docket number21-KELE-306-GENDocket typeElectricDate filed15 Feb 2021Action date07 Apr 2021Commission actionAccepted

In the Matter of the Notice of the Exercise by Kansas Ethanol, LLC, of its Option Under K.S.A. 66-104(e).

#### MCPHERSON BOARD OF PUBLIC UTILITIES

Docket number21-MPBE-181-COCDocket typeElectricDate filed17 Aug 2020Action date19 Nov 2020Commission actionGranted

In the of the Application of McPherson Board of Public Utilities for a Certificate of Convenience and Authority to Transact the Business of a(n) Electric Public Utility in the State of Kansas. Section 11, T20S-R2W, McPherson County, Kansas.

#### **GRAIN BELT EXPRESS LLC**

Docket number20-GBEE-501-CCNDocket typeElectricDate filed28 May 2020Action date16 Jul 2020Commission actionApproved

In the Matter of the Application of Grain Belt Express Clean Line LLC Changing its Name to Grain Belt Express LLC.

### BUTLER RURAL ELECTRIC COOPERATIVE ASSN., INC.

Docket number
Docket type
Date filed
Action date
Commission action

21-BTRE-297-COC
Electric
10 Feb 2021
22 Apr 2021
Granted

In the Matter of the Application of Butler Electric Cooperative Assn. Inc. for a Certificate and Authority to Transact the Busions of an Electric Utility in the State of Kansas.

#### FLAT RIDGE 3 WIND ENERGY, LLC

Docket number21-FR3E-079-WNDDocket typeElectricDate filed10 Aug 2020Action date17 Aug 2020Commission actionAccepted

In the Matter of the Notice of Exemption Pursuant ot K.S.A. 66-104(e) of Flat Ridge 3 Wind Energy.

#### **GRIDLIANCE HIGH PLAINS LLC**

Docket number 21-GLPE-048-COC
Docket type Electric
Date filed 29 Jul 2020
Action date 27 Aug 2020
Commission action Granted Tro

In the Matter of the Application of GridLiance High Plains LLC for a Certificate of Public Convenience and Authority to Transact the Business of an Electric Public Utility Defined as the Walnut River Crossing 69 kV Improvement Transmission Line Project in Cowley County, Kansas.

#### **GRIDLIANCE HIGH PLAINS LLC**

Docket number21-GLPE-160-ACQDocket typeElectricDate filed20 Oct 2020Action date02 Feb 2021Commission actionApproved

In the Matter of the Joint Application of GridLiance High Plains LLC, GrdLiance GP, LLC and GridLiance Holdco, LP ("GridLiance") NextEra Energy Transmission, LLC ("NextEra Entities") for Approval of the Acquisition of GridLiance by the NextEra Entities.

### HEARTLAND RURAL ELECTRIC COOPERATIVE, INC.

Docket number21-HREE-037-COCDocket typeElectricDate filed21 Jul 2020Action date11 Aug 2020Commission actionGranted

In the Matter of the Application of Heartland Rural Electric Cooperative, Inc. for a Certificate of Convenience and Authority to Transact the Business of a(n) Electric Public Utility in the State of Kansas. In portion of Section 30, Township 18 South, Range 21 East, Miami County, Kansas.

#### **BLUESTEM ELECTRIC COOPERATIVE, INC.**

Docket number20-BECE-465-COCDocket typeElectricDate filed12 May 2020Action date30 Jul 2020Commission actionApproved

In the Matter of the Application Bluestem Electric Cooperative, Inc. for a Certificate of Convenience and Authority to Transact the Business of a Public Utility in the State of Kansas. A Portion of Section 21, Township 07 South, Range 09 East, in Pottawatomie County, Kansas.

#### ROLLING HILLS ELECTRIC COOPERATIVE, INC.

Docket number21-RHCE-311-COCDocket typeElectricDate filed23 Feb 2021Action date30 Mar 2021Commission actionGranted

In the Matter of Rolling Hills Electric Cooperative, Inc. for the Certificate of Convenience and Authority to Transact the Business of an Electric Cooperative Utility in the State of Kansas. Russell County T14s, R11w, Sec 5 NW, SW.

#### **IRISH CREEK WIND PROJECT**

Docket number21-ICWE-222-WNDDocket typeElectricDate filed15 Dec 2020Action date19 Jan 2021Commission actionApproved

In the Matter of Notice of Exemption of Irish Creek Wind, LLC Pursuant to K.S.A. 66-104(e).

#### ARK VALLEY ELECTRIC COOP., ASSN., INC.

Docket number 21-AKVE-263-COC
Docket type Electric
Date filed 19 Jan 2021
Action date 16 Feb 2021
Commission action Granted

In the Matter of the Application of Ark Valley Electric Coop. Assn. Inc. for a Certificate of Convenience and Authority to Transact the Business of a(n) Electric Public Utility in the State of Kansas. In the NW 4 NW4 Sec 16 &SW4 SW4Sec9 T21S R8W.

#### PRAIRIE LAND ELECTRIC COOPERATIVE, INC.

Docket number20-PLCE-434-TARDocket typeElectricDate filed27 Apr 2020Action date10 Sep 2020Commission actionApproved

Prairie Land Electric Cooperative, Inc.
Formula Based Rate Plan In the Matter of
the Application of Prairie Land Electric
Cooperative, Inc. Seeking Commission
Approval to Update Its Local Access Delivery
Service Tariff Pursuant to the 34.5kV
Formula Based Rate Plan Approved in
Docket No. 16-MKEE-023-TAR.

#### PRAIRIE LAND ELECTRIC COOPERATIVE, INC.

Docket number21-PLCE-242-TARDocket typeElectricDate filed30 Dec 2020Action date21 Jan 2021Commission actionApproved

In the Matter of the Application of Prairie Land Electric Cooperative, Inc.Fling its Property Tax Surcharge 2020.

#### VICTORY ELECTRIC COOPERATIVE ASSN., INC.

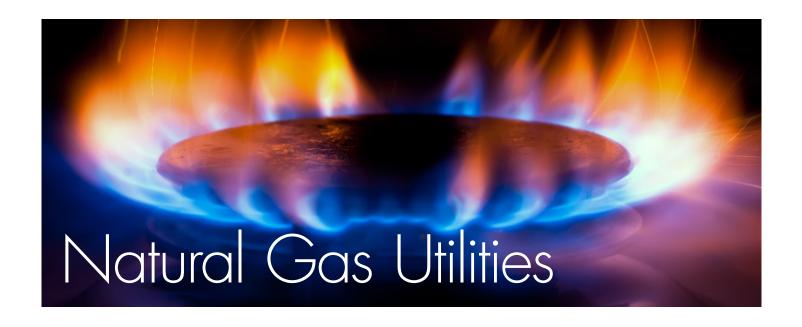
Docket number20-VICE-437-TARDocket typeElectricDate filed29 Apr 2020Action date01 Oct 2020Commission actionApproved

In the Matter of the Application of The Victory Electric Cooperative Association, Inc., Seeking Commission Approval to Update Its Local Access Delivery Service Tariff Pursuant to the 34.5kV Formula Based Rate Plan Approved in Docket No. 16-MKEE-023-TAR.

#### **MELVERN WATER DEPARTMENT**

Docket number 21-MWDW-143-COM
Docket type Electric
Date filed 09 Oct 2020
Action date 24 Nov 2020
Commission action Dismissed

In the Matter of the Complaint Against Melvern Water Dept. by Lester Stauffacher.

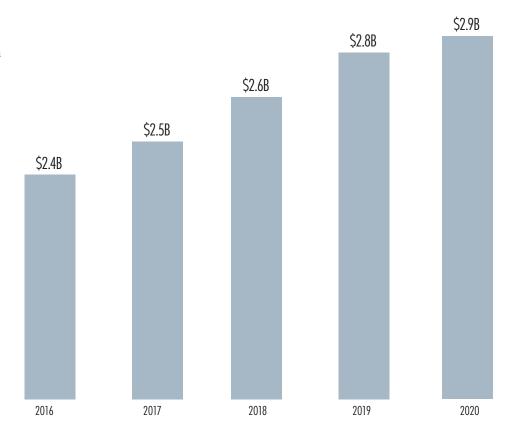


## Financials

The following are selected Gross Plant, Revenue, and Expense charts and tables including updated information beyond the close of the fiscal year, where available. Some charts depict information available only on a calendar-year basis.

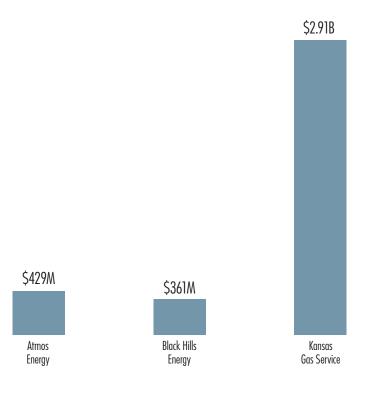
#### GAS COMPANIES STATEWIDE GROSS PLANT | 2016–2020

Gross Plant is the sum total value of utility assets and property as well as the fixed cost of the generation and provision of service on which the Utility's rate of return is applied in accordance with rules set by the KCC. This chart displays the aggregate total gross plant of all regulated public utilities in Kansas for the past five years.



#### GAS COMPANIES GROSS PLANT, BY COMPANY | 2020

This chart takes the statewide aggregate gross plant figure from the preceding table and divides it among the major regulated utilities. As can be seen from the data, one entity encompasses a vast majority of utility gross plant across Kansas.



#### GAS COMPANIES REVENUES AND EXPENSES, BY COMPANY | 2020

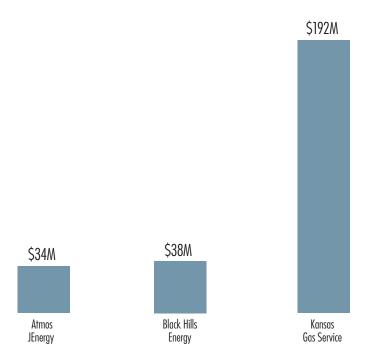
This chart compares each utility's annualized revenues and expenses and the difference between the two represents the gross margin of each company.





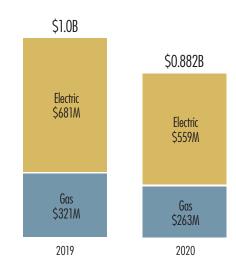
#### GAS COMPANIES PURCHASED GAS COSTS, BY COMPANY | 2020

This chart takes the expense portion from each utility on the previous table and carves out the cost of purchased gas.



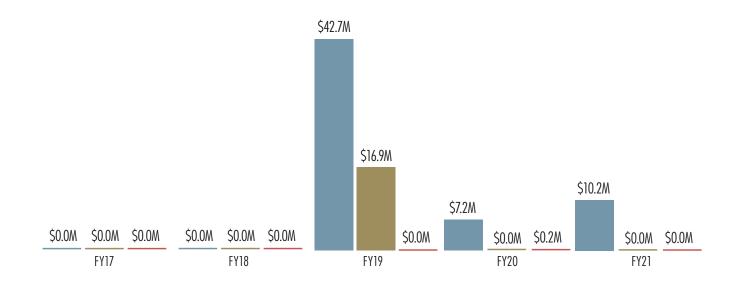
## TOTAL PURCHASED GAS (GAS UTILITIES) AND TOTAL PURCHASED FUEL FOR GENERATION (ELECTRIC UTILITIES) | 2019 - 2020

This chart shows the total natural gas purchased for utility use for the last two years. Each column bar is broken down into blue and yellow portions. The blue represents gas purchased by the gas utilities for regulated delivery to their retail and wholesale customers. The yellow represents natural gas "fuel" purchased by electric utilities for natural gas-fired generation of electricity. Whereas the bulk of retail gas use (blue) is for winter heating, utility gas fuel use is predominantly during peak electric usage summer months where baseload nuclear and coal generation have already been dispatched and the remaining load must be served by gas-fired generation.



#### GAS UTILITIES WITH REVENUES OF OVER \$10M | RATE CASE RESULTS | FY17-FY21

- Requested
- Granted
- Refunds and/or Rate Reductions



#### RESIDENTIAL GAS BILL COMPARISON | JULY 2020 - JUNE 2021

The following chart represents a comparison of monthly bills based on average residential consumption of 5.979 Mcf.

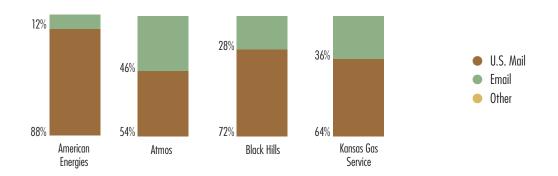
Company**	Customer Charge	Delivery Charge	Cost of Gas	Hedge Factor	GSRS per Meter/ Month	Property Tax	Total Bill Without Tax	Cost Per MCF	Utility Bill as a % of National Avg. Bill	Utility Bill as a % of Kansas Wt. Avg. Bill	Delivery Charge per MCF	Hedge Factor per MCF	Cost of Gas per MCF	Number of Residential Customers FY20
Atmos Energy	\$18.89	\$9.18	\$21.91	\$2.42	\$0.80	\$0.47	\$53.68	\$8.98	80.58%	91.53%	\$1.54	\$0.41	\$3.33	126,724
Black Hills Energy	\$17.25	\$10.44	\$23.94	\$1.35	\$2.38	\$(0.11)	\$55.24	\$9.24	82.93%	94.20%	\$1.75	\$0.22	\$4.00	103,148
Kansas Gas Service	\$18.70	\$14.04	\$22.99	\$4.92	\$0.30	\$0.34	\$61.28	\$10.08	92.00%	104.50%	\$2.35	\$0.82	\$3.84	589,076
					KS. Weighted Average Bill***		\$58.64	\$9.81	88.03%					
					National Average Bill*		\$66.61	\$11.14						

<sup>\*</sup> EIA US Price of Natural Gas Delivered to Residential Consumers (June 2021 YTD \$/Mcf)

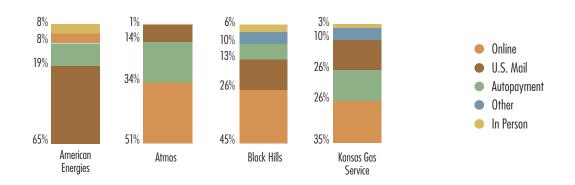
 $<sup>^{\</sup>star\star} \ \ \textit{Service Area with approximately 5,000 or more Residential customers}$ 

<sup>\*\*\*</sup> Weighted by customers using 2021 Annual Report data

#### **BILL DELIVERY METHODS SELECTED BY RESIDENTIAL GAS CUSTOMERS**



#### PAYMENT METHODS UTILIZED BY RESIDENTIAL GAS CUSTOMERS



## Large Gas Rate Cases

## BLACK HILLS KANSAS GAS UTILITY COMPANY, LLC, D/B/A BLACK HILLS ENERGY

Docket number 21-BHCG-099-RTS
Docket type Gas
Date filed 28 Aug 2020
Action date 29 Oct 2020
Commission action Approved

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC, d/b/a Black Hills Energy to Reduce Rates Due to State Income Tax Exemption.

On August 28, 2020, Black Hills/ Kansas Gas Utility Company, LLC, d/b/a Black Hills Energy (Black Hills) filed an Application with the Commission requesting approval of reductions to its base rate cost of service and its Gas System Reliability Surcharge (GSRS) Rider revenue requirement. This Application was filed in response to Senate Substitute for House Bill No. 2585 (HB 2585), which was signed by Governor Laura Kelly on June 1, 2020, and became effective on July 1, 2020.

HB 2585 exempts every regulated electric and natural gas utility (including Black Hills) from including Kansas income tax in its return or collecting income tax as a component of retail rates for tax years ending on or after January 1, 2021. The Bill also requires the utilities to submit an application for new retail rates when a change in state or federal law results in an increase or decrease to income tax rates assessed on the utility and the underrecovery or over-recovery of income tax expense is greater than or equal to 0.25% of the utility's base revenue level from its most recent general rate case.

Black Hill stated that without an adjustment to its retail rates effective January 1, 2021, the reduction of the state income tax rate from 7% to 0% would have resulted in an over-collection of income tax expenses that would have exceeded 0.25% of Black Hills' base revenue level approved in its most recent general rate case. Therefore, in this Docket, Black Hills proposed to implement a \$558,416 base rate cost of service reduction and a \$159.037 GSRS Rider revenue requirement reduction. Black Hills also proposed to implement these changes via revised customer charges and revised GSRS Rider rates for each of its customer classes,

effective January 1, 2021.

The Citizens' Utility Ratepayer Board (CURB) was granted intervention in this Docket on September 15, 2020.

On October 12, 2020, KCC Staff filed a Report and Recommendation recommending approval of Black Hills' proposal to reduce its base rates by \$558,416 and its GSRS Rider revenue requirement by \$159,037.

On October 13, 2020, CURB informed the parties and the Office of General Counsel that it had reviewed Staff's Report and Recommendation and had no objections to Black Hills' proposed reductions.

On October 29, 2020, the Commission issued an Order approving Black Hills' Application.

https://estar.kcc.ks.gov/estar/ViewFile. aspx/20201029104619.pdf?Id=a1692b3f-80d0-4f43-8c28-5169e1575c0c

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS, INC.

Docket number21-KGSG-097-RTSDocket typeGasDate filed28 Aug 2020Action date01 Dec 2020Commission actionApproved

In the Matter of the Application of Kansas Gas Service, A Division of ONE Gas, Inc., to change its rates to reflect the exemption from the Kansas income tax act pursuant to K.S.A. 79-32,110 as required by amendments to K.S.A.79-32,113 enacted in Senate Substitute For HB 2585.

In 2020, the Kansas Legislature passed S Sub HB 2585. This bill exempts public utilities from the tax imposed by the Kansas income tax act. When there is a change in state or federal income taxes and that change would lead to an over or under collection of income tax expense that equals or exceeds 0.25% of base revenues, the statue requires a public utility to make a filing with the Commission for new retail rates. KGS's base rate revenue is \$307,608,504, so 0.25% of base rates amounts to \$769,021. The elimination of the state income tax would reduce KGS's revenue by \$4,874,974, thereby requiring KGS to make a filing with the Commission for new rates.

KGS filed an Application with the Commission on August 28, 2020, requesting a reduction in its base rates to reflect the exemption from Kansas income tax. Commission Staff filed a Report and Recommendation on October 5, 2020, recommending approval of KGS's proposal.

The rate reduction would be allocated to various customer classes consistent with the base rate revenue established in Docket No. 18-KGSG-560-RTS. The residential class would be allocated 74% of the decrease. On December 1, 2020, the Commission ordered KGS to reduce its rates by \$4,874,974 in one phase effective January 1, 2021. The Order can be found here:

https://estar.kcc.ks.gov/estar/ViewFile. aspx/20201201111056.pdf?Id=209ce20b-d2d1-4047-8f95-ef09969d2190

#### BLACK HILLS KANSAS GAS UTILITY COMPANY, LLC, D/B/A BLACK HILLS ENERGY

Docket number 21-BHCG-418-RTS
Docket type Gas
Date filed 07 May 2021
Action date N/A
Commission action Not Final

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC, d/b/a Black Hills Energy, for Approval of the Commission to Make Certain Changes in its Rates for Natural Gas Service.

On May 7, 2021, Black Hills filed an Application to make changes in its rates and charges for natural gas service. Black Hills requested an increase of \$2.5 million. The company cited the following factors as drivers of the rate increase: (1) continued use of the Gas System Reliability Surcharge; (2) general cost increases since the last rate case; (3) additional investment in plant; (4) increases in wages, medical expenses, materials and supplier costs; (5) two part rate design with an increased monthly facility and volumetric charges; (6) new depreciation rates for Black Hills' general plant; and (7) a request for the creation of a Tax Adjustment Rider.

On September 10, 2021, Staff and CURB filed direct testimony. In its direct testimony, Staff recommended a revenue requirement decrease of \$3.69 million;

and CURB recommended a decrease of \$3.59 million. Staff opposed Black Hills' proposed Tax Adjustment Rider, while CURB supported the proposed rider. Freedom Pipeline did not filed direct testimony. Black Hills filed rebuttal testimony on October 1, 2021.

On October 8, 2021, the parties filed a Join Motion to Approve Settlement Agreement. Freedom Pipeline has not signed the Agreement but has indicated that it is not opposed to the Agreement. The Settlement Agreement supports no net change in base rates, but a tax adjustment rider that will provide \$3,024,315 in rate refunds to customers over the next three years.

The Settlement filing can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202110081451244156.pdf?Id=c2a92965-1f94-4861-9fe9-1dcf79e9e0da

In an order issued on December 30, 2021, the Commission approved the Settlement Agreement.

## Major Dockets | GAS UTILITIES

#### **MULTI-UTILITY GENERAL INVESTIGATION**

Docket number 21-GIMX-303-MIS
Docket type Multi-Utility
Date filed 15 Feb 2021
Action date N/A
Commission action Pending

In the Matter of the Record Natural Gas Prices and Potential System Reliability Issues from Unprecedented and Sustained Cold Weather.

On February 15, 2021, the Commission issued an Emergency Order in Docket No. 21-GIMX-303-MIS. In response to the events surrounding Winter Storm URI, in which extreme arctic weather was placing stress on utility systems and increasing the price of wholesale gas and electricity to all-time highs, the Commission required all jurisdictional natural gas and electric utilities to coordinate efforts and take all reasonably feasible, lawful and appropriate actions to ensure adequate transportation of natural gas and electricity to interconnected, non-jurisdictional Kansas utilities. Additionally, jurisdictional utility providers were ordered to do everything necessary to ensure natural gas and electricity service continued to be provided to customers in Kansas, no matter the cost. Additionally, the Commission authorized each jurisdictional utility to defer its extraordinary costs to a regulatory asset account, with carrying charges at the WACC.

The Commission's Emergency Order can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/Emergency\_order\_naturalgas.coldweather2\_\_(002).pdf?Id=20da2a43-e05a-4255-aee4-deb442fcb6fb

On March 1, 2021, Staff filed a report and recommendation requesting that the Commission open separate company-specific general investigation dockets in order to manage the Staff investigation into how each jurisdictional electric and natural gas utility was prepared for the cold weather event, how each responded during the event, and how the event will impact each utility's Kansas customers. Staff recommended that individual dockets be opened for Evergy (inclusive of EKC

and EKM), Empire District Electric Company, Southern Pioneer Electric Company, Kansas Gas Service, Atmos Energy Corporation, Black Hills Energy, and American Energy Gas Service. Additionally, Staff recommended that each utility file a compliance filing in their individual docket that contained a plan for how the utility was planning on minimizing the effects of the winter weather event for its customers.

Staff's R&R can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202103011306093562.pdf?Id=254493f3-8956-41b7-964c-cca38e7161a6

The Commission approved the request to open separate dockets on March 9, 2021. The Order can be found here:

https://estar.kcc.ks.gov/estar/ViewFile.aspx/20210309102105.pdf?Id=8360b142-9f83-4d64-af94-06a09d85daf7

## BLACK HILLS KANSAS GAS UTILITY COMPANY, LLC, D/B/A BLACK HILLS ENERGY

Docket number 21-BHCG-334-GIG
Docket type Gas
Date filed 09 Mar 2021
Action date N/A
Commission action Pending

In the Matter of the Investigation into Black Hills Kansas Gas Utility Company d/b/a Black Hills Energy Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

This Docket was opened on March 9, 2021, as a result of Staff's request in Docket No. 21-GIMX-303-MIS for the Commission to open separate company-specific investigations into each utility's response to the February 2021 Winter Storm Uri, as well as how the storm affected each utility from an operational and financial perspective. Each utility was required to file a compliance filing in its Docket detailing the extent of the costs incurred during the storm, and presenting a plan to minimize the financial effects of the storm for customers.

On June 16, 2021, Black Hills filed its Customer Plan to minimize the effects of the extraordinary gas costs it incurred to maintain service during the winter storm. According to Company Testimony, during and as a result of the storm Black Hills incurred approximately \$87.9 million in extraordinary gas costs and that those Extraordinary Gas Costs. If the approximately \$87.9 million in Extraordinary Gas Costs were to be recovered as part of the ACA it would result in an average monthly bill increase of \$53.51 for a period of one year. This is an average bill increase of 96% for an average residential customer using 56 therms per month. In order to reduce the financial impact on customers, Black Hills requests the Commission's approval to recover its Extraordinary Gas Costs over a period of five years with carrying costs at Black Hills WACC, currently 7.98%, beginning August 1, 2021. This would result in an average monthly bill increase of \$12.23, or 22%, for an average residential customer using 56 therms per month. Charges for recovery of the Extraordinary Gas Costs would appear as a separate line item on customer bills. Under Black Hills' Plan the Extraordinary Gas Costs and associated carrying costs will be allocated among rate schedules based on February 2021 usage and exclude the Irrigation sales rate schedule.

Black Hills' filed plan can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202106161547494682.pdf?Id=0ca58986-8824-4db7-a3a4-faf4be9aa5f4

On November 9, 2021, Black Hills, Staff, the Citizens' Utility Ratepayer Board, and the Natural Gas Transportation Customer Coalition submitted a settlement agreement for the Commission's consideration. This agreement called for Black Hills to recover \$87,897,745 in extraordinary gas costs over a period of five years from February 1, 2022, to January 31, 2027. The agreement reduced the carrying charge down from 7.98% in Black Hills' original filing down to 1.30% for the calendar year 2021 and 5.71% for the five year period beginning January 1, 2022. This reduction in carrying charges reduced the average residential rate impact of this plan from \$12.23/month to \$11.47/month. The agreement also contains a provision to prevent sales customers from

abandoning service just to avoid the Winter Storm charges, as well as a provision that allows new customers to be exempted from the charge if they can prove that they were a transportation customer during the event or have already paid their Winter Storm Uri charges in full from another utility. The settlement can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202111091513194573.pdf?Id=49527e31-5abc-4624-99af-2894aae322af

After formal testimony in support or opposition and an evidentiary hearing, a Commission Order on the Settlement is expected January 27, 2022.

#### ATMOS ENERGY CORPORATION

Docket number 21-ATMG-333-GIG
Docket type Gas
Date filed 09 Mar 2021
Action date N/A
Commission action Pending

In the Matter of the Investigation into Atmos Energy Corporation Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

This Docket was opened on March 9, 2021 as a result of Staff's request in Docket No. 21-GIMX-303-MIS for the Commission to open separate company-specific investigations into each utility's response to the February 2021 Winter Storm Uri, as well as how the storm affected each utility from an operational and financial perspective. Each utility was required to file a compliance filing in its Docket detailing the extent of the costs incurred during the storm, and presenting a plan to minimize the financial effects of the storm for customers.

According to the Company's Application, during and as a result of the Winter Event Atmos Energy incurred approximately \$88 million in extraordinary gas costs and those costs were prudently incurred during an unprecedented market disruption event. If the Extraordinary Gas Costs including carrying costs, of approximately \$94.1 million were to be recovered as part of the ACA it would result in an average monthly bill increase of \$47.70, or 85%, for an average residential customer using 70.98 ccf per month. In order to reduce the financial impact on customers, Atmos Energy requests the Commission's approval to recover its Extraordinary Gas

Costs through a securitized bond issuance with a period of up to 15 years. A bond issuance with a period of 10 years would result in an average monthly bill increase of \$5.56, or 10%, for an average residential customer using 70.98 ccf per month. Charges for recovery of the Qualified Extraordinary Costs would appear as a separate line item on customer bills. If the Commission chooses not to approve the Plan, the Company asks that it be allowed to recover its extraordinary gas costs and other costs related to the Winter Event, including carrying costs based upon the Company's weighted cost of capital, over 3 years through a Winter Event Rider. This would result in an average monthly bill increase of \$18.06, or 32%, for an average residential customer using 70.98 ccf per month. Atmos' plan can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/ S202109141636073153.pdf?Id=dbb828a7-3a7c-482b-9c73-3bdb9289448f

Staff and other parties are currently investigating and reviewing Atmos' proposed financial plan and its preparation for, and response to, Winter Storm Uri.

A Commission Order on the proposed plan is expected by March 24, 2022.

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS, INC.

Docket number 21-KGSG-332-GIG
Docket type Gas
Date filed 09 Mar 2021
Action date N/A
Commission action Pending

In the Matter of the Investigation into Kansas Gas Service Company, a Division of One Gas Inc. Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

This Docket was opened on March 9, 2021, as a result of Staff's request in Docket No. 21-GIMX-303-MIS for the Commission to open separate company-specific investigations into each utility's response to the February 2021 Winter Storm Uri, as well as how the storm affected each utility from an operational and financial perspective. Each utility was required to file a compliance filing in its Docket detailing the extent of the costs incurred during the storm, and presenting a plan to minimize the financial effects of the storm for customers.

On May 28, 2021, KGS filed a Motion for

Limited Waiver of the OFO/POC Penalties that it would otherwise be allowed to charge to Gas Marketers and individually balanced transportation customers as a result of those marketers/customers under deliveries of gas during the Winter Storm event. KGS' motion requested to remove the penalty multipliers and instead charge only the assumed cost of replacement gas, which was designated in the tariff as the Gas Daily Southern Star Index price. KGS's motion for partial waiver can be found here: https://estar.kcc.ks.gov/estar/ ViewFile.aspx/S202105281549143714. pdf?Id=d5248f0b-eacc-40e7-b1c3-2df50b72e639

Several interveners protested the motion for limited waiver. A procedural schedule was established and settlement discussions ensued.

On October 8, 2021, all parties to this agreement, with the exception of a coalition of transportation customers and an energy marketing company, submitted a settlement agreement to the Commission on the issue of the petition for a limited waiver of the multipliers in KGS' penalty tariffs. The settlement called for a further reduction and refinement of the methodology for determining the gas cost amounts in lieu of a penalty.

The Settlement Agreement can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202110081605008224.pdf?Id=52030faa-6b70-4955-a6dc-1449946eb4aa

Instead of the assumed gas cost amount that is listed in the tariff of \$117,244,479, the Parties have agreed to a calculated gas cost amount based on KGS' actual gas costs during the event of \$105,347,666. In addition, the agreement contains provisions that allow for the recognition of the days during the OFO/POC events that individual customers/marketers were long gas to the system. This long gas represents approximately \$22,395,868 of gas that wouldn't have otherwise been recognized/ compensated in the penalty provisions of KGS' tariff. This \$22.395 million in long gas is only paid to transportation customers in the event that KGS is able to recover all of the short gas, in the amount of \$105.347 million. Anything in excess of \$82.951 million will be distributed pro rata to the parties that were long during the event.

On July 30, 2021, KGS filed its Plan to Minimize the Financial Effects of the 2021 Winter Weather Event for its customers. KGS' plan calls for KGS to file for approval to issue Securitized Utility Tariff Bonds to finance the extraordinary costs incurred during the event. KGS reported that it incurred approximately \$373 million in purchased gas costs during the event, with an additional \$224,790 in extraordinary O&M costs. When all carrying charges were considered through the time of issuing the securitized bonds, KGS estimated it would securitize approximately \$451 million, which KGS estimated would cost residential customers between \$5-\$10 a month (larger bill impacts for shorter amortization of costs, five years, versus ten years).

KGS' filed financial plan can be found here: https://estar.kcc.ks.gov/estar/ViewFile.aspx/S202107301358431182.pdf?Id=5d765b67-4e68-4819-bbf2-85f5bc05d3b2

On November 19, 2021, Staff, CURB, and the NGTCC (a coalition of transportation customers) filed a settlement agreement with the Commission calling for the approval of the financial plan. The agreement was supported or not opposed by the following parties:

(a) BlueMark Energy, LLC ("BlueMark"); (b) Freedom Pipeline, LLC ("Freedom"); (c) National Gas Transportation Customer Coalition ("NGTCC"); (d) Wood River Energy LLC ("Wood River"); (e) State of Kansas, ex rel., Derek Schmidt, Attorney General ("AG"); (f) CURB; (g) Atmos Energy Corporation ("Atmos Energy"); (h) Constellation NewEnergy - Gas Division LLC ("Constellation"); (i) Catholic Diocese of Wichita; (j) Bonavia Properties LLC ("Bonavia"); (k) Temple Live Wichita LLC ("Temple Live");16 (1) Foley Rebuild Center LLC and Foley Equipment Company ("Foley"); and (m) Central Christian Church of Wichita, Kansas. The agreement was opposed by Symmetry, a gas marketing company. The agreement identified \$366 million in extraordinary gas and other costs that KGS incurred during the winter weather event. Additionally, the agreement called for KGS to file an application seeking

approval of a financing order allowing for

the issuance of Securitized Utility Tariff bonds.

The agreement also calls for reducing the carrying charge accumulating on the balances to 2%, down from 8.6012% as authorized by the Commission's Emergency Order in the 21-303 Docket. Lastly, the agreement calls for reducing the carrying charge agreed to in the Limited Waiver for Penalty Multipliers portion of the proceeding down to 2% also. The filed agreement can be found here:

https://estar.kcc.ks.gov/estar/ ViewFile.aspx/S202111191603571956. pdf?Id=340bbc81-15a7-4137-a7c6-528b510f76b0

#### **AMERICAN ENERGIES GAS SERVICE**

Docket number21-AEGG-335-GIGDocket typeGasDate filed18 Jun 2021Action date08 Jul 2021Commission actionApproved

In the Matter of the Investigation into American Energies Gas Service Regarding the February 2021 Winter Weather Events, as Contemplated by Docket No. 21-GIMX-303-MIS.

On February 15, 2021, the Commission issued an Emergency Order directing all gas and electric utilities to take all reasonable and appropriate actions to ensure AEGS customers had natural gas during Winter Storm Uri.

During the storm, AEGS purchased all of the gas it could from American Energies Pipeline (AEPL) plus additional gas purchases from Kansas Municipal Gas Agency (KMGA).

AEGS purchased 910 MMBtu from KMGA in February for \$252,762.50 or \$277.60 per MMBtu. AEGS could not pay KMGA's February bill which was greater than a year's worth of revenue from AEGS customers. AEGS made partial payments to KMGA until it was able to obtain a loan that allowed AEGS to pay off the remaining balance.

On June 18, 2021, the company filed a settlement agreement with Staff and CURB that allowed the company to begin to collect these extraordinary costs from customers. The agreement can be found here:

https://estar.kcc.ks.gov/estar/

ViewFile.aspx/S202106181439436872. pdf?Id=a092af5a-3622-4c12-9d6f-c81cee939cb6

On July 8, 2021, the Commission approved a tariff that authorized ratepayers to either make a one-time payment or make payments over 60-months. If the ratepayer chose to make monthly payments, AEGS would include a carrying charge in the monthly payment calculation. The Commission also ordered AEGS to mail a letter to each customer that explained the two payment options and would request them to elect one of the two payment options. The Order can be found here:

https://estar.kcc.ks.gov/estar/ViewFile. aspx/20210708104459.pdf?Id=297abb87-32f1-4bc7-80a8-c0fce316bd8d

## Dockets | LARGE GAS UTILITIES (revenues greater than \$10M)

The Commission acted upon the following dockets filed by Large Gas Utilities from July 1, 2020 to June 30, 2021. These filings involved companies with operating revenues of more than \$10,000,000.

#### ATMOS ENERGY CORPORATION

Docket number 19-ATMG-307-TAR
Docket type Gas
Date filed 01 Feb 2019
Action date 28 Jul 2020
Commission action Approved

In the Matter of the Application of Atmos Energy for Approval of the Commission for Gas System Reliability Surcharge per K.S.A. 66-2201 through 66-2204.

#### ATMOS ENERGY CORPORATION

Docket number19-ATMG-525-RTSDocket typeGasDate filed28 Jun 2019Action date08 Oct 2020Commission actionFinal Order

In the Matter of the Application of Atmos Energy Corporation for Adjustment of its Natural Gas Rates in the State of Kansas.

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS, INC.

Docket number 20-KGSG-444-MIS
Docket type Gas
Date filed 01 May 2020
Action date 13 Aug 2020
Commission action Denied

In the Matter of the Application of Kansas Gas Service, a Division of One Gas, Inc., for Approval of a Process to Implement Temporary Waivers of Certain Kansas Corporation Commission Orders, Rules and Regulations, Billing Standards, General Terms and Conditions and to Allow Operating Changes to Address the COVID-19 Pandemic.

#### **GAS PIPELINE METER ASSESSMENT**

Docket number21-GPMG-003-ASMDocket typeGasDate filed23 Jul 2020Action date23 Jul 2020Commission actionAssessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with such Regulation, as Provided in K.S.A. 66-1501 to 66-1510.

## BLACK HILLS/KANSAS GAS UTILITY COMPANY, LLC

Docket number21-BHCG-099-RTSDocket typeGasDate filed28 Aug 2020Action date29 Oct 2020Commission actionApproved

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC, d/b/a Black Hills Energy to Reduce Rates Due to State IncomeTax Exemption.

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS, INC.

Docket number 21-KGSG-097-RTS
Docket type Gas
Date filed 28 Aug 2020
Action date 01 Dec 2020
Commission action Approved

In the Matter of the Application of Kansas Gas Service, A Division of ONE Gas, Inc., to change its rates to reflect the exemption from the Kansas income tax act pursuant to K.S.A 79-32,110 as required by amendments to K.S.A 79-32,113 enacted in Senate Substitute for HB 2585.

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS. INC.

Docket number 21-KGSG-114-TAR
Docket type Gas
Date filed 11 Sep 2020
Action date 21 Jan 2021
Commission action Approved

In the Matter of the Application of Kansas Gas Service, a Division of One Gas, Inc., for an Administrative Update to their Tariff.

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS, INC.

Docket number 21-KGSG-094-TAR
Docket type Gas
Date filed 26 Aug 2020
Action date 17 Nov 2020
Commission action Approved

In the Matter of the Application of Kansas Gas Service, a Division of ONE Gas, Inc. for a Gas System Reliability Surcharge per K.S.A. 66-2201 Through 66-2204.

#### ATMOS ENERGY CORPORATION

Docket number21-ATMG-100-TARDocket typeGasDate filed28 Aug 2020Action date01 Oct 2020Commission actionApproved

In the Matter of the Application of Atmos Energy Corporation for Approval of a Credit to Customers to Comply with House Bill 2585.

## KANSAS GAS SERVICE, A DIVISION OF ONE GAS, INC.

Docket number21-KGSG-195-TARDocket typeGasDate filed25 Nov 2020Action date22 Dec 2020Commission actionApproved

In the Matter of Kansas Gas Service, a Division of ONE Gas, Inc.'s Ad Valorem Filing in Compliance with the Commission's July 14, 2004 Order in Docket No. 04-KGSG-1099-TAR.

#### ATMOS ENERGY CORPORATION

Docket number
Docket type
Date filed
Action date
Commission action

21-ATMG-216-TAR
Gas
15 Dec 2020
14 Jan 2021
Approved

In the Matter of the Application of Atmos Energy Seeking Commission Approval for 2021 Ad Valorem Tax Surcharge Rider Tariff.

## BLACK HILLS/KANSAS GAS UTILITY COMPANY, LLC

Docket number21-BHCG-223-TARDocket typeGasDate filed18 Dec 2020Action date12 Jan 2021Commission actionApproved

In the Matter of Black Hills Energy Filing its Ad Valorem Tax Surcharge Calculation of 2021 Adjustment.

#### ATMOS ENERGY CORPORATION

Docket number 21-ATMG-180-TAR

Docket type Gas

Date filed 03 Nov 2020
Action date 26 Jan 2021
Commission action Approved

In the Matter of the Application of Atmos Energy for Approval of the Commission for Gas System Reliability Surcharge per K.S.A. 66-2201 through 66-2204.

#### ATMOS ENERGY CORPORATION

Docket number 21-ATMG-301-CCS

Docket type Gas
Date filed 11 Feb 2021
Action date 08 Apr 2021
Commission action Granted

In the Matter of the Application of Atmos Energy for Authority to Cease Service to a Single Customer in Chase County, Kansas.

## BLACK HILLS/KANSAS GAS UTILITY COMPANY, LLC

Docket number 21-BHCG-345-TAR

Docket type Gas

Date filed11 Mar 2021Action date08 Jun 2021Commission actionApproved

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC, d/b/a Black Hills Energy to Update Tariff Daily Delivery Variance Charges.

## BLACK HILLS/KANSAS GAS UTILITY COMPANY, LLC

Docket number 21-BHCG-169-COC

Docket type Gas

Date filed 27 Oct 2020 Action date 14 Jan 2021 Commission action Granted

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC d/b/a Black Hills Energy, for a Certificate of Convenience and Authority to Transact the Business of a Natural Gas Public Utility in Certain Portions of Finney County, Kansas.

## BLACK HILLS/KANSAS GAS UTILITY COMPANY, LLC

Docket number 21-BHCG-372-COC

Docket type Gas
Date filed 24 Mar 2021
Action date 17 Jun 2021
Commission action Granted

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC d/b/a Black Hills Energy, for Certificate of Public Convenience and Authority to Transact the of a Natural Gas Public Utility in a Portion of Rice County, Kansas.

## Dockets | SMALL GAS UTILITIES (revenues of less than \$10M)

The Commission acted upon the following dockets filed by Small Gas Utilities from July 1, 2020 to June 30, 2021. These filings involved companies with operating revenues of less than \$10,000,000.

#### **CITY OF MOUNDRIDGE**

Docket number 21-MDRE-403-COM

Docket type Gas

Date filed 11 Jun 2021
Action date 11 Jun 2021
Commission action Dismissed

In the Matter of the Complaint Against City of Moundridge, Kansas by Earl Frye.

#### PLAINS PRODUCERS ASSOCIATION, INC.

Docket number 20-PPAG-480-COC

Docket type Gas

Date filed 21 May 2020 Action date 14 Jul 2020 Commission action Approved

In the Matter of the Application of Plains Producers Association, Inc. a Kansas Not for Profit Corporation to Amend its Certificate of Convenience and Necessity toProvide Natural Gas Service in a Certain Portion of Meade County, Kansas.

#### PLAINS PRODUCERS ASSOCIATION, INC.

Docket number 21-PPAG-355-COC

Docket type Gas

Date filed 18 Mar 2021 Action date 13 Apr 2021 Commission action Granted

In the Matter of the Application of Plains Producers Association, Inc., a Kansas Not for Profit Corporation, to Amend Certificate of Convenience and Necessity to Provide Natural Gas Service in a Certain Portion of Meade County, Kansas.

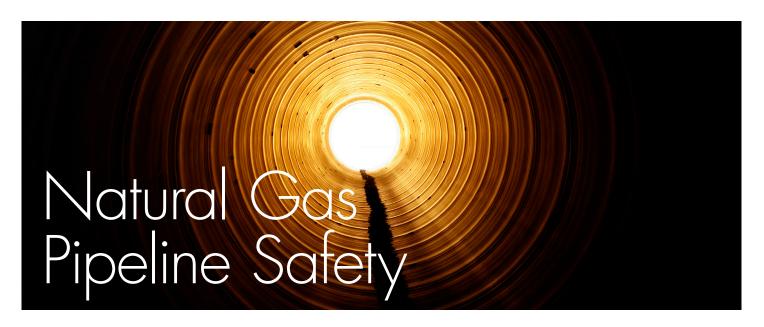
#### MIDWEST ENERGY, INC.

Docket number 21-MDWG-168-CCS

Docket type Gas

Date filed 27 Oct 2020 Action date 14 Jan 2021 Commission action Granted

In the Matter of the Application of Midwest Energy, Inc. for Permission to Cease Operating as a(n) Gas Public Utility in the State of Kansas.



## Statistics

PIPELINE SAFETY STATISTICS FOR CALENDAR YEAR 2020										
Type of Gas System	Number of KCC Inspection Units	Number of Person-Days Field Inspections	Jurisdictional Piping (miles)	Number of Service Lines to Customers						
Distribution	115	623	23,483	972,587						
Transmission	40	67	2,269							
Gathering	0	0	17							
Totals	163	690	25,769	972,587						
UNDERGROUND DAMAGE PR	REVENTION STATISTICS FOR CA	ALENDAR YEAR 2020								
Location	Total Gas Damage Incidents	Gas Damages Investigated	Gas Locates Requested	Damages Per 1000 Locates						
Johnson County	362	218	221,432	1.6						
Sedgwick County	222	137	140,975	1.6						
Remaining State	391	136	170,224	2.3						
STATEWIDE NATURAL GAS IN	IFRASTRUCTURE: LEAKS REPA	IRED IN CALENDAR YEAR 202	0							
Corrosion	727									
Natural Forces	366									
Excavation	1,154									
Other Forces	366									
Mat'l & Welds	198									
Equipment	1,574									
Operations	116									
Other	60									
Total Known Leaks EOY 2020	2,453									
# of leaks per 100 miles of pipe	17									
NATURAL GAS INCIDENTS FISCAL YEAR 2020										
Reported Cause	Reports	>\$50,000 Damages	Fatalities	Injuries						
Excavator Damage	0	0	0	0						
Operator Error	1	1	0	0						
Unknown Forces	0	0	0	0						

## Major Dockets | NATURAL GAS PIPELINE SAFETY

#### KANSAS GAS SERVICE

Docket number
Docket type
Dote filed
Action date
Commission action

21-KGSG-398-SHO
Natural Gas
22 Apr 2021
Ongoing
Ongoing
Ongoing

In the Matter of Staff's Recommendation for Kansas Gas Service, a Division of ONE Gas, Inc., to Show Cause Why the Commission should not Impose Penalties for Violation of Natural Gas Pipeline Safety Regulations.

In this Docket, the Commission ordered Kansas Gas Service (KGS) to show cause as to why it should not incur a \$90,000 civil penalty for violations of pipeline safety regulation related to providing accurate and timely locates of pipeline facilities pursuant to the Kansas Underground Utility Damage Prevention Act. At this time, the case is pending further action from KGS regarding improvements to its utility locating practices.

#### **BLACK HILLS ENERGY**

Docket number21-BHCG-417-ACQDocket typeNatural GasDate filed05 May 2021Action date12 Aug 2021Commission actionApproved

In the Matter of the Application of Black Hills/Kansas Gas Utility Company, LLC for an Extension of its Certificate of Convenience and Authority to Operate as a Natural Gas Public Utility in an Area Heretofore Served by the City of Ford, Kansas, a Municipally Owned Natural Gas Utility.

In this Docket, the Commission approved Black Hills Energy's (BHE) request to acquire the natural gas distribution system operated by the City of Ford. Because the gas system requires major improvements, the Commission agreed to allow BHE to assess a surcharge for a period of five years to the newly acquired customers in order to partially pay for the required improvements.

#### **BLACK HILLS ENERGY**

Docket number 21-BHCG-054-GIP
Docket type Natural Gas
Date filed 20 Aug 2020
Action date 20 Jul 2021
Commission action Closed

In the Matter of the Recommendation

to Open a General Investigation into the Operations of Black Hills Energy Regarding a Natural Gas Incident that Occurred at 15th and Naismith, Lawrence, Kansas.

The Commission opened this Docket to allow Staff to investigate a natural gas accidental release that resulted in a fire on the University of Kansas campus. Staff's investigation determined the cause of the fire was due to operator error on the part of Black Hills Energy (BHE) and recommended BHE modify its operating procedures to minimize the possibility of a similar incident occurring in the future. The Commission ordered BHE to revise its operations and maintenance procedures, provide additional training to its personnel and assessed a \$11,250 civil penalty for failure to follow existing procedures.

#### KANSAS GAS SERVICE

Docket number 21-KGSG-328-GIP
Docket type Natural Gas
Date filed 02 Mar 2021
Action date 20 Jul 2021
Commission action Closed

In the Matter of the Recommendation to Open a General Investigation into the Operations of Kansas Gas Service Regarding a Natural Gas Incident that Occurred Near the Intersection of Highway 56 and 60th Avenue in Rural Barton County, Kansas.

The Commission opened this Docket to allow Staff to investigate an excavator damage to a natural gas transmission line that resulted in a loss of service to the city of Ellinwood. Costs to repair the damaged pipeline and restore service to the 1,000 customers in the city exceeded \$170,000. Staff's investigation into this incident determined that a failure of communications between Kansas Gas Service (KGS) and the excavator caused the incident. KGS had operating procedures that were not followed that should have prevented this incident, and the excavator failed to follow the requirements of the Kansas Underground Utility Damage Prevention Act. Staff has recommended both parties should receive a civil penalty for failing to follow Kansas safety regulations. The Commission adopted Staff's Report and Recommendation and

assessed a \$2,500 penalty against KGS. The Commission directed the opening of a separate penalty docket for Stone, since Stone was not a party to this docket.

## Dockets | NATURAL GAS PIPELINE SAFETY

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number 21-DPAX-031-PEN
Docket type Pipeline Safety
Date filed 28 Jul 2020
Action date 28 Jul 2020
Commission action Fined

In the Matter of the Investigation of Southwestern Bell Telephone Company, d/b/a AT&T Kansas of Dallas, Texas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action

21-DPAX-027-PEN
Pipeline Safety
18 Aug 2020
18 Aug 2020
Fined

In the Matter of the Investigation of Black Hills Energy Corporation of Wichita, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action

21-DPAX-030-PEN
Pipeline Safety
06 Aug 2020
60 Aug 2020
Fined

In the Matter of the Investigation of Davin Electric, Inc. of Topeka, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number21-DPAX-032-PENDocket typePipeline SafetyDate filed28 Jul 2020Action date28 Jul 2020Commission actionFined

In the Matter of the Investigation of S&E Services LLC of Wichita, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number 21-DPAX-033-PEN
Docket type Pipeline Safety
Date filed 28 Jul 2020
Action date 28 Jul 2020
Commission action Fined

In the Matter of the Investigation of Aquarius-Greenbelt, Inc. of Maize, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number21-DPAX-151-PENDocket typePipeline SafetyDate filed22 Oct 2020Action date22 Oct 2020Commission actionFined

In the Matter of the Investigation of Rockers Construction LLC of Greeley, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

#### MID-AMERICA PIPELINE COMPANY,LLC

Docket number21-MDAP-173-TARDocket typePipeline SafetyDate filed29 Oct 2020Action date09 Mar 2021Commission actionApproved

In the Matter of Mid-America Pipeline Company, LLC Company Filing for Approval of K.C.C. Tariff 18.11.0, Canceling K.C.C. Tariff 18.10.0, Cancellation of Expiring Item 310 Incentive Rate Program for Demethanized Mix.

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action

21-DPAX-177-PEN
Pipeline Safety
17 Nov 2020
17 Nov 2020
Fined

In the Matter of the Investigation of Santos Zamora, d/b/a Santos Fence Building of Healy, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

#### **ONEOK NGL PIPELINE, L.L.C.**

Docket number 21-ONEP-214-CON
Docket type Pipeline Safety
Date filed 11 Dec 2020
Action date 01 Apr 2021
Commission action Approved

In the Matter of the Application of ONEOK NGL Pipeline, L.L.C. for an Order Approving an Amendment to the Pipeline Capacity Lease Agreement with Holly Frontier Refining & Marketing LLC.

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number21-DPAX-178-PENDocket typePipeline SafetyDate filed17 Nov 2020Action date17 Nov 2020Commission actionFined

In the Matter of the Investigation of Sands Construction LLC of Leavenworth, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number21-DPAX-184-PENDocket typePipeline SafetyDate filed17 Nov 2020Action date17 Nov 2020Commission actionFined

In the Matter of the Investigation of One Source KC LLC of Benton, Missouri, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number 21-DPAX-208-PEN
Docket type Pipeline Safety
Date filed 22 Dec 2020
Action date 22 Dec 2020
Commission action Fined

In the Matter of the Investigation of Atmos Energy Corporation of Olathe, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number 21-DPAX-150-PEN
Docket type Pipeline Safety
Date filed 22 Oct 2020
Action date 22 Oct 2020
Commission action Fined

In the Matter of the Investigation of VF Anderson Builders LLC of Harrisville, Missouri, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number 21-DPAX-165-PEN
Docket type Pipeline Safety
Date filed 05 Nov 2020
Action date 05 Nov 2020
Commission action Fined

In the Matter of the Investigation of Lexeco, Inc. of Leavenworth, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action

21-DPAX-166-PEN
Pipeline Safety
05 Nov 2020
05 Nov 2020
Fined

In the Matter of the Investigation of Miller Excavating, Inc. of Edgerton, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action

21-DPAX-159-PEN
Pipeline Safety
05 Nov 2020
65 Nov 2020
Fined

In the Matter of the Investigation of Lawn Master Systems LLC of Overland Park, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action

21-DPAX-146-PEN
Pipeline Safety
20 Oct 2020
20 Oct 2020
Fined

In the Matter of the Investigation of Reinhold Electric, Inc. of St. Louis, Missouri, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number21-DPAX-152-PENDocket typePipeline SafetyDate filed27 Oct 2020Action date27 Oct 2020Commission actionFined

In the Matter of the Investigation of RS General Contracting, LLC of Blue Springs, Missouri, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number21-DPAX-158-PENDocket typePipeline SafetyDate filed27 Oct 2020Action date27 Oct 2020Commission actionFined

In the Matter of the Investigation of Outlook, Inc. of Lenexa, Kansas, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

## KANSAS UNDERGROUND UTILITY DAMAGE PREVENTION ACT

Docket number
Docket type
Date filed
Action date
Commission action
Docket number
21-DPAX-163-PEN
Pipeline Safety
03 Nov 2020
As Nov 2020
Fined

In the Matter of the Investigation of MSE Hardscapes, LLC of Raymore, Missouri, Regarding Violation(s) of the Kansas Underground Utility Damage Prevention Act (KUUDPA) (K.S.A. 66-1801, et seq., and K.A.R. 82-14-1 through 82-14-6), and the Commission's Authority to Impose Penalties and/or Sanctions (K.S.A. 66-1,151).

#### MID-AMERICA PIPELINE COMPANY,LLC

Docket number21-MDAP-391-TARDocket typePipeline SafetyDate filed12 Apr 2021Action date13 May 2021Commission actionApproved

In the Matter of Mid-America Pipeline Company, LLC Company Filing for Approval of K.C.C. Tariff 19.16.0, Canceling K.C.C. Tariff 19.15.0 and Applying an Annual Inflation Adjustment to Item 300 Incentive Rates.

## Dockets | LARGE LIQUID PIPELINE UTILITIES (revenues greater than \$10M)

#### MAGELLAN PIPELINE COMPANY, L.P.

Docket number20-MGPP-499-TARDocket typeLiquid PipelineDate filed01 Jun 2020Action date23 Jul 2020Commission actionApproved

In the Matter of the Application Magellan Pipeline Company, L.P. Revising Its Tariff Rates Based on the FERC Index for 2020.

#### MID-AMERICA PIPELINE COMPANY,LLC

Docket number 20-MDAP-490-TAR
Docket type Liquid Pipeline
Date filed 22 May 2020
Action date 14 Jul 2020
Commission action Approved

In the Matter of the Application of Mid-America Pipeline Company, LLC Company Filing for Approval of K.C.C. Tariff 19.14.0, Canceling K.C.C. Tariff 19.13.0, Implementing Increased Rates based on FERC Indexing Methodology.

#### MID-AMERICA PIPELINE COMPANY,LLC

Docket number 21-MDAP-108-CCS
Docket type Liquid Pipeline
Date filed 08 Sep 2020
Action date 05 Jan 2021
Commission action Granted

In the Matter of the Application of Mid-America Pipeline Company, LLC for Permission to Cease Operating as a Liquids Pipeline Carrier from Coffeyville Refinery in Coffeyville, Montgomery County, Kansas, to the Connection Point with NuStar Pipeline Operating Partnership L.P. in El Dorado, Butler County, Kansas.

## Dockets | SMALL LIQUID PIPELINE UTILITIES (revenues less than \$10M)

#### JAYHAWK PIPELINE, L.L.C.

Docket number 20-JYHP-374-CCS
Docket type Liquid Pipeline
Date filed 28 Feb 2020
Action date 09 Jul 2020
Commission action Approved

In the Matter of the Application of Jayhawk Pipeline, L.L.C. for Permission to Cease Operating as a Liquids Pipeline Carrier in the State of Kansas.

#### JAYHAWK PIPELINE, L.L.C.

Docket number 20-JYHP-491-TAR
Docket type Liquid Pipeline
Date filed 22 May 2020
Action date 28 Jul 2020
Commission action Approved

In the Matter of the Application of Jayhawk Pipeline LLC Revising Its Tariff Rates Based on the FERC Index for 2020.

#### JAYHAWK PIPELINE, L.L.C.

Docket number 21-JYHP-199-TAR
Docket type Liquid Pipeline
Date filed 01 Dec 2020
Action date 25 May 2021
Commission action Approved

In the Matter of Jayhawk Pipeline, LLC. Filing Tariff Revision to Supplement #20 to KCC No.80.

#### PLAINS PIPELINE, L.P.

Docket number20-PPLP-497-TARDocket typeLiquid PipelineDate filed28 May 2020Action date21 Jul 2020Commission actionApproved

In the Matter of the Application of Plains Pipeline, L.P.'s for Approval, Pursuant to K.A.R. 82-10-2, of Tariff K.C.C. No. 5.16.0.

## NUSTAR PIPELINE OPERATING PARTNERSHIP, L.P.

Docket number20-KNBP-494-TARDocket typeLiquid PipelineDate filed26 May 2020Action date14 Jul 2020Commission actionApproved

In the Matter of the ApplicationNuStar Pipeline Operating of Revising Its Tariff Rates Based on the FERC Index for 2020.

## NUSTAR PIPELINE OPERATING PARTNERSHIP, L.P.

Docket number 21-KNBP-432-TAR
Docket type Liquid Pipeline
Date filed 20 May 2021
Action date 29 Jun 2021
Commission action Approved

In the Matter of NuStar Pipeline Operating Partnership, L.P. Filing Tariff Revisions for Commission Approval of Tariff K.C.C. No. 21 and Canceling K.C.C. No. 22.

#### KAW PIPE LINE CO.

Docket number 20-KAWP-493-TAR
Docket type Liquid Pipeline
Date filed 22 May 2020
Action date 14 Jul 2020
Commission action Approved

In the Matter of the Application of Kaw Pipe Line Company Revising Its Tariff Rates Based on the FERC Index for 2020.

#### ONEOK NORTH SYSTEM, L.L.C.

Docket number 20-ONSP-495-TAR
Docket type Liquid Pipeline
Date filed 27 May 2020
Action date 21 Jul 2020
Commission action Approved

In the Matter of ONEOK North System, LLC Filing K.C.C. No. 4.11, Containing Rate Increases.



## Water Cases

#### **GENERAL INVESTIGATION**

Docket number 20-DTRW-120-SHO
Docket type Water
Date filed 12 Sept 2019
Action date 25 June 2020
Commission action Order Issued

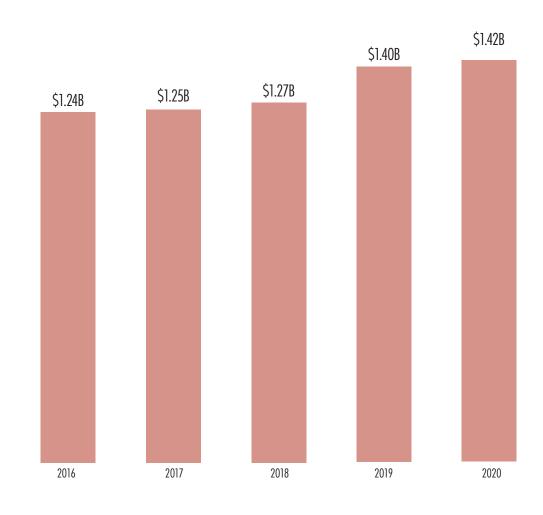
In the Matter of Delmer Towns d.b.a. Towns Riverview to Show Cause Whether it Should Not be Required to Obtain a Certificate of Convenience and Necessity to Operate as a Potable Water Public Utility.

In June of 2019, Commission Staff received a complaint from a customer of Towns Riverview. Upon further investigation, Staff determined Towns Riverview was an investor-owned public utility with 249 customers that had not applied for a certificate of convenience to operate as a public utility. Consequently, Staff petitioned the Commission to initiate a Show Cause docket to determine if Towns Riverview was a public utility and begin steps to assure the utility is providing sufficient service at reasonable rates. Water service for the utility customers continued to deteriorate in the spring of 2020 leading to Staff petitioning the Commission for a motion of Emergency relief and requesting the Commission to order Towns Riverview to take certain steps to provide water service. On June 25, 2020, the Commission issued an order finding Towns Riverview is a public utility and ordering the utility to comply with the terms found in Staff's motion for emergency relief. The Commission also ordered Staff to continue to monitor the utility to determine if sufficient and efficient utility service is being provided. Staff's investigation is ongoing at this time.

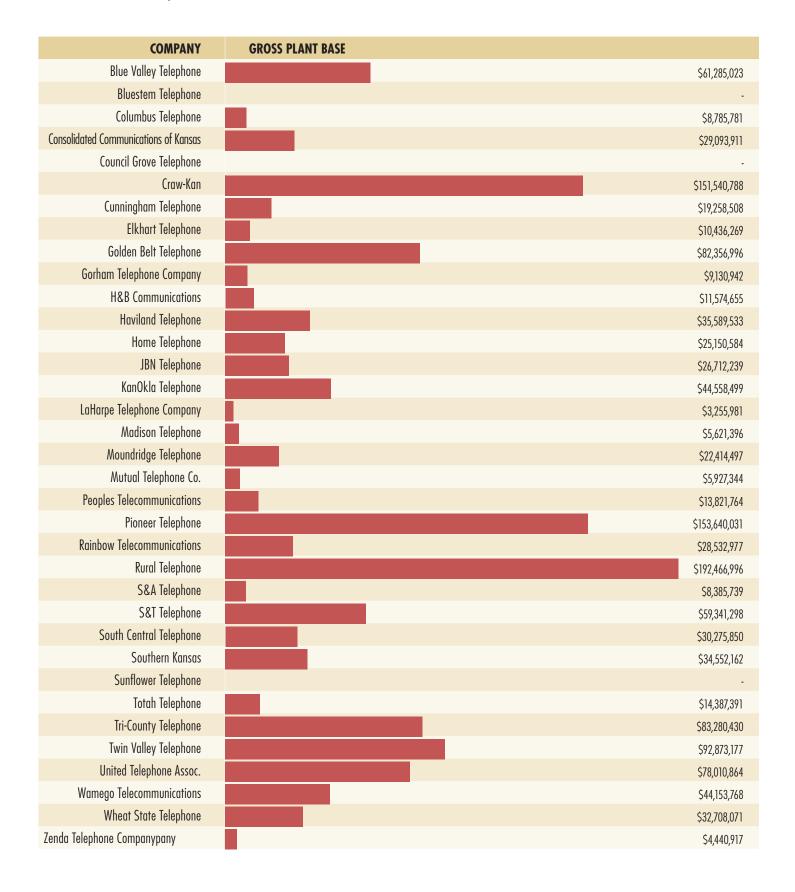


## Financials

RURAL LOCAL EXCHANGE COMPANIES (RLEC) TOTAL GROSS PLANT | 2016-2020



#### **RLEC GROSS PLANT | 2020**



#### RLEC TOTAL REVENUES AND EXPENSES | 2020

Revenues Expenses

COMPANY	REVENUES AND EXPENSES	Revenues	Expenses
Blue Valley Telephone		\$10,339,546	\$9,525,289
Columbus Telephone		\$1,672,374	\$2,923,583
Consolidated Communications of Kansas		\$1,717,678	\$1,994,101
Craw-Kan	_	\$15,110,421	\$10,574,558
Cunningham Telephone		\$3,858,876	\$3,833,835
Elkhart Telephone		\$2,667,817	\$2,620,502
Golden Belt Telephone		\$12,373,083	\$8,912,408
Gorham Telephone Company		\$1,937,858	\$1,751,446
H&B Communications		\$2,866,679	\$2,258,696
Haviland Telephone	_	\$2,687,191	\$3,889,972
Home Telephone		\$4,542,665	\$4,559,621
JBN Telephone		\$4,575,193	\$3,539,041
KanOkla Telephone		\$9,090,304	\$7,065,073
LaHarpe Telephone Company		\$678,188	\$682,276
Madison Telephone		\$1,269,623	\$1,105,103
Moundridge Telephone	_	\$2,609,999	\$2,138,515
Mutual Telephone Co.		\$2,095,984	\$1,843,900
Peoples Telecommunications		\$2,974,625	\$2,485,977
Pioneer Telephone		\$16,906,374	\$12,123,950
Rainbow Telecommunications		\$4,784,913	\$3,508,069
Rural Telephone		\$24,925,037	\$20,991,847
S&A Telephone		\$1,659,005	\$1,062,279
S&T Telephone		\$9,016,860	\$7,254,856
South Central Telephone		\$4,111,848	\$3,651,270
Southern Kansas		\$6,552,732	\$6,044,263
Totah Telephone	_	\$3,024,463	\$2,169,609
Tri-County Telephone		\$13,868,808	\$11,110,500
Twin Valley Telephone		\$16,982,597	\$11,589,296
United Telephone Assoc.		\$8,789,299	\$8,100,314
Wamego Telecommunications		\$11,120,613	\$8,268,985
Wheat State Telephone		\$6,172,436	\$4,063,569
Zenda Telephone Company		\$888,556	\$856,924

The following companies' revenue and expense data is confidential: MoKan Dial, Wilson Telephone Company, United Telephone Company of Kansas (d/b/a/ CenturyLink).

#### TABLE 1: PROVIDERS OF CONNECTIONS BY TECHNOLOGY BY STATE | AS OF 12/31/18\*\*

(Connections over 200 kbps in at least one direction, in thousands)

State	ADSL	SDSL	Other Wireline	Cable Modem	Fiber	Satellite	Fixed Wireless	Mobile Wireless	Total	+/- Last Year
Colorado	*	6	25	15	62	*	64	9	137	+0
Kansas	25	9	*	26	65	*	51	9	112	+1
Missouri	35	8	*	19	67	*	71	7	144	-2
Nebraska	31	4	*	18	56	*	46	7	104	+3
Oklahoma	37	6	*	13	55	*	49	12	113	+1

ADSL - Asymmetric Digital Subscriber Line

SDSL - Symmetrical Digital Subscriber Line

#### TABLE 2: CONNECTIONS BY TECHNOLOGY BY STATE | AS OF 12/31/18\*\*

(Connections over 200 kbps in at least one direction, in thousands)

State	ADSL	SDSL	Other Wireline	Cable Modem	Fiber	Satellite	Fixed Wireless	Mobile Wireless	Total
Colorado	*	#	17	1,355	209	*	77	5,425	7,534
Kansas	230	#	*	478	208	*	40	4,796	5,775
Missouri	624	1	*	957	298	*	44	5,819	7,825
Nebraska	140	#	*	391	75	*	30	1,787	2,434
Oklahoma	359	#	*	598	115	*	54	2,640	4,823

<sup>\*</sup> Data withheld to maintain firm confidentiality

#### TABLE 3: PERCENTAGE OF FIXED CONNECTIONS BY DOWNSTREAM SPEED BY STATE | AS OF 12/31/18\*\*

(Connections at least 200 kbps in at least one direction)

State	> 200 kbps downstream	At least 3 Mbps downstream	At least 10 Mbps downstream	At least 25 Mbps downstream	At least 100 Mbps downstream
Colorado	99.8%	97.4%	91.8%	76.7%	50.4%
Kansas	99.9%	97.5%	86.0%	64.2%	42.4%
Missouri	99.7%	96.6%	84.7%	66.0%	48.4%
Nebraska	99.7%	97.6%	87.2%	69.1%	50.6%
Oklahoma	99.8%	97.5%	81.4%	60.7%	38.7%
Nationwide	99.7%	97.8%	89.6%	76.3%	54.2%

<sup>\*\*</sup>Data from Figure 35 of the FCC's Internet Access Services Report: Status as of December 31, 2018 (released 09/17/20)

<sup>\*</sup> Data withheld to maintain firm confidentiality

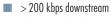
<sup>\*\*</sup>Data from Figure 36 of the FCC's Internet Access Services Report: Status as of December 31, 2018 (released 09/17/20)

<sup># =</sup> Rounds to zer

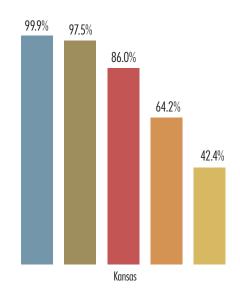
<sup>\*\*</sup>Data from Figure 34 of the FCC's Internet Access Services Report: Status as of December 31, 2018 (released 09/17/20)

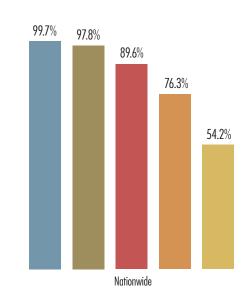
#### PERCENTAGE OF CONNECTIONS BY DOWNSTREAM SPEED | AS OF 12/31/18

Kansas' downstream speed metrics are comparable to the nation as a whole.



- at least 3 Mbps downstream
- > 200 kbps upstream and at least 10 Mbps downstream
- at least 25 Mbps downstream
- at least 100 Mbps downstream





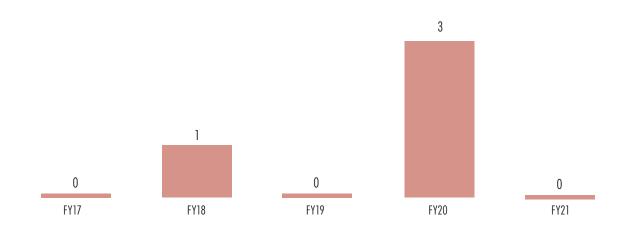
#### TELECOMMUNICATION UTILITIES RECEIVING KUSF SUPPORT | FY17-FY21

Requested

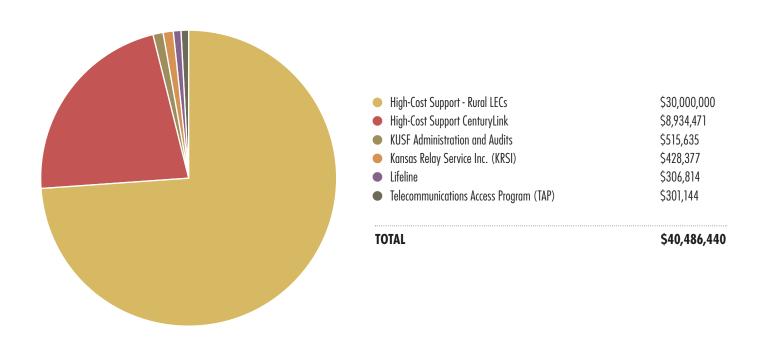
Granted



#### NUMBER OF KUSF TELECOMMUNICATION FILINGS | FY17-FY21



#### KUSF FUNDING OBLIGATIONS | 3/1/21 - 2/28/22



## Major Dockets | LARGE TELECOM UTILITIES

Pursuant to K.S.A. 66-117b, the following is a review with narrative of all dockets for Large Telecom Utilities (those with \$10,000,000 or more annual revenue in the preceding calendar year) which caused changes to "rule or regulation or practice pertaining to the service or rates of a public utility or common carrier approved by the state corporation commission during the preceding fiscal year."

#### **GENERAL INVESTIGATION**

Docket number 20-GIMT-086-GIT
Docket type Telecom
Date filed 29 Aug 2019
Action date 23 Jan 2020
Commission action Order Issued

In the Matter of An Investigation to Determine the Assessment Rate for the Twenty-Fourth Year of the Kansas Universal Service Fund, Effective March 1, 2020.

This proceeding was opened on August 29, 2019, to determine the appropriate assessment rate for the twenty-fourth year of the KUSF. The KCC issued an Order on January 23, 2020, in which it adopted a KUSF assessment rate of 9.40 percent for March 1, 2020, to February 29, 2021. On 1/23/2020, the Commission implemented the \$30 million cap for the rural local exchange carriers as mandated per K.S.A. 66-2008(e)(3), effective February 2021. On May 14, 2021, the majority of the Rural local exchange Rate-of-Return carriers filed a Petition for Judicial Review with the Court of Appeals. On May 24, 2021, the Court of Appeals issued an Order to Show Cause asking why the appeal should not be transferred to the District Court and directed the parties to indicate the appropriate District Court to hear the appeal. On June 14, 2021, the Court of Appeals transferred the matter to the Shawnee County District Court. The Rural Carriers' Brief was filed September 10, 2021, with the Commission's Brief due October 12, 2021, and Rural Carrier's Reply Brief due October 25, 2021. There is no deadline for a Court determination.

#### **GENERAL INVESTIGATION**

Docket number 21-GIMT-095-GIT
Docket type Telecom
Date filed 03 Sep 2020
Action date 21 Jan 2021
Commission action Order Issued

In the Matter of An Investigation to Determine the Affordable Local Service Rates for Rate-of-Return Regulated Carriers and the Annual Assessment Rate for the Twenty-Fifth Year of the Kansas Universal Service Fund, Effective March 1, 2021.

This proceeding was opened on September 3, 2020, to determine the affordable residential and single-line business local service rates for the rural local exchange carriers and the appropriate assessment rate for the twenty-fifth year of the KUSF. The KCC issued an Order on January 21, 2021, in which it adopted a KUSF assessment rate of 10.84 percent for March 1, 2021, to February 28, 2022. The Commission also found that the \$30 million cap, per K.S.A. 66-2008(e)(3), would remain in effect for the Fiscal Year.

#### GENERAL INVESTIGATION

Docket number 20-GIMT-387-GIT
Docket type Telecom
Date filed 12 Mar 2020
Action date 23 Mar 2021
Commission action Order Issued

In the Matter of a General Investigation into the Interconnection, Porting, Evolving Technology, and the Impacts on Consumer Choices in Kansas.

The KCC opened this proceeding for the purpose of determining local exchange carriers' obligations to interconnect, port numbers, complete calls, and other obligations they may have in consideration of evolving technologies, including Voice over Internet Protocol (VoIP), and the impact on consumer choices. On March 23, 2021, the Commission issued an Order determining all carriers must port numbers and complete calls pursuant to FCC rules and finding the Commission does not have jurisdiction over VoIP interconnection.

#### **GENERAL INVESTIGATION**

Docket number 21-GIMT-354-GIT
Docket type Telecom
Date filed 25 Mar 2021
Action date 23 Sep 2021
Commission action Order Issued

In the Matter of Certification of Compliance with Section 254(e) of the Federal Telecommunications Act of 1996 and Certification of Appropriate Use of Kansas Universal Service Fund Support.

The Commission opened this proceeding on March 25, 2021, for purposes of collecting data from eligible telecommunications carriers (ETCs) in Kansas. The ETCs were to file the data by July 1, 2021. The data is used to determine whether the KCC will certify to the Federal Communications Commission (FCC) and the Universal Service Administrative Company (USAC) that ETCs are using federal universal service funds only for the provision, maintenance and upgrading of facilities and services for which the support is intended. The data is also used to determine whether ETCs in Kansas utilized their KUSF monies appropriately. The KCC issued an Order on September 23, 2021, in which it determined that KCC staff shall submit letters to the FCC and USAC to certify that the carriers listed have certified that their federal universal service fund support was used in 2020 and will be used in 2022 only for the provision, maintenance, and upgrading of facilities and services for which support was intended. The Order also penalized four ETCs for late and incomplete filings. Letters, dated September 23, 2021, were submitted to the FCC and USAC.

#### **GENERAL INVESTIGATION**

Docket number20-GIMT-426-GITDocket typeTelecomDate filed25 May 2021Action date22 Jun 2021Commission actionOrder Issued

In the Matter of a General Investigation into the Adjustment of Intrastate 8YY Access Charges Pursuant to the Federal Communications Commission's Reforms Effective July 1, 2021.

The KCC opened this proceeding on May 25, 2021, for the purpose of implementing the Federal Communications Commission's October 9, 2020, Report and Order (8YYOrder) requiring all incumbent and competitive local exchange carriers (LEC's) to file revised intrastate access tariffs in the docket reflecting the required 8YY access rate changes. The KCC issued an Order on June 22, 2021, in which it determined that Commission Staff noted all of the rural local exchange carriers made the appropriate compliance tariff filings which the Commission then approved.

## Dockets | LARGE TELECOM UTILITIES (revenues of more than \$10M)

The Commission acted upon the following dockets filed by Large Telecommunications Utilities from July 1, 2020 to June 30, 2021. These filings involved companies with operating revenues of more than \$10,000,000.

#### MCI COMMUNICATIONS SERVICES, LLC.

Docket number20-MWCC-058-KSFDocket typeTelecomDate filed15 Aug 2019Action date08 Oct 2020Commission actionAccepted

In the Matter of the Audit of MCI Communications Services Inc. by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### COX KANSAS TELCOM, L.L.C.

Docket number 20-COXT-052-KSF
Docket type Telecom
Date filed 15 Aug 2019
Action date 18 Aug 2020
Commission action Adopted

In the Matter of the Audit of Cox Kansas Telcom LLC by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### **GENERAL INVESTIGATION TELEPHONE**

Docket number 18-GIMT-084-GIT
Docket type Telecom
Date filed 31 Aug 2017
Action date 19 Nov 2020
Commission action Final Order

In the Matter of the Investigation to Determine the Assessment Rate for the Twenty –Second Year of the Kansas Universal Service Fund, Effective March 1, 2018.

#### **GENERAL INVESTIGATION TELEPHONE**

Docket number19-GIMT-399-GITDocket typeTelecomDate filed11 Apr 2019Action date16 Jul 2020Commission actionFinal Order

In the Matter of Certification of Compliance with Section 254(e) of the Federal Telecommunications Act of 1996 and Certification of Appropriate Use of Kansas Universal Service Fund Support.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number13-SWBT-686-IATDocket typeTelecomDate filed02 May 2013Action date23 Jul 2020Commission actionApproved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With Peerless Network of Kansas, LLC.

#### **GENERAL INVESTIGATION TELEPHONE**

Docket number 20-GIMT-415-GIT
Docket type Telecom
Date filed 21 Apr 2020
Action date 24 Sep 2020
Commission action Fined

In the Matter of Certification of Compliance with Section 254(e) of the Federal Telecommunications Act of 1996 and Certification of Appropriate Use of Kansas Universal Service Fund Support.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number20-UTDT-398-CXBDocket typeTelecomDate filed17 Mar 2020Action date06 Aug 2020Commission actionGranted

In the Matter of United Telephone Companies of Kansas Proposal to Revise the Exchange Boundary United Telephone Companies of Kansas Alta Vista Exchange with Tri County Communications.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number 20-UTDT-498-IAT
Docket type Telecom
Date filed 29 May 2020
Action date 14 Jul 2020
Commission action Approved

In the Matter of the Application for Approval of an Interconnection Agreement Between Embarq Missouri, Inc. d/b/a CenturyLink, United Telephone Company of Kansas d/b/a Century Link, United Telephone Company of Southcentral Kansas d/b/a CenturyLink, and United Telephone Company of Eastern Kansas d/b/a CenturyLink and South Central Wireless, Inc. d/b/a SCTelecom Pursuant to the Telecommunications Act of 1996.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number20-UTDT-521-TARDocket typeTelecomDate filed17 Jun 2020Action date18 Aug 2020Commission actionWithdrawn

In the Matter of United Telephone of Kansas dba CenturyLink Filing Tariff Revisions to Standardize Prorations.

#### **GENERAL INVESTIGATION TELEPHONE**

Docket number 21-GIMT-021-GIT
Docket type Telecom
Date filed 21 Jul 2020
Action date 10 Jun 2021
Commission action Approved

In the Matter of a General investigation Into the Adjustment of Intrastate Switched Access Charges for Rural Telephone Companies in Compliance with KS.A. 66-2005(e) and Federal Communications Commission Reforms.

#### **UNITED TELEPHONE CO. OF KANSAS**

Docket number 21-UTDT-051-TAR
Docket type Telecom
Date filed 31 Jul 2020
Action date 25 Aug 2020
Commission action Approved

In the Matter of United Telephone Companies of Kansas d/b/a CenturyLink Filing Tariff Revisions to Grandfather Certain Low-Bandwidth Private Line Services.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-053-TARDocket typeTelecomDate filed31 Jul 2020Action date10 Sep 2020Commission actionGranted

In the Matter of United Telephone Companies of Kansas d/b/a CenturyLink Filing Tariff Revisions to Increase Late Payment Charge.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-052-TARDocket typeTelecomDate filed31 Jul 2020Action date25 Aug 2020Commission actionApproved

In the Matter of United Telephone Companies of Kansas d/b/a CenturyLink Filing Tariff Revisions to Grandfather its Local Sub-DS1 Services.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-058-KSFDocket typeTelecomDate filed18 Aug 2020Action date21 Jan 2021Commission actionAdopted

In the Matter of the Audit of United Telephone of Kansas by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number 21-UTDT-073-IAT
Docket type Telecom
Date filed 06 Aug 2020
Action date 22 Sep 2020
Commission action Approved

In the Matter of the Application for Approval of the Traffic Exchange Agreement Between Embarq Missouri, Inc. d/b/a CenturyLink, United Telephone Company of Kansas d/b/a CenturyLink, United Telephone Company of Southcentral Kansas d/b/a CenturyLink, and United Telephone Company of Eastern Kansas d/b/a CenturyLink and Mid-Kansas Cable Services, Inc. Pursuant to the Telecommunications Act of 1996.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-087-TARDocket typeTelecomDate filed14 Aug 2020Action date10 Sep 2020Commission actionGranted

In the Matter of the Application of United Telephone Companies of Kansas d/b/a CenturyLinkFiling Tariff Revisions to Remove EAS Route Per RLEC request.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-098-TARDocket typeTelecomDate filed28 Aug 2020Action date10 Sep 2020Commission actionApproved

In the Matter of Southwestern Bell Telephone Company filing Tariff Revisions to Update Language in the Access Service Tariff.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-096-IATDocket typeTelecomDate filed26 Aug 2020Action date13 Oct 2020Commission actionApproved

In the Matter of the Application for Approval of the Traffic Exchange Agreement Between Embarq Missouri, Inc. d/b/a CenturyLink, United Telephone Company of Southcentral Kansas d/b/a CenturyLink, and United Telephone Company of Eastern Kansas d/b/a Century Link and TC Wireless, Inc. Pursuant to the Telecommunications Act of 1996.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-089-TARDocket typeTelecomDate filed20 Aug 2020Action date10 Sep 2020Commission actionApproved

In the Matter of the Application of United Telephone Companies of Kansas d/b/a CenturyLink Filing Tariff Revisions to Add Language for Kari's Law Indemnification.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number 21-UTDT-104-TAR
Docket type Telecom
Date filed 01 Sep 2020
Action date 15 Sep 2020
Commission action Accepted

In the Matter of the United Telephone Companies of Kansas d/b/a CenturyLink Local Filing Tariff Revisions to Increase Monthly Rates for all Business Flat Rate Access Lines and Certain Residential and Business Key Trunks.

#### MCI COMMUNICATIONS SERVICES, LLC.

Docket number21-MWCC-105-CCNDocket typeTelecomDate filed03 Sep 2020Action date27 Oct 2020Commission actionGranted

In the Matter of MCI Communications Services, Inc. Changing its Name to MCI Communications Services LLC.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number 21-SWBT-189-COM
Docket type Telecom
Date filed 16 Nov 2020
Action date 27 Jan 2021
Commission action Withdrawn

In the Matter of the Complaint of Rainbow Communications, LLC Against SWBT d/b/a AT&T Kansas Regarding Failing to Interconnect Upon Request.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-190-COMDocket typeTelecomDate filed13 Nov 2020Action date01 Dec 2020Commission actionDismissed

In the Matter of the Complaint Against Southwestern Bell Telephone by Audrey Klopper.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number 21-UTDT-239-TAR
Docket type Telecom
Date filed 29 Dec 2020
Action date 05 Jan 2021
Commission action Accepted

In the Matter of United Telephone Companies of Kansas dba CenturyLink General Exchange Tariff Revisions to Increases the Per Call Rate for Directory Assistance and Increases the Monthly Rate for Directory Listings.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-321-IATDocket typeTelecomDate filed24 Feb 2021Action date27 Apr 2021Commission actionApproved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With Triton Networks LLC.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-188-IATDocket typeTelecomDate filed09 Nov 2020Action date04 Feb 2021Commission actionApproved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With Elkhart Telephone Company, Inc.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-176-IATDocket typeTelecomDate filed30 Oct 2020Action date17 Nov 2020Commission actionApproved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With Time Warner Cable Information Services (Kansas), LLC.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number 21-SWBT-275-IAT
Docket type Telecom
Date filed 26 Jan 2021
Action date 11 Mar 2021
Commission action Approved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With Windstream Norlight, LLC.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number 21-SWBT-274-IAT
Docket type Telecom
Date filed 26 Jan 2021
Action date 09 Mar 2021
Commission action Approved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With Windstream NuVox Kansas, LLC.

#### COX KANSAS TELCOM, L.L.C.

Docket number21-COXT-245-ETCDocket typeTelecomDate filed31 Dec 2020Action date20 May 2021Commission actionApproved

In the Matter of the Application of Cox Kansas Telcom, LLC for Eligible Telecommunications Carrier Status in Certain Census Blocks in Kansas to Receive Rural Digital Opportunity Fund Support.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-117-TARDocket typeTelecomDate filed01 Sep 2020Action date15 Sep 2020Commission actionAccepted

In the Matter of United Telephone Companies of Kansas d/b/a CenturyLink General Exchange Filing Tariff Revisions to Increase Monthly Rates for Various Grandfathered Solutions.

#### **RURAL TELEPHONE SERVICE COMPANY, INC.**

Docket number 21-RRLT-261-TAR
Docket type Telecom
Date filed 12 Jan 2021
Action date 04 Feb 2021
Commission action Approved

In the Matter of Rural Telephone Service Company, Inc. Filing Tariff Revisions to Increase Residential and Business Rates in Rate Group 1 and Video Services Bundled Pricing.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-270-IATDocket typeTelecomDate filed26 Jan 2021Action date04 Mar 2021Commission actionApproved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With McLeodUSA Telecommunications Services, LLC.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number21-SWBT-272-IATDocket typeTelecomDate filed26 Jan 2021Action date04 Mar 2021Commission actionApproved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Interconnection Agreement Under the Telecommunications Act of 1996 With EarthLink Business, LLC.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number 21-UTDT-314-CXB
Docket type Telecom
Date filed 19 Feb 2021
Action date 11 Mar 2021
Commission action Granted

In the Matter of United Telephone Companies of Kansas Proposes to Revise the Exchange Boundary Between CenturyLink's Emmett Exchange and Blue Valley Tele-Communications Onaga Exchange.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-409-TARDocket typeTelecomDate filed28 May 2021Action date08 Jun 2021Commission actionFinal Order

In the Matter of United Telephone Companies of Kansas d/b/a CentruyLink for Revisions to its Access Service Tariff.

#### UNITED TELEPHONE CO. OF KANSAS

Docket number21-UTDT-410-TARDocket typeTelecomDate filed28 May 2021Action date20 May 2021Commission actionApproved

In the Matter of United Telephone Companies of Kansas d/b/a Century Link Filing Tariff Revisions to Add Language for Ray Baum's Act Regarding 911 Service.

#### **GENERAL INVESTIGATION TELEPHONE**

Docket number21-GIMT-426-GITDocket typeTelecomDate filed07 Jun 2021Action date22 Jun 2021Commission actionApproved

In the Matter of a General Investigation into the Adjustment of Intrastate 8YY Access Charges Pursuant to the Federal Communications Commission's Reforms, Effective July 1, 2021.

#### SOUTHWESTERN BELL TELEPHONE CO.

Docket number 21-SWBT-401-IAT
Docket type Telecom
Date filed 21 Apr 2021
Action date 08 Jun 2021
Commission action Approved

In the Matter of the Application of Southwestern Bell Telephone Company for Approval of Two-Way CMRS Interconnection Agreement Under the Telecommunications Act of 1996 With Dish Wireless, LLC.

# Dockets | SMALL TELECOM UTILITIES (revenues of less than \$10M)

The Commission acted upon the following dockets filed by Small Telecommunications Utilities from July 1, 2020 to June 30, 2021. These filings involved companies with operating revenues of less than \$10,000,000.

#### KANOKLA TELEPHONE ASSN., INC.

Docket number21-KOKT-299-TARDocket typeTelecomDate filed11 Feb 2021Action date02 Mar 2021Commission actionApproved

In the Matter of KanOkla Telephone Association Filing Miscellaneous Tariff Revisions.

#### AMG TECHNOLOGY INVESTMENT GROUP, LLC

Docket number 21-AMGT-257-ETC
Docket type Telecom
Date filed 06 Jan 2021
Action date 27 May 2021
Commission action Granted

In the Matter of the Application of AMG Technology Investment Group, LLC d/b/a NextLink Internet For Expansion of its Eligible Telecommunications Carrier Designation.

#### HAVILAND TELEPHONE COMPANY, INC.

Docket number21-HVDT-353-TARDocket typeTelecomDate filed15 Mar 2021Action date30 Mar 2021Commission actionApproved

In the Matter of the Application of Haviland Telephone Company, Inc. Filing Tariff Revisions Reducing the Monthly Price of Caller ID.

#### **GOOGLE FIBER NORTH AMERICA INC.**

Docket number20-GFNV-066-KSFDocket typeTelecomDate filed15 Aug 2019Action date09 Jul 2020Commission actionAdopted

In the Matter of the Audit of Google Fiber North America by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### RAINBOW COMMUNICATIONS, L.L.C.

Docket number 20-RCOT-515-ETC
Docket type Telecom
Date filed 12 Jun 2020
Action date 24 Sep 2020
Commission action Granted

Rainbow Communications, LLC Application for Designation as an Eligible Telecommunications Carrier for Federal and State Lifeline Purposes.

#### **Q LINK WIRELESS LLC**

Docket number20-QLWZ-064-KSFDocket typeTelecomDate filed15 Aug 2019Action date25 Aug 2020Commission actionAdopted

In the Matter of the Audit of Q Link Wireless, LLC by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### **IDEATEK TELCOM, LLC**

Docket number21-WLDT-343-ETCDocket typeTelecomDate filed09 Mar 2021Action date20 May 2021Commission actionGranted

In the Matter of the Application of IdeaTekTelcom, LLC as an Eligible Telecommunications Carrier for Puposes of Receiving Federal Universal Service Support as Awarded Under the Rural Digital Opportunity Fund Action (Action 904) Program.

#### H&B CABLE SERVICE, INC.

Docket number21-HBCT-284-ETCDocket typeTelecomDate filed02 Feb 2021Action date01 Apr 2021Commission actionGranted

In the Matter of the Application of H & B Cable Service, Inc. for Designation as an Eligible Telecommunications Carrier for Purposes of Receiving Federal Support as Awarded Under the Rural Digital Opportunity Fund Phase 1 Auction (Auction 904) Program.

#### **ELECTRONIC VOICE SERVICES, INC.**

Docket number20-EVSV-388-KSFDocket typeTelecomDate filed11 Mar 2020Action date23 Jul 2020Commission actionFinal Order

In the Matter of the Petition of Electronic Voice Services, Inc. for Waiver of Penalties.

#### **NEX-TECH, LLC**

Docket number21-NTHT-060-KSFDocket typeTelecomDate filed18 Aug 2020Action date20 Apr 2021Commission actionAccepted

In the Matter of the Audit of Nex-Tech LLC by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

#### SECURUS TECHNOLOGIES, LLC.

Docket number
Docket type
Date filed
Action date
Commission action
Docket number
20-ECSO-057-KSF
Telecom
15 Aug 2019
Action 2020
Accepted

In the Matter of the Audit of Securus Technologies, Inc. by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### HOME COMMUNICATIONS, INC.

Docket number 21-HOMC-298-ETC
Docket type Telecom
Date filed 10 Feb 2021
Action date 25 Mar 2021
Commission action Pending

In the Matter of the Application of Home Communications, Inc. for Designation as an Eligible Telecommunications Carrier for Purposes of Receiving Federal Suppor As Awarded Under the Rural Digital Opportunity Fund Phase I Auction (Auction 904) Program.

#### CENTURYLINK COMMUNICATIONS, LLC

Docket number21-QWCT-059-KSFDocket typeTelecomDate filed18 Aug 2020Action date09 Mar 2021Commission actionAdopted

In the Matter of the Audit of CenturyLink Communications, LLC by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

#### CENTURYLINK COMMUNICATIONS, LLC

Docket number 21-QWCT-174-TAR
Docket type Telecom
Date filed 29 Oct 2020
Action date 30 Oct 2020
Commission action Accepted

In the Matter of CenturyLink Communications, LLC Kansas Tariff No. 2, Competitive Access Service, Adds the Assumed Corporate Name "Lumen Technologies Group" and Updates the List of Service Marks and Trade Names Owned by CenturyLink, Inc. Which May be Used by the Company with Permission.

#### MOMENTUM TELECOM, INC.

Docket number21-MOMT-376-SHODocket typeTelecomDate filed06 Apr 2021Action date29 Jun 2021Commission actionDismissed

In the Matter of a General Investigation for Momentum Telecom, Inc. to Show Cause Why this Commission Should Not Initiate Penalties, Sanctions, and/or Fines for Non-Compliance with Kansas Statutes and Commission Orders.

#### **FUSION CLOUD SERVICES, LLC**

Docket number21-FCST-185-COCDocket typeTelecomDate filed30 Oct 2020Action date21 Jan 2021Commission actionGranted

In the Matter of the Application of Fusion Cloud Services, LLC for a Certificate of Convenience and Authority to Provide Local Exchange and Exchange Access Service, Inter-exchange and/or Toll and Operator Services Within the State of Kansas. (CLEC)

#### **FUSION CLOUD SERVICES, LLC**

Docket number21-FCST-186-COCDocket typeTelecomDate filed30 Oct 2020Action date12 Jan 2021Commission actionGranted

In the Matter of the Application of Fusion Cloud Services, LLC for a Certificate of Convenience and Authority to Provide Local Exchange and Exchange Access Service, Inter-exchange and/or Toll and Operator Services Within the State of Kansas. (IXC)

#### **GOOGLE NORTH AMERICA INC.**

Docket number 20-GNAZ-065-KSF
Docket type Telecom
Date filed 15 Aug 2019
Action date 13 Oct 2020
Commission action Adopted

In the Matter of the Audit of Google North America Inc. by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### MATRIX TELECOM, LLC

Docket number20-MXTC-375-TARDocket typeTelecomDate filed04 Feb 2020Action date16 Jul 2020Commission actionApproved

In the Matter of Matrix Telecom, LLC Notice of Company Name Change and Replacement Tariffs.

#### MOUNDRIDGE TELEPHONE COMPANY

Docket number21-MRGT-428-TARDocket typeTelecomDate filed18 May 2021Action date03 Jun 2021Commission actionGranted

In the Matter of Moundridge Telephone Company Filing Tariff Revisions to Add a New Service Offering.

#### CTC COMMUNICATIONS CORP.

Docket number21-CCOC-233-CCSDocket typeTelecomDate filed23 Dec 2020Action date02 Feb 2021Commission actionApproved

In the Matter of Cancellation of the Interexchange Services Certificate of Convenience and Authority for CTC Communications Corp.

#### TELEPORT COMMUNICATIONS AMERICA, LLC

Docket number21-WSLC-057-KSFDocket typeTelecomDate filed18 Aug 2020Action date20 May 2021Commission actionFinal Order

In the Matter of the Audit of New Cingular Wireless PCS, LLC d/b/a AT&T Mobility by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

## CONSOLIDATED COMMUNICATIONS OF KANSAS COMPANY

Docket number 21-SFLT-008-TAR
Docket type Telecom
Date filed 01 Jul 2020
Action date 21 Jul 2020
Commission action Approved

In the Matter of Consolidated Communications of Kansas Company Filing Tariff Revisions to its Small Business Bundles.

## CONSOLIDATED COMMUNICATIONS OF KANSAS COMPANY

Docket number 21-SFLT-009-TAR
Docket type Telecom
Date filed 01 Jul 2020
Action date 28 Jul 2020
Commission action Closed

In the Matter of Consolidated Communications of Kansas Company Filing Tariff Revisions to Bill Payment Options/ Convenience Fee.

## CONSOLIDATED COMMUNICATIONS OF KANSAS COMPANY

Docket number 21-SFLT-288-TAR
Docket type Telecom
Date filed 02 Feb 2021
Action date 20 May 2021
Commission action Approved

In the Matter of Consolidated Communication of Kansas Company Filing Tariff Revisions to Residential Access Line and Bundles Increases.

## CONSOLIDATED COMMUNICATIONS OF KANSAS COMPANY

Docket number21-SFLT-446-TARDocket typeTelecomDate filed01 Jun 2021Action date29 Jun 2021Commission actionApproved

In the Matter of Consolidated Communications of Kansas Company Filing Tariff Revisions to Increase the Convenience Fee.

#### **OPEX COMMUNICATIONS, INC.**

Docket number 21-OCIC-313-SHO
Docket type Telecom
Date filed 02 Mar 2021
Action date 20 Apr 2021
Commission action Revoked

In the Matter of a General Investigation for OpEx Communications, Inc. to Show Cause Why this Commission Should Not Initiate Penalties, Sanctions, and/or Fines and/or Suspend, Cancel, or Revoke its Certificate of Convenience to Provide Interexchange Services in Kansas for Non-Compliance with Kansas Statutes and Commission Orders.

#### ELKHART TELEPHONE COMPANY, INC.

Docket number21-ELKT-283-TARDocket typeTelecomDate filed15 Jan 2021Action date11 Feb 2021Commission actionApproved

In the Matter of Elkhart Telephone Company Filing Tariff Revisions to Increase Rates for Bundles.

## VELOCITY A MANAGED SERVICE COMPANY, INC.

Docket number21-VTGT-093-CCNDocket typeTelecomDate filed25 Aug 2020Action date14 Sep 2020Commission actionGranted

In the Matter of the Application of Velocity, The Greatest Phone Company Ever, Inc. Changing its Name to Velocity, A Managed Service Company Inc. (IXC)

## VELOCITY A MANAGED SERVICE COMPANY, INC.

Docket number21-VTGT-092-CCNDocket typeTelecomDate filed25 Aug 2020Action date10 Sep 2020Commission actionGranted

In the Matter of the Application of Velocity, The Greatest Phone Company Ever, Inc. Changing its Name to Velocity, A Managed Service Company Inc. (CLEC)

#### LCR TELECOMMUNICATIONS, LLC

Docket number21-LTLC-210-CCSDocket typeTelecomDate filed08 Dec 2020Action date05 Jan 2021Commission actionGranted

In the Matter of LCRTelecommunications, LLC Request to Cancel Certificate of Convenience.

#### UNIFIED TELECOM, INC

Docket number
Docket type
Date filed
Action date
Commission action

21-UFTZ-396-KSF
Telecom
14 Apr 2021
25 May 2021
Fined

In the Matter of Unified Telecom, Inc. Requesting for Waiver of Penalties to the Commission.

#### WHEAT STATE TELEPHONE COMPANY, INC.

Docket number21-WHST-266-TARDocket typeTelecomDate filed22 Jan 2021Action date04 Feb 2021Commission actionApproved

In the Matter of Wheat State Telephone Company, Inc. d/b/a Wheat State Technologies Filing Tariff Revisions to Increase Rates for Bundles.

#### **WISPER ISP LLC**

Docket number 21-WIIZ-019-CCN
Docket type Telecom
Date filed 09 Jul 2020
Action date 11 Aug 2020
Commission action Approved

In the Matter of the Application of Wisper ISP, Inc. For a Name Change on its Certificate of Convenience and Authority to Provide Local Exchange and Exchange Access Services.

#### LINGO COMMUNICATIONS MIDWEST, LLC

Docket number21-ICIC-046-CCSDocket typeTelecomDate filed15 Jul 2020Action date01 Sep 2020Commission actionGranted

In the Matter the Reorganization and Transfer of Customer from Lingo Communications Midwest LLC to Matrix Telecom, LLC. (IXC)

#### LINGO COMMUNICATIONS MIDWEST, LLC

Docket number 19-ICIT-179-SHO
Docket type Telecom
Date filed 14 Mar 2019
Action date 01 Sep 2020
Commission action Fined

In the Matter of the General Investigation for Ionex Communications, LLC to Show Cause Why This Commission Should Not Initiate Sanctions and Fines and/or All Certificates of Convenience and Authority the Company Holds be Suspended, Canceled, or Revoked for Non-Compliance with Kansas Statutes and Commission Orders.

#### LINGO COMMUNICATIONS MIDWEST, LLC

Docket number 21-ICIT-045-CCS
Docket type Telecom
Date filed 15 Jul 2020
Action date 01 Sep 2020
Commission action Granted

In the Matter of the Reorganization and Transfer of Customer from Lingo Communications Midwest LL to Matrix Telecom, LLC.

#### SPECTROTEL OF THE MIDWEST LLC

Docket number 21-SPIT-348-CCN
Docket type Telecom
Date filed 11 Mar 2021
Action date 15 Apr 2021
Approved

In the Matter of Spectrotel, Inc. Changing it Name to Spectrotel of the Midwest LLC. (IXC)

#### SPECTROTEL OF THE MIDWEST LLC

Docket number 21-SPIT-347-CCN
Docket type Telecom
Date filed 11 Mar 2021
Action date 15 Apr 2021
Commission action Approved

In the Matter of Spectrotel, Inc. Changing it Name to Spectrotel of the Midwest LLC. (CLEC)

#### **PLAINS INTERNET, LLC**

Docket number21-PINT-388-ETCDocket typeTelecomDate filed02 Apr 2021Action date03 Jun 2021Commission actionGranted

In the Matter of the Application of Plains Internet, LLC for Designation as an Eligible Telecommunications Carrier for the Purpose of Pfoviding Service Supported by the FCC's Rural Digital Opportunity Fund.

#### LOGIX COMMUNICATIONS, LP

Docket number 21-WSNC-226-CCS
Docket type Telecom
Date filed 17 Dec 2020
Action date 21 Jan 2021
Commission action Granted

In the Matter of Cancellation of Local Exchange and Long Distance Certificates of Convenience and Necessity of Logix Commmunications, LP dba Logix Fiber Networks.

#### LOGIX COMMUNICATIONS, LP

Docket number21-WSNT-225-CCSDocket typeTelecomDate filed17 Dec 2020Action date26 Jan 2021Commission actionGranted

In the Matter of Cancellation of Local Exchange and Long Distance Certificates of Convenience and Necessity of Logix Commmunications, LP dba Logix Fiber Networks.

#### **GORHAM TELEPHONE COMPANY**

Docket number21-GRHT-280-TARDocket typeTelecomDate filed28 Jan 2021Action date16 Feb 2021Commission actionApproved

In the Matter of Gorham Telephone Company Filing Tariff Revisions to Increase Rates for Bundles.

#### **GORHAM TELEPHONE COMPANY**

Docket number21-GRHT-172-TARDocket typeTelecomDate filed27 Oct 2020Action date17 Nov 2020Commission actionApproved

In the Matter of Gorham Telephone Filing Tariff Revisions to Introduce a New Optional Customer Service.

#### TOTAL HOLDINGS, INC.

Docket number21-THIC-071-KSFDocket typeTelecomDate filed18 Aug 2020Action date03 Nov 2020Commission actionAccepted

In the Matter of the Audit of Total Holdings Inc. by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

#### TOTAL HOLDINGS, INC.

Docket number21-THIC-191-CCSDocket typeTelecomDate filed06 Nov 2020Action date01 Dec 2020Commission actionGranted

In the Matter of the Cancellation of Total Holdings, Inc. d/b/a GTC Communication's Certificate of Convenience and Authority to Provide Interexchange Service in the State of Kansas.

#### BLUE VALLEY TELE-COMMUNICATIONS, INC.

Docket number21-BLVT-268-TARDocket typeTelecomDate filed22 Jan 2021Action date11 Mar 2021Commission actionApproved

In the Matter of the Application of Blue Valley Tele-Communications Filing Tariff Revisions to Increase Bundle.

#### BLUE VALLEY TELE-COMMUNICATIONS, INC.

Docket number 21-BLVT-315-CXB
Docket type Telecom
Date filed 19 Feb 2021
Action date 11 Mar 2021
Commission action Granted

In the Matter of Blue Valley Tele-Communications Proposes to Revise the Exchange Boundary Between Blue Valley Onaga Exchange and CenturyLink's Emmett Exchange.

#### **S&A TELEPHONE COMPANY, INC.**

Docket number21-S&AT-293-TARDocket typeTelecomDate filed05 Feb 2021Action date25 Feb 2021Commission actionApproved

In the Matter of S&A Telephone Company Filing Tariff Revisions to Increase Bundle Rates.

#### **NEX-TECH WIRELESS, L.L.C**

Docket number21-NTWZ-061-KSFDocket typeTelecomDate filed18 Aug 2020Action date03 Jun 2021Commission actionApproved

In the Matter of the Audit of Nex-Tech Wireless LLC by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

## RAINBOW TELECOMMUNICATIONS ASSOCIATION, INC.

Docket number 21-RNBT-085-TAR
Docket type Telecom
Date filed 12 Aug 2020
Action date 01 Sep 2020
Commission action Pending

In the Matter of the Application of Rainbow Telecommunications Association Filing Tariff Revisions to Add a New Service.

## RAINBOW TELECOMMUNICATIONS ASSOCIATION, INC.

Docket number 21-RNBT-157-TAR
Docket type Telecom
Date filed 19 Oct 2020
Action date 29 Oct 2020
Commission action Approved

In the Matter of Rainbow Telecommunications Association Filing Tariff Revision to its Bundled Services.

#### **RESOUND NETWORKS, LLC**

Docket number 21-RNWT-254-ETC
Docket type Telecom
Date filed 04 Jan 2021
Action date 27 May 2021
Commission action Granted

Application of Resound Networks, LLC for Designation as an Eligible Telecommunications Carrier for Purposes of Receiving Federal Universal Service Support from the FCC Rural Digital Opportunity Fund.

#### **CSC WIRELESS, LLC**

Docket number 21-CSWZ-395-KSF
Docket type Telecom
Date filed 13 Apr 2021
Action date 20 May 2021
Commission action Fined

In the Matter of CSC Wireless,LLC Requesting for Waiver of Penalties to the Commission.

## SOUTHERN KANSAS TELEPHONE COMPANY, INC.

Docket number21-SNKT-023-TARDocket typeTelecomDate filed14 Jul 2020Action date30 Jul 2020Commission actionApproved

In the Matter of the Application of Southern Kansas Telephone Filing Tariff Revisions to Discount Bundles Changes.

## SOUTHERN KANSAS TELEPHONE COMPANY, INC.

Docket number21-SNKT-279-TARDocket typeTelecomDate filed28 Jan 2021Action date16 Feb 2021Commission actionApproved

In the Matter of Southern Kansas Telephone Company Filing Tariff Revisions to Increase Rates for Bundles.

## SOUTHERN KANSAS TELEPHONE COMPANY, INC.

Docket number 21-SNKT-193-ACQ
Docket type Telecom
Date filed 20 Nov 2020
Action date 11 May 2021
Commission action Final Order

In the Matter of the Transfer of Ownership of The Southern Kansas Telephone Company Inc., a Kansas Corporation, and SKT, Inc., a Kansas Corporation, Telecommunications Public Utilities.

#### WINDSTREAM NTI, LLC.

Docket number 21-NLTC-235-CCS
Docket type Telecom
Date filed 23 Dec 2020
Action date 02 Feb 2021
Commission action Approved

In the Matter of Cancellation of Local Exchange Certificate of Convenience and Authority of Windstream NTI, LLC.

#### WINDSTREAM NTI, LLC.

Docket number21-NLTC-234-CCSDocket typeTelecomDate filed23 Dec 2020Action date02 Feb 2021Commission actionApproved

In the Matter of Cancellation of the Interexchange Services Certificate of Convenience and Authority for Windstream NTI, LLC.

#### HOME TELEPHONE COMPANY, INC.

Docket number21-HOMT-269-TARDocket typeTelecomDate filed22 Jan 2021Action date11 Feb 2021Commission actionApproved

In the Matter of Home Telephone Company, Inc. Filing Tariff Revisions to Increase Rates for Bundles.

#### MID-KANSAS CABLE SERVICES, INC.

Docket number 20-MDKC-486-COC
Docket type Telecom
Date filed 22 May 2020
Action date 14 Jul 2020
Commission action Approved

In the Matter of the Application of Mid-Kansas Cable Services, Inc. for a Certificate of Convenience and Authority to Provide Local Exchange Services within the State of Kansas.

#### **MUTUAL TELEPHONE COMPANY**

Docket number21-MTLT-278-TARDocket typeTelecomDate filed27 Jan 2021Action date16 Mar 2021Commission actionApproved

In the Matter of Mutual Telephone Company Filing Tariff Revisions to Increase Bundle Rates.

## COLUMBUS COMMUNICATIONS SERVICES, LLC

Docket number 21-COST-112-TAR
Docket type Telecom
Date filed 10 Sep 2020
Action date 01 Oct 2020
Commission action Withdrawn

In the Matter of Columbus Communication Services. LLC Filing Tariff Revisions to Update Language.

#### SPRINT COMMUNICATIONS COMPANY L.P.

Docket number21-SCCC-317-CCSDocket typeTelecomDate filed19 Feb 2021Action date11 Mar 2021Commission actionApproved

In the Matter of Sprint Communications Company L.P. Cancelling Its Certificates of Public Convenience and Necessity to Provide Intrastate IntraLATA and Local Exchange Service in Kansas.

#### SPRINT COMMUNICATIONS COMPANY L.P.

Docket number21-SCCC-316-CCSDocket typeTelecomDate filed19 Feb 2021Action date11 Mar 2021Commission actionApproved

In the Matter of Sprint Communications Company L.P. Cancelling Its Certificates of Public Convenience and Necessity to Provide Intrastate IntraLA TA and Local Exchange Service in Kansas. (IXC)

#### TWIN VALLEY TELEPHONE, INC.

Docket number21-TWVT-281-TARDocket typeTelecomDate filed29 Jan 2021Action date16 Mar 2021Commission actionApproved

In the Matter of Twin Valley Telephone Filing Tariff Revisions to Increase Rates for Bundles.

## CONSOLIDATED COMMUNICATIONS OF MISSOURI COMPANY

Docket number 21-FCMT-007-TAR
Docket type Telecom
Date filed 01 Jul 2020
Action date 21 Jul 2020
Commission action Approved

In the Matter of Consolidated Communications of Missouri Co. Filing Tariff Revisions to its General Exchange Tariff No. 1.

## CONSOLIDATED COMMUNICATIONS OF MISSOURI COMPANY

Docket number 21-FCMT-304-TAR
Docket type Telecom
Date filed 23 Feb 2021
Action date 25 May 2021
Commission action Approved

In the Matter of Consolidated Communications of Missouri Co. Filing Tariff Revisions to its Residential Access Line and Bundle Rate Increases.

## CONSOLIDATED COMMUNICATIONS OF MISSOURI COMPANY

Docket number 21-FCMT-447-TAR
Docket type Telecom
Date filed 01 Jun 2021
Action date 24 Jun 2021
Commission action Approved

In the Matter of Consolidated Communications of Missouri Company Filing a Tariff Revision - KCC General Exchange Tariff No. 1, Convenience Fee Increase.

#### SKT, INC.

Docket number21-2SKT-197-COCDocket typeTelecomDate filed01 Dec 2020Action date07 Jan 2021Commission actionDenied

In the Matter of the Application of SKT, Inc. for an Order Clarifying its Certificate of Convenvenience and Authority as a Competitive Local Exchange Carrier in the State of Kansas.

#### TRI-COUNTY TELEPHONE ASSOCIATION, INC.

Docket number 20-TRCT-400-CXB
Docket type Telecom
Date filed 17 Mar 2020
Action date 06 Aug 2020
Commission action Granted

In the Matter of the Application of TriCounty Telephone Association for Boundary Modification to its Council Grove Exchange.

#### TC WIRELESS, INC.

Docket number21-TCWT-192-VSADocket typeTelecomDate filed18 Nov 2020Action date10 Dec 2020Commission actionGranted

In the Matter of the Application forTC Wireless, Inc. for Video Service Authorization.

#### TC WIRELESS, INC.

Docket number21-TCWT-228-VSADocket typeTelecomDate filed18 Dec 2020Action date21 Jan 2021Commission actionApproved

In the Matter of the Application forTC Wireless, Inc. for Video Service Authorization.

#### CATHECT COMMUNICATIONS INC.

Docket number21-CHCT-204-COCDocket typeTelecomDate filed20 Nov 2020Action date14 Jan 2021Commission actionGranted

In the Matter of the Application of Cathect Communications Inc. For a Certificate Convenience and Authority to Provide Local Exchange and Exchange Access Service Within the State of Kansas.

#### MERCURY WIRELESS KANSAS, LLC

Docket number 21-MWKT-258-ETC
Docket type Telecom
Date filed 06 Jan 2021
Action date 11 Mar 2021
Commission action Granted

In the Matter of Application of Mercury Wireless Kansas, LLC for Expansion of Eligible Telecommunicatioons Carrier Service Area for Purposes of Rural Digital Opportunities Fund Support.

#### BIG RIVER TELEPHONE COMPANY, LLC

Docket number 21-BGRT-028-ETC
Docket type Telecom
Date filed 16 Jul 2020
Action date 11 Aug 2020
Commission action Granted

In the Matter of Big River Telephone Company, LLC Notice of Relinquishment Eligible Telecommunications Carrier Designation.

## WAMEGO TELECOMMUNICATIONS COMPANY, INC.

Docket number 21-WTCT-194-TAR
Docket type Telecom
Date filed 24 Nov 2020
Action date 15 Dec 2020
Commission action Granted

In the Matter of the Application of Wamego Telecommunications Company, Inc. Filing Tariff Revisions to Remove Obsolete Services.

#### BLUE VALLEY TECHNOLOGIES, INC.

Docket number 21-OPTT-338-CCN
Docket type Telecom
Date filed 04 Mar 2021
Action date 08 Apr 2021
Commission action Approved

In the Matter of One Point Technologies, Inc. Changing its Name to Blue Valley Technologies, Inc. (CLEC)

#### BLUE VALLEY TECHNOLOGIES, INC.

Docket number21-OPTT-374-CCNDocket typeTelecomDate filed04 Mar 2021Action date08 Apr 2021Commission actionApproved

In the Matter of One Point Technologies, Inc. Changing its Name to Blue Valley Technologies, Inc. (IXC)

#### **TELRITE CORPORATION**

Docket number21-RITC-066-KSFDocket typeTelecomDate filed18 Aug 2020Action date17 Nov 2020Commission actionAdopted

In the Matter of the Audit of Telrite Corporation by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 66-2010(b) for KUSF Operating Year 23, Fiscal Year March 2019-February 2020.

#### STELLAR PRIVATE CABLE SYSTEMS, INC.

Docket number 21-SPCV-055-KSF
Docket type Telecom
Date filed 28 Jul 2020
Action date 18 Aug 2020
Commission action Withdrawn

In the Matter of Stellar Private Cable Systems, Inc. Addressing the FY 23 and the FY 24 Penalty.

## METROPOLITAN TELECOMMUNICATIONS OF KANSAS, INC.

Docket number 21-MTKT-421-TAR
Docket type Telecom
Date filed 12 May 2021
Action date 25 May 2021
Commission action Noted & Filed

In the Matter of the Application of Metropolitan Telecommunications of Kansas, Inc. Filing Tariff Revisions to Tariff No. 3 Switched Access Provider Services.

#### **DISH WIRELESS, LLC**

Docket number 21-DWLZ-285-KSF
Docket type Telecom
Date filed 01 Feb 2021
Action date 13 Apr 2021
Commission action Fined

In the Matter of Dish Wireless, LLC Requesting for Waiver of Penalties to the Commission.

#### VANILLASOFT, CORP.

Docket number 21-VSCV-091-KSF
Docket type Telecom
Date filed 24 Aug 2020
Action date 01 Oct 2020
Commission action Fined

In the Matter of Vanillasoft Requesting for Waiver of KUSF Penalties.

#### GLOBAL CONNECTION INC. OF AMERICA

Docket number 20-GCAT-062-KSF

Docket typeTelecomDate filed15 Aug 2019Action date20 Aug 2020Commission actionAdopted

In the Matter of the Audit of Global Connection Inc. of America by the Kansas Universal Service Fund (KUSF) Administrator Pursuant to K.S.A. 2017 Supp. 66-2010(b) for KUSF Operating Year 22, Fiscal Year March 2018-February 2019.

#### TRU MOBILITY INC

Docket number 21-TMIV-449-SHO
Docket type Telecom
Date filed 10 Jun 2021
Action date 10 Jun 2021

Commission action Fined

In the Matter of a General Investigation for Tru Mobility, Inc., to Show Cause Why this Commission Should Not Levy Santions, Penalties, and Fines for Non-Compliance with Kansas Statutes and Commission Order.

#### WTC COMMUNICATIONS, INC.

Docket number 21-WCMC-253-ETC
Docket type Telecom

Date filed 05 Jan 2021
Action date 11 Feb 2021
Commission action Granted

In the Matter of the Application WTC Communications, Inc. for Designation as an Eligible Telecommunications Carrier for Purposes of Receiving Federal Support as Awarded Under the Rural Digital Opportunity Fund Phase I Auction (Auction 904) Program.



The role of the Transportation Division of the Kansas Corporation Commission (KCC) is to ensure appropriate and effective regulatory oversight of motor carriers, with the goal of protecting the public interest and promoting safety through comprehensive planning, licensing, education, and inspection to the extent not preempted by federal law.

#### **Executive Summary**

The Transportation Division provides comprehensive support to all Kansas based motor carriers operating commercial motor vehicles in the state of Kansas. The Division provides informational services to motor carriers in Kansas through its statewide live safety seminar program and its Special Investigator stationed throughout the state. The Division is responsible for establishing the regulations that apply to motor carriers through both state statutes and administrative regulations, ensuring that businesses, drivers and vehicles meet the appropriate economic and safety regulations to ensure the safety of the motoring public. Through collaborations with state and federal agencies as well as industry stakeholders the Division helps direct enforcement of those same rules and regulations. As our report shows, the results of those efforts have been largely successful, even in the face of an ongoing global pandemic.

#### Core Functions

As the lead agency that regulates motor carriers and businesses that operate commercial motor vehicles in the state,

the performance measures identified in this report are a product of the Division's four Core Functions:

- 1. Administrative Regulations and Statutory Requirements;
- 2. Education and Compliance Programs;
- 3. Kansas Trucking Regulatory Assistance Network (KTRAN);
- 4. Unified Carrier Registration (UCR) Program;

The Core Functions are designed to maintain continuity, educate the industry we serve while protecting the motoring public. Our ability to communicate with our industry partners is what sets us apart. In pursuit of our goals, the Division strives to be professional, adaptable, and committed to customer service and safety. In order to be most effective, the Division has developed strong working relationships with state, federal and local authorities, as well as the regulated community.

## Administrative and Statutory Obligations

The development and implementation of motor carrier regulations and adoption of

federal motor carrier safety regulations is a core function of the Division. Periodic updates to the Kansas motor carrier regulations are required by 49 C.F.R. Part 350. If updates are not made it could result in monetary consequences for our state's motor carrier safety enforcement, affecting multiple state agencies and grants. Because updating the motor carrier regulations effects multiple agencies, the Division meets with representatives from the Kansas Highway Patrol (KHP) to annually review the motor carrier regulations (K.A.R. 82-4-1 et. seq.), and draft proposed updates, amendments, additions and/or deletions.

The KCC completes its review and drafts proposed regulations which then go through a review process with the Division of Budget, Department of Administration and the Attorney General's office. Developing a regulation strategy that meets federal regulations and is calibrated for Kansas based carriers, helps set a level of regulation that takes into account the types of carriers operating in Kansas and how enforcement of the applicable regulations best apply. Setting unrealistic expectations without evaluating the realties facing Kansas based carriers,

would hinder program goals and negatively affect performance measures.

The Division takes on an important role in maintaining the KCC's motor carrier statutes to ensure the Division has authority to complete its core functions and minimize any unnecessary and outdated regulatory burden on the motor carrier industry. The Division proposes periodic changes to the statutes to ensure they are applicable in the current motor carrier industry context and conform to changes made to the Federal Motor Carrier Safety Administrations rules and policies. These changes include repealing statutes that no longer apply and modifying language to better describe the reality of the motor carrier industry

Our 2021 legislation (HB 2007) was a carryover bill from the 2020 session (HB 2524) which died on Senate General Orders due of the COVID 19 Pandemic. HB 2007 was categorized as a cleanup bill. As amended, the bill authorized orders and decisions of the KCC to be served on motor carriers by electronic mail (email), it also removed language requiring the submission of annual and other reports to the KCC, as well as other dated regulatory requirements. The bill passed both chambers and was signed by the Governor.

### **Education Program**

The education program is the foundation for both the economic and safety programs of the Division. It is necessary to establish an effective and efficient infrastructure that focuses on sound safety training and enforcement programs aimed at identifying and minimizing unsafe conditions potentially harmful to the motor carrier and the traveling public. The education program was developed for all new and existing public and private motor carriers in Kansas. The Division traditionally averages 1,500 attendees annually. The FY 2020 & 2021 educational seminars and attendance were negatively impacted by the COVID-19 Pandemic. As a result,

the Division redeveloped its training curriculum and began offering virtual training webinars in October of 2020. The virtual classes have been very popular with the industry and have benefited staff by saving them time and the expense of traveling to the different training locations.

The Division has put an emphasis on serving rural motor carriers and ensured that those in our rural areas do not have far to go to attend a class. Additionally, rural motor carriers have access to the KCC Investigators assigned to regions all over the state. Our investigators serve as a local resource to carriers that can respond to specific questions that come up in the field.

Prior to the COVID-19 Pandemic, the Divisions Special Investigators (SIs) conducted complimentary educational safety seminars throughout Kansas six times per month in Bonner Springs, Chanute, Topeka, Hutchinson, Hays or Garden City. Due to the length of the pandemic, the online webinars are offered several times a week, allowing motor carriers to select the session that fits their schedule.

The seminars are designed to inform and educate new carriers, and out of compliance motor carriers, about current rules and regulations. Motor carriers are notified of seminar dates at the time they apply for KCC authority. Safety seminar information is also located on the KCC website. The Division sends e-mails and invitations to each Kansas-based motor carrier that applies for and receives, a new USDOT number.

## HUMAN TRAFFICKING AWARENESS TRAINING ADDED TO EDUCATION PROGRAM

The KCC has partnered with the Attorney General's Office, Kansas Highway Patrol (KHP), Kansas Motor Carriers Association (KMCA) and Truckers Against Trafficking (TAT) to include training in identifying and reporting suspected human trafficking as part of the motor carrier safety seminars

which take place throughout the state. The Truckers Against Trafficking training is part of a focus aimed at reducing human trafficking by enlisting the help of motor carriers to report suspicious activity they encounter as they travel the nation's highways. The training began in February of 2016 and since that time more than 5,000 motor carrier representatives in Kansas have received the training.



## Kansas Trucking Regulatory Assistance Network (KTRAN)

One of KTRAN's functions is to welcome New Registrants with each passing week. The system allows motor carriers and businesses to apply and renew their intrastate authorities and directs all interstate motor carriers wanting to renew their current or previous years UCR to the National Registration System (NRS) for renewals and adjustments. The project serves to enhance the Kansas E-Credentialing capability by establishing an interactive process where carriers walk through a logical set of questions to determine what authorities, forms and processes need to be followed to meet the carrier's needs. The project is an intelligent, interactive front-end to the TruckingKS.org portal, creating a more efficient business process for carriers and state motor carrier personnel.

Additionally, KTRAN serves to further integrate information about Kansas motor carriers, commercial vehicles and commercial drivers. For example, roadside enforcement has access to Commission authority, Intrastate Out-of-Service Orders and Driver Waiver information through Kansas Commercial Vehicle Information Exchange Window (CVIEW) query windows utilizing a new

system-to-system interface built as part of this project. Further, KCC officials are automatically notified of PRISM OOS status changes as well as having a real-time interface to KSCVIEW for PRISM checking of carriers and vehicles prior to issuing KCC authorities. Today, more than 10,000 motor carriers have active KTRAN accounts.

KTRAN is one of the many programs developed through the Kansas Commercial Vehicle Information Systems and Networks (CVISN) program. In Kansas, the Department of Revenue (KDOR) is the lead agency for the CVISN program. Other agencies involved in the Kansas Expanded CVISN Program Plan are the KCC, KHP and KDOT. They have a longstanding relationship and have partnered on numerous projects. The CVISN team shares a strategic vision which includes providing unparalleled customer service; safety compliance education; and effective, balanced enforcement of our state motor carrier rules and regulations.

### Federal Unified Carrier Registration (UCR) Program

The UCR Program has not been impacted by the COVID-19 Pandemic. The UCR functionalities are web based and allow the customers access from their home or office.

The UCR is a federal registration program managed by the Division, under which a commercial vehicle registrant operating in interstate commerce pays annual renewal fees. The UCR replaced the Single State Registration (SSR) in 2007. The program annually generates \$4,344,290.00 of which \$1. 3 million is transferred to the Kansas Highway Patrol for use as a match for their Motor Carrier Safety Assistance Program (MCSAP) or motor carrier safety related programs. The Division also annually transfers approximately \$1,000,000 dollars to the Kansas Department of Transportation's Highway Fund. The remaining funds are used to fund the Administration of the UCR program and the Division's Educations and Compliance Programs.

#### KTRAN MOTOR CARRIER STATISTICS

FY2021 KTRAN Statistics								
Intrastate motor carriers holding KCC authority	962							
Vehicles registered to intrastate motor carriers	4,841							
Intra/interstate motor carriers holding KCC authority	4,996							
New intrastate Public/For-hire applications granted	384							
New Private authority applications granted	103							
Fees collected from new applicants and renewals	\$186,415.00							

#### **UCR FEES**

UCR Fee Schedule   Current Fee Schedule for CY 2021 & 2022							
CMV Fleet Size	Fee Per Company						
0-2	\$59.00						
3-5	\$176.00						
6-20	\$35100						
21-100	\$1,224.00						
101-1,000	\$5,835.00						
More than 1,000	\$56,977.00						

Each entity subject to UCR is required to register and pay the fee annually through the National Registration System (NRS). The fees imposed on motor carriers and freight forwarders businesses that operate motor vehicles are graduated through a system of brackets or tiers, based on the number of vehicles they have operated; brokers and leasing companies, which operate no vehicles themselves, pay a fee at the level that applies to the smallest motor carriers. No UCR credential is issued to a UCR registrant; enforcement is accomplished through online data checks and various types of audit.

UCR defines a commercial motor vehicle (CMV) as: a self-propelled vehicle used on the highways in commerce principally to transport passengers or cargo, if the vehicle:

- Has a gross vehicle weight rating or gross vehicle weight of at least 10,001 pounds, whichever is greater, or
- when connected to trailing equipment has a gross combination weight rating or gross combination weight of at least 10,001 pounds, whichever is greater, or
- Carries placarded amounts of hazardous materials, regardless of the vehicle's weight, or
- Is designed to carry more than 10 passengers, including the driver.

In Kansas, the oversight of non-payment of UCR fees began January 1, 2009, and is conducted through KHP compliance verification through roadside inspections and at the Ports of Entry, the KDOR verifies UCR compliance prior to issuing commercial plates and the KCC

Transportation Division verifies UCR compliance through Compliance Reviews and audits on interstate motor carriers.

Under federal law, the Board of Directors of the Unified Carrier Registration Plan ("Board") is required to recommend to the United States Department of Transportation (USDOT), the level of fees to be assessed in each agreement year. Each December, the Board meets to review the amount of fees collected in the previous calendar year and recommends the six fee brackets for the upcoming registration year either be adjusted or maintained to the USDOT. The USDOT reviews the UCR Boards recommendation and either approves or adjusts the next year's registration fees. The Board recognizes that the recommended adjustments require action in a final rule by the Department and the Federal Motor Carrier Safety Administration (FMCSA).

The Division's KTRAN program directs motor carriers wanting to renew their current or previous years UCR to the NRS for renewal and adjustments. Transportation Staff are able to remind motor carriers of their UCR renewal obligations through the NRS and KTRAN portals.

The charts to the right demonstrate the success of the Transportation Division's UCR compliance efforts. For the last two years, Kansas has been the top jurisdiction in the Midwest and North America based on the number of Kansas carriers compliant with the UCR registration requirements.

#### **UCR COMPLIANCE**

UCR Compliance for CY 2020 in the Midwest Region									
Jurisdiction	Registered Carriers	Not Compliant	Percent Compliant						
Nebraska	8,339	818	91.07%						
Missouri	12,890	1446	89.91% 89.12% 89.86%						
Ohio	19,132	2,336							
Illinois	27,708	3,125							
Indiana	14,491	1,397	91.21% 84.12% 86.50% 88.87% 85.41%						
lowa	9,880	1,865							
Wisconsin	10,799	1,685							
Michigan	11,565	1,449							
Minnesota	13,578	2,319							
Kansas	8,262	98	98.83%						
Nationwide	543,200	95,285	85.22%						

Source: National Registration System

UCR Compliance for CY 2021 in the Midwest Region as of 09/14/2021									
Jurisdiction	Registered Carriers	Not Compliant	Percent Compliant						
Nebraska	8,288	1,234	87.04%						
Missouri	13,526	2,372	85.08% 84.06%						
Ohio	21,198	4,021							
Illinois	30,036	4,894	86.38%						
Indiana	15,560	2,453	86.38% 80.78%						
lowa	9,943	2,365							
Wisconsin	11,109	2,304	82.82%						
Michigan	12,210	2,261	84.38%						
Minnesota	13,834	3,436	80.10%						
Kansas	8,543	313	96.47%						
Nationwide	589,926,	146,705	80.64%						

Source: National Registration System

## Agriculture Commercial Driver's License (CDL) Reciprocity Agreements

Currently, Kansas has active Reciprocity Agreements with our counterparts in Missouri, Nebraska, and Oklahoma, which permit Kansas farmers to operate their commercial driver's license (CDL) class commercial motor vehicles (CMV) in interstate commerce within 150 airmiles of the farmers place of operation without the necessity of obtaining a CDL under certain conditions. A farmer benefits under this exemption when operating a farm registered vehicle with a valid driver's license in the farmer's home state. The vehicle must be registered as a farm vehicle and be controlled and operated by a farmer for the exclusive purpose of transporting agricultural products, farm machinery, and/or farm supplies to or from the farmer's farm. The federal statutory provisions in the Moving Ahead for Progress in the Twenty-First Century (MAP-21) legislation, were selfexecuting and took effect on October 1, 2012. This exemption eliminates the need for our CDL Reciprocity Agreements for the purpose of safety regulations; however, farm vehicle drivers still need the agreements for the Hazardous Materials exemptions. We continue to work on a similar agreement with representatives from Colorado.

Due to MAP-21, our CDL Reciprocity Agreements are more important now than ever. Currently, if farmers transport Hazardous Materials in interstate commerce they are not allowed to use the MAP-21 exemptions. These agreements allow a farm vehicle driver to operate up to a 150 air-mile radius from the farm in interstate commerce without requiring a commercial driver's license (CDL) and without registering in a Substance and Alcohol Testing program.

### Safety Compliance Program

State law requires the KCC to verify that each new motor carrier is in compliance with the Commission's safety rules and

regulations within 18 months of granting the motor carrier Commission operating authority. To advance the Division's established mission, Transportation Staff conducts both comprehensive and focused off-site investigations and audits on new and existing motor carriers, taking appropriate action upon discovering unsafe conditions. The Transportation Division also works closely with the Kansas Highway Patrol (KHP) and the Federal Motor Carrier Safety Administration (FMCSA) to reduce accidents involving motor carriers through promotion of safety education to the motor carrier industry and oversight of State and Federal regulations.

In FY2021, the Division conducted 221 Compliance Reviews and Complaints resulting in civil assessment penalties against 138 motor carriers for violations of the Divisions safety and operating authority rules and regulations. The charts on this page summarize the violations identified during this fiscal year.

FY2021 Penalty Order Summary						
138 Penalties Issued						
\$130,050 in Fines Assessed						
\$127,900 Collected						
\$2,150 Outstanding or in Collections						

Fine amounts collected by the Division are deposited directly into the State General Fund.

The KCC, KHP, KDOR and FMCSA share responsibilities in the oversight of both the state and federal motor carrier safety and economic rules and regulations. This partnership has proven to be extremely efficient and effective in contacting more carriers and drivers and in identifying high risk carriers and drivers. As a result, it is possible for the FMCSA and state oversight agencies to apply a wider range of interventions aimed at correcting high risk behavior.

#### **FY2021 VIOLATIONS**

Motor Carrier Violations identified in FY2021									
Violations Issued	49 CFR Part	Description of Violation							
13	172	Hazardous Materials							
0	383	Commercial Driver's License							
451	395 Records of Duty Violations								
3	180	Cargo Tank Violations							
134	396	Maintenance Violations							
0	107	Hazardous Materials							
172	382	Substance/Alcohol Violations							
2	387	Insurance Violations							
483	391 Driver Qualification Violations								
1	380	Special Training Requirements							
15	390	General Violations							
554	392	Rules of the Road Violations							
Total: 2,228									

These efforts have been rewarded with a measurable reduction in the number of carriers operating in an unsafe manner as well as, an improved rate of compliance among Kansas carriers when compared to states in the surrounding region. The following charts, compiled from data provided by the FMCSA, demonstrate a couple of the metrics we use to measure the effectiveness of our safety education classes and enforcement mechanisms.

### Unsatisfactory and Conditional Rated Motor Carriers for CY 2020

The Safety Management Systems (SMS) provides a calendar year (CY) snapshot of the 10 Midwest States domiciled Motor Carriers (MCs) operating in interstate commerce. This data compares Kansas based MC conditional and unsatisfactory rated CR data to the surrounding Midwest MCs data. The chart below illustrates the number of "conditional carriers" and "unsatisfactory carriers" found in the past CY. Conditional carriers are those who do not have adequate

safety management controls in place to ensure compliance with the safety fitness standards of the FMCSA. Unsatisfactory carriers are those who have been found to have committed violations of applicable regulations after a compliance review, have a proposed unsatisfactory or have been rated unsatisfactory by the FMCSA. The Transportation Division has 10 Special Investigators that perform both comprehensive and off-site compliance reviews on Kansas based intrastate and interstate motor carriers. The results for the ten states comparison below demonstrate Kansas has the 2nd lowest percentage of compliance reviews with an "unsatisfactory" outcome for the CY 2020, which emphasizes the effectiveness of our Education and Outreach Programs.

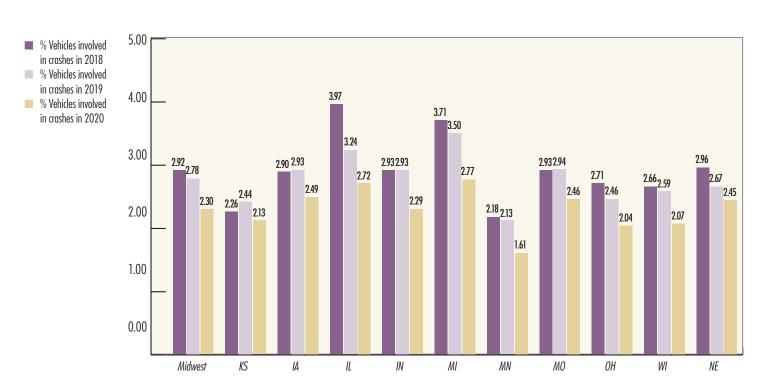
### Commercial Motor Vehicles (CMVs) Crash Data Comparison for CY 2018, 2019 and 2020

The clearest indicator of the effectiveness of the Division's safety efforts is the crash data of the state of Kansas compared to other Midwest states and the nationwide average. The Motor Carrier Management Information Systems (MCMIS) provides a snapshot of all crashes from US-domiciled motor carriers (MC) operating in both intrastate and interstate commerce. This direct comparison demonstrates the Division's effectiveness in reducing crashes compared to similarly situated states as well as the national average. The results for the ten states demonstrate Kansas has the 2nd lowest percentage of CMVs involved in crashes over the past three CYs.

For safety, A CMV means any selfpropelled or towed motor vehicle used on a highway in intra/interstate commerce to transport passengers or property when the vehicle:

- (1) Has a gross vehicle weight rating or gross combination weight rating, or gross vehicle weight or gross combination weight, of 4,536 kg (10,001 pounds) or more, whichever is greater; or
- (2) Is designed or used to transport more than 8 passengers (including the driver) for compensation; or

#### PERCENTAGE OF VEHICLES INVOLVED IN CRASHES



- (3) Is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation; or
- (4) Is used in transporting placardable quantities of hazardous materials.

## Civil Assessment Program Update

The KCC and KHP partnered to enhance the Kansas Safety Compliance Program with the goal of reducing the number of injury/fatality accidents involving Commercial Motor Vehicles. The Civil Assessment Program was designed and implemented for the purpose of distinguishing the responsibilities of drivers from those of the motor carriers under the Federal Motor Carrier Safety Regulations' (FMCSRs) "Out-Of-Service" violations. Out-of-Service (OOS) violations are violations designated as serious by the Commercial Vehicle Safety Alliance (CVSA), and require that a driver or vehicle be removed from service until the violations are corrected. CVSA is a non-profit organization consisting of federal and state regulatory agencies, motor carrier industry, and representatives dedicated to improving motor carrier safety.

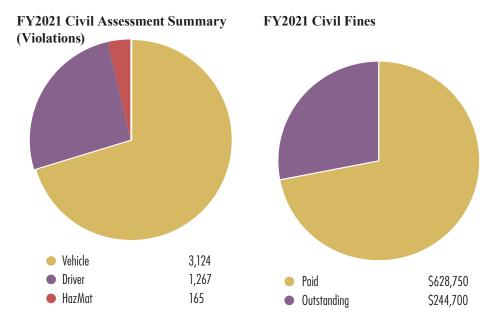
#### **OBJECTIVE**

The effectiveness of the roadside inspection program is based on information provided by the FMCSA Analysis and Information (A&I) database. The data is based on roadside inspections from both Kansas and foreign based motor carriers. The State of Kansas roadside inspections OOS rate compares the Federal fiscal year (FFY) 2017 and FFY2021 (the most recent information as of 8/27/2021\*).

During this period and the OOS rate for drivers increased from a 5.29% in 2017 to 5.80% in 2021. The vehicle OOS rate experienced a slight increase from 14.09% in 2017, to 14.80% in 2020. Our continued education efforts for Kansas based motor carriers are designed to help maintain downward OOS trends,

#### **FY2021 CIVIL ASSESSMENT SUMMARY AND FINES**

The outstanding civil fines are sent to the State and National Setoff programs for collections. The fine amounts collected by the Division are deposited directly into the State General Fund.



#### **UNSATISFACTORY & CONDITIONAL RATED MOTOR CARRIERS FOR CY2020**

Conditional/Unsatisfactory Rated Carriers for CY20 in the Midwest Region									
State	Reviews	Proposed Conditional	Proposed Unsatisfactory	Conditional/ Unsatisfactory Rating					
Nebraska	41	20	0	49%					
Missouri	39	12	1	33%					
Ohio	163	47	7	33%					
Illinois	94	53	6	62% 42%					
Indiana	43	16	2						
lowa	30	14	1	50%					
Wisconsin	53	14	1	28%					
Michigan	110	34	4	35%					
Minnesota	47	15	0	31%					
Kansas	113	10	0	9%*					

Source: FMCSA

<sup>\*</sup>Kansas had the lowest rate of conditional and unsatisfactory reviews of any Midwest state.

or actively correct short term OOS rate increases for our Kansas based motor carriers. These roadside inspection statistics reflect all roadside inspection activity in Kansas which include a large segment of foreign based motor carriers. (\*The FFY ended 9/30/2021)

Nationally, A&I information indicates Kansas continues to have a slightly lower driver OOS rate of 5.80% compared to the National driver OOS rate of 5.88%. For the vehicle OOS, Kansas continues to have significantly lower vehicle OOS rate of 14.80% compared to the National vehicle OOS rate of 21.10%. In hazardous materials (HM) OOS, Kansas has a slightly higher OOS rate of 7.18% compared to the National HM OOS rate of 4.38%. These numbers reflect a continuing relatively static trend in driver and vehicle OOS rates both nationally and statewide.

#### **STRATEGIES**

The program focuses on the safety of the driver, equipment and the traveling public. The KCC and KHP work together on enforcement and education regarding OOS violations of the FMCSRs discovered during roadside inspections. The KCC will only issue one civil penalty for each OOS violation category. For example, if the truck-tractor of a combination vehicle is placed OOS for brakes and the trailer is also placed OOS for brakes, the company will be documented for both OOS violations. but only fined for one. The KHP and KCC will allow the civil assessment to be waived for OOS violations that are outside the obvious normal method of company operations. The KHP Trooper or local enforcement officer will have the ability to provide information to recommend that no civil assessment be issued. If the carrier has demonstrated, at the roadside inspection, that the violation was 1) potentially unavoidable; 2) the carrier has processes in place to prevent the violation; and 3) the carrier clearly has the means to correct the violation, the Trooper/Officer may recommend no civil assessment be issued. In all cases, the

field decision may be superseded after the inspection is reviewed.

#### Conclusion

The Transportation Division has undertaken significant efforts to enhance the oversight responsibilities within its purview and has made significant improvements in motor carrier safety and economic regulation. The Transportation Division continues to explore ways to enhance and refine its role, to the benefit of the motor carrier industry and the Kansas public.

# Dockets | TRANSPORTATION Motor carriers

The Commission acted upon the following Transportation filings from July 1, 2020 to June 30, 2021. These filings pertained to rates, operating authority, and safety-related matters for motor carriers.

#### **MOTOR CARRIERS**

Docket number 19-TRAM-333-OOS
Docket type Transportation
Date filed 26 Feb 2019
Action date 07 Jul 2020
Commission action Reinstated

In the Matter of the Emergency Suspension of Operating Authority of ELCI Directional Drilling & Excavating, LLC of Kansas City, Kansas, for Failure to Comply With New Entrant Safety Requirements as Required by Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 15-TRAM-496-PEN
Docket type Transportation
Date filed 17 Nov 2020
Commission action Reinstated

In the Matter of the Investigation of Carlos E. Ramon-Barzola, d/b/a CRT of Garden City, Kansas, Regarding the Violation(s) of the Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 19-TRAM-447-PEN
Docket type Transportation
Date filed 07 May 2019
Action date 02 Mar 2021
Commission action Suspended

In the Matter of the Investigation of Craig Rowley of Udall, Kansas, Regarding the Violation(s) of the Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 15-TRAM-595-OOS
Docket type Transportation
Date filed 25 Jun 2015
Action date 07 Jul 2020
Commission action Reinstated

In the Matter of the Emergency Suspension of Operating Authority of Franz Construction and Irrigation, LLC of Concordia, Kansas, for Failure to Comply With New Entrant Safety Requirements as Required by Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 15-TRAM-072-OOS
Docket type Transportation
Date filed 21 Aug 2014
Action date 07 Jul 2020
Commission action Reinstated

In the Matter of the Emergency Suspension of Operating Authority of Christopher J. Kubas, d/b/a Heartland Construction & Concrete of Edwardsville, Kansas, for Failure to Comply With New Entrant Safety Requirements as Required by Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 19-TRAM-424-PEN
Docket type Transportation
Date filed 30 Apr 2019
Action date 08 Dec 2020
Commission action Suspended

In the Matter of the Investigation of Crystal's Livestock Express, L.L.C. of Pittsburg, Kansas, Regarding the Violation(s) of the Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 15-TRAM-537-OOS
Docket type Transportation
Date filed 02 Jun 2015
Action date 01 Oct 2020
Commission action Reinstated

In the Matter of the Emergency Suspension of Operating Authority of Don C. Johnson, d/b/a Red Bone Trucking of Wichita, Kansas, for Failure to Comply With New Entrant Safety Requirements as Required by Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-240-PEN
Docket type Transportation
Date filed 12 Dec 2019
Action date 25 Feb 2021
Commission action Reinstated

In the Matter of the Investigation of Geyla Varela, d/b/a E & J Transport of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-382-PEN
Docket type Transportation
Date filed 14 Apr 2020
Action date 14 Jul 2020
Commission action Reinstated

In the Matter of the Investigation of Neal Concrete, Inc. of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number20-TRAM-428-PENDocket typeTransportationDate filed30 Apr 2020Action date17 Nov 2020Commission actionReinstated

In the Matter of the Investigation of Eumelio Varela, d/b/a E Varela Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number20-TRAM-429-OOSDocket typeTransportationDate filed28 Apr 2020Action date10 Dec 2020Commission actionReinstated

In the Matter of the Emergency Suspension of Operating Authority of LU Timely Express LLC of Lenexa, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-500-OOS
Docket type Transportation
Date filed 16 Jul 2020
Action date 16 Jul 2020
Commission action Reinstated

In the Matter of the Emergency Suspension of Operating Authority of Deol Express Inc. of Overland Park, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number20-TRAM-529-PENDocket typeTransportationDate filed07 Jul 2020Action date13 Oct 2020Commission actionReinstated

In the Matter of the Investigation of Hinkle Trucking, LLC of Severy, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number20-TRAM-530-OOSDocket typeTransportationDate filed07 Jul 2020Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of Noonan Livestock, LLC of Emporia, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-012-PEN
Docket type Transportation
Date filed 14 Jul 2020
Action date 14 Jul 2020
Commission action Fined

In the Matter of the Investigation of Affordable Tree Service, LLC of Independence, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-013-PEN
Docket type Transportation
Date filed 16 Jul 2020
Action date 16 Jul 2020
Commission action Fined

In the Matter of the Investigation of Salvador Almeda-Nunez, d/b/a Almeda Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-528-PEN
Docket type Transportation
Date filed 07 Jul 2020
Action date 07 Jul 2020
Commission action Fined

In the Matter of the Investigation of Southwest Transport, Inc. of Satanta, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-004-MISDocket typeTransportationDate filed07 Jul 2020Action date07 Jul 2020Commission actionApproved

In the Matter of the State Corporation Commission of the State of Kansas Approving the FY2021 Uniform Penalty Assessments for the Regulation of Motor Carriers and Persons Operating in Kansas Who Fail to Obey Any Order, Decision or Regulation of the Commission.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-531-PEN
Docket type Transportation
Date filed 14 Jul 2020
Action date 14 Jul 2020
Commission action Fined

In the Matter of the Investigation of J & M Field Services, LLC of Hays, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-018-PEN
Docket type Transportation
Date filed 13 Oct 2020
Action date 23 Feb 2021
Commission action Final Order

In the Matter of the Investigation into Certain Motor Carriers for the Failure to Pay 2019 UCR Fees.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-527-PEN
Docket type Transportation
Date filed 07 Jul 2020
Action date 07 Jul 2020
Commission action Fined

In the Matter of the Investigation of Kenneth Bonham,d/b/a B&B Wrecker Service of Larned, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number 21-TRAM-010-PEN
Docket type Transportation
Date filed 14 Jul 2020
Action date 14 Jul 2020
Commission action Fined

In the Matter of the Investigation of Jacinto Cases Lozano, d/b/a Jacinto's Trucking of Syracuse, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 20-TRAM-517-OOS
Docket type Transportation
Date filed 18 Jun 2020
Action date 21 Jul 2020
Commission action Reinstated

In the Matter of the Emergency Suspension of Operating Authority of Atlas & Goliath Industries, Inc., d/b/a Goliath Tech Kansas City of Leawood, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number20-TRAM-523-PENDocket typeTransportationDate filed30 Jun 2020Action date16 Jul 2020Commission actionFined

In the Matter of the Investigation of McDonald, LLC, d/b/a Corner Express of Satanta, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-006-PEN
Docket type Transportation
Date filed 14 Jul 2020
Action date 14 Jul 2020
Commission action Fined

In the Matter of the Investigation of Midwest Irrigation Systems, Inc. of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-016-PEN
Docket type Transportation
Date filed 16 Jul 2020
Action date 16 Jul 2020
Commission action Fined

In the Matter of the Investigation of Jordan Disposal, LLC of Galena, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-020-PENDocket typeTransportationDate filed21 Jul 2020Action date21 Jul 2020Commission actionFined

In the Matter of the Investigation of Ryan Adam Stephens, d/b/a Rocking R Trucking of Moran, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-011-PEN
Docket type Transportation
Date filed 14 Jul 2020
Action date 14 Jul 2020
Commission action Fined

In the Matter of the Investigation of JTF Transport, LLC of Kechi, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-040-PEN
Docket type Transportation
Date filed 30 Jul 2020
Action date 30 Jul 2020
Commission action Fined

In the Matter of the Investigation of Jose Manuel Lopez-Valdez, d/b/a LR Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-014-PENDocket typeTransportationDate filed16 Jul 2020Action date16 Jul 2020Commission actionFined

In the Matter of the Investigation of Kansas Auto Towing Specialists LLC of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-035-PEN
Transportation
28 Jul 2020
28 Jul 2020
Fined

In the Matter of the Investigation of Custom Neon and Vinyl Graphics, Inc. of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### KHP MOTOR CARRIER VIOLATIONS

Docket number 20-GIMM-474-KHP
Docket type Transportation
Date filed 11 Feb 2021
Action date 11 Feb 2021
Commission action Dismissed

In the Matter of the Investigation of One Horse Trucking, LLC of Torrington, Wyoming, Pursuant to the Kansas Highway Patrol Issuance of a Notice of Violation for Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-022-PEN
Docket type Transportation
Date filed 21 Jul 2020
Action date 21 Jul 2020
Commission action Fined

In the Matter of the Investigation of Anderson Equipment LLC of Clay Center, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number21-TRAM-034-PENDocket typeTransportationDate filed28 Jul 2020Action date28 Jul 2020Commission actionFined

In the Matter of the Investigation of Quinonez Transport LLC of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-038-PENDocket typeTransportationDate filed24 Sep 2020Action date24 Sep 2020Commission actionFined

In the Matter of the Investigation of Stephens Cattle LLC of Grinnell, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-039-PENDocket typeTransportationDate filed30 Jul 2020Action date30 Jul 2020Commission actionFined

In the Matter of the Investigation of Thomas Hammer, d/b/a/ H & H Trucking of Iola, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-043-PEN
Docket type Transportation
Date filed 13 Aug 2020
Action date 13 Aug 2020
Commission action Fined

In the Matter of the Investigation of Coonrod & Associates Construction Co., Inc. of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-078-PEN
Docket type Transportation
Date filed 18 Aug 2020
Action date 18 Aug 2020
Commission action Fined

In the Matter of the Investigation of Sodicco Transport LLC of Abilene, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-077-PEN
Docket type Transportation
Date filed 18 Aug 2020
Action date 18 Aug 2020
Commission action Fined

In the Matter of the Investigation of Xplosive Solutions LLC of Lehigh, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-083-PEN
Docket type Transportation
Date filed 25 Aug 2020
Action date 25 Aug 2020
Commission action Fined

In the Matter of the Investigation of Best Trucking, LLC of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-084-PEN
Docket type Transportation
Date filed 01 Oct 2020
Action date 01 Oct 2020
Commission action Fined

In the Matter of the Investigation of Bettis Asphalt & Construction, Inc. of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-111-PENDocket typeTransportationDate filed01 Oct 2020Action date01 Oct 2020Commission actionFined

In the Matter of the Investigation of Stonypoint Seed LLC of Fontana, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-115-PEN
Transportation
01 Oct 2020
01 Oct 2020
Fined

In the Matter of the Investigation of B & J Service, Inc., d/b/a John's Service of Abilene, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-082-PEN
Transportation
25 Aug 2020
25 Aug 2020
Fined

In the Matter of the Investigation of Magnificent Lawn LLC of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-090-PEN
Docket type Transportation
Date filed 15 Sep 2020
Action date 15 Sep 2020
Commission action Fined

In the Matter of the Investigation of Wright's Moving Inc. of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number 21-TRAM-102-PEN
Docket type Transportation
Date filed 01 Oct 2020
Action date 01 Oct 2020
Commission action Fined

In the Matter of the Investigation of Paul Ramirez, d/b/a Ramirez Industries of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-127-PEN
Docket type Transportation
Date filed 08 Oct 2020
Action date 08 Oct 2020
Commission action Fined

In the Matter of the Investigation of Keep On Trucking, LLC of Lenexa, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-136-PEN
Docket type Transportation
Date filed 13 Oct 2020
Action date 13 Oct 2020
Commission action Fined

In the Matter of the Investigation of Dewey W. Huber, d/b/a Liberty Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-138-PEN
Docket type Transportation
Date filed 15 Oct 2020
Action date 15 Oct 2020
Commission action Fined

In the Matter of the Investigation of CE Water Management, Inc. of Kansas City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-182-OOS
Docket type Transportation
Date filed 03 Dec 2020
Action date 03 Dec 2020
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of Sharon Warner, d/b/a Louie & Son Excavating of Mound City, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-125-PEN
Transportation
06 Oct 2020
60 Oct 2020
Fined

In the Matter of the Investigation of Mario Lopez Benitez, d/b/a Maya Trucking of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-203-PEN
Docket type Transportation
Date filed 15 Dec 2020
Action date 15 Dec 2020
Commission action Fined

In the Matter of the Investigation of Seven Son's Trucking, LLC of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-207-PEN
Docket type Transportation
Date filed 15 Dec 2020
Action date 15 Dec 2020
Commission action Fined

In the Matter of the Investigation of Flying S Trucking, LLC of McCune, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-215-PENDocket typeTransportationDate filed17 Dec 2020Action date17 Dec 2020Commission actionFined

In the Matter of the Investigation of Tarp Board N Tree LLC, d/b/a Zangs Tree Care of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-227-PEN
Docket type Transportation
Date filed 29 Dec 2020
Action date 29 Dec 2020
Commission action Fined

In the Matter of the Investigation of Austin Hebb, d/b/a Hebb Trucking of Fall River, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-106-OOS
Docket type Transportation
Date filed 15 Sep 2020
Action date 15 Sep 2020
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of Hentzen Hotshots LLC of Parsons, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-123-PEN
Docket type Transportation
Date filed 06 Oct 2020
Action date 06 Oct 2020
Commission action Fined

In the Matter of the Investigation of Midwest Mobile Home Service LLC of Emporia, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number21-TRAM-133-PENDocket typeTransportationDate filed08 Oct 2020Action date08 Oct 2020Commission actionFined

In the Matter of the Investigation of Lebo Garage Towing, Inc. of Lebo, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-142-PEN
Docket type Transportation
Date filed 20 Oct 2020
Action date 20 Oct 2020
Commission action Approved

In the Matter of the Investigation of B&G Services LLC of Liberal, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-220-PEN
Docket type Transportation
Date filed 29 Dec 2020
Action date 29 Dec 2020
Commission action Apprvd/Mod

In the Matter of the Investigation of PIAT, Inc., d/b/a ServPro of Olathe Lenexa of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-236-PEN
Docket type Transportation
Date filed 05 Jan 2021
Action date 05 Jan 2021
Commission action Fined

In the Matter of the Investigation of Ramon Pallares, d/b/a Star Trucking of Liberal, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-237-PENDocket typeTransportationDate filed05 Jan 2021Action date05 Jan 2021Commission actionApprvd/Mod

In the Matter of the Investigation of Delphos Cooperative Association of Delphos, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-238-PEN
Docket type Transportation
Date filed 05 Jan 2021
Action date 30 Mar 2021
Commission action Reinstated

In the Matter of the Investigation of Justin D. Strahan, d/b/a Outnumbered Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-305-PEN
Docket type Transportation
Date filed 13 Apr 2021
Action date 13 Apr 2021
Commission action Fined

In the Matter of the Investigation of Concrete Service Co., Inc. of Great Bend, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-322-PEN
Docket type Transportation
Date filed 04 Mar 2021
Action date 04 Mar 2021
Commission action Fined

In the Matter of the Investigation of KC Spray Foam & Coatings LLC of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-249-PENDocket typeTransportationDate filed12 Jan 2021Action date12 Jan 2021Commission actionFinal Order

In the Matter of the Investigation of Zeck Motor Company, d/b/a Zeck Ford of Leavenworth, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-256-PEN
Docket type Transportation
Date filed 14 Jan 2021
Action date 14 Jan 2021
Commission action Fined

In the Matter of the Investigation of Kansas City Peterbilt, Inc. of Kansas City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-259-PEN
Docket type Transportation
Date filed 14 Jan 2021
Action date 14 Jan 2021
Commission action Fined

In the Matter of the Investigation of LB White Trucking, LLC of Wellington, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-213-PENDocket typeTransportationDate filed17 Dec 2020Action date17 Dec 2020Commission actionApprvd/Mod

In the Matter of the Investigation of Leo J. Stos, Jr., d/b/a Stos & Stos of Great Bend, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number21-TRAM-224-PENDocket typeTransportationDate filed29 Dec 2020Action date29 Dec 2020Commission actionFined

In the Matter of the Investigation of Kenny Brown of Lawrence, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-229-PENDocket typeTransportationDate filed29 Dec 2020Action date29 Dec 2020Commission actionFined

In the Matter of the Investigation of Pleasant Hill, Inc. of Hillsboro, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-271-PENDocket typeTransportationDate filed02 Feb 2021Action date02 Feb 2021Commission actionFined

In the Matter of the Investigation of Manuel Hernandez, d/b/a M Hernandez Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-277-PENDocket typeTransportationDate filed02 Feb 2021Action date02 Feb 2021Commission actionFined

In the Matter of the Investigation of TM Transport LLC of Dodge City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-246-PENDocket typeTransportationDate filed07 Jan 2021Action date07 Jan 2021Commission actionFined

In the Matter of the Investigation of Robert Nankivell, d/b/a Bob's Garage of Pittsburg, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-336-PEN
Docket type Transportation
Date filed 09 Mar 2021
Action date 09 Mar 2021
Commission action Fined

In the Matter of the Investigation of Royce Varela, d/b/a Varela Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-217-PENDocket typeTransportationDate filed22 Dec 2020Action date22 Dec 2020Commission actionFined

In the Matter of the Investigation of TPNA Express LLC of Bel Aire, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-251-PENDocket typeTransportationDate filed12 Jan 2021Action date12 Jan 2021Commission actionFined

In the Matter of the Investigation of B & N Trucking, LLC of Oberlin, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-273-PENDocket typeTransportationDate filed02 Feb 2021Action date02 Feb 2021Commission actionFined

In the Matter of the Investigation of Shaw Propane, LLC of Grainfield, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-289-PEN
Transportation
11 Feb 2021
11 Feb 2021
Fined

In the Matter of the Investigation of Bar M Trucking LLC of Iola, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-292-PENDocket typeTransportationDate filed25 Feb 2021Action date25 Feb 2021Commission actionFined

In the Matter of the Investigation of Michael Weiche, d/b/a Weiche & Sons of Greenleaf, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-312-PEN
Docket type Transportation
Date filed 25 Feb 2021
Action date 25 Feb 2021
Commission action Fined

In the Matter of the Investigation of J & K Weers Trucking LLC of LeRoy, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number21-TRAM-201-PENDocket typeTransportationDate filed10 Dec 2020Action date10 Dec 2020Commission actionFined

In the Matter of the Investigation of Pablo Becerril-Loma, d/b/a Becerril Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-202-PENDocket typeTransportationDate filed10 Dec 2020Action date10 Dec 2020Commission actionFined

In the Matter of the Investigation of Baldwin Trucking, Inc. of Plainville, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-218-PENDocket typeTransportationDate filed22 Dec 2020Action date22 Dec 2020Commission actionFined

In the Matter of the Investigation of Jose H. Jurado-Morales, d/b/a El Cowboy Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-265-PENDocket typeTransportationDate filed28 Jan 2021Action date28 Jan 2021Commission actionFined

In the Matter of the Investigation of Rok'n H Farms LLC of Altamont, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-344-PEN
Docket type Transportation
Date filed 18 Mar 2021
Action date 18 Mar 2021
Commission action Fined

In the Matter of the Investigation of Bauman Brothers, LLC d/b/a Bauman Brothers Farms of Garnett, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-116-OOSDocket typeTransportationDate filed22 Sep 2020Action date22 Sep 2020Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of Jorge Montoya, d/b/a 365 Construction of Shawnee, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-260-PEN
Docket type Transportation
Date filed 26 Jan 2021
Action date 26 Jan 2021
Commission action Fined

In the Matter of the Investigation of Gary D. Rea, d/b/a Rea Trucking of Williamsburg, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-206-PENDocket typeTransportationDate filed15 Dec 2020Action date15 Dec 2020Commission actionFined

In the Matter of the Investigation of Flint Hills Stone Trucking, LLC of Alma, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-262-OOSDocket typeTransportationDate filed21 Jan 2021Action date21 Jan 2021Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of DCD Transport LLC of Kansas City, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-267-PEN
Transportation
28 Jan 2021
28 Jan 2021
Fined

In the Matter of the Investigation of Philip Schankie of Madison, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-183-PEN
Transportation
08 Dec 2020
AB Dec 2020
Fined

In the Matter of the Investigation of Midwest Transport, LLC of Liberal, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-264-PEN
Docket type Transportation
Date filed 26 Jan 2021
Action date 26 Jan 2021
Commission action Fined

In the Matter of the Investigation of Dave's Pumping LLC of Emporia, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number 21-TRAM-323-PEN
Docket type Transportation
Date filed 04 Mar 2021
Action date 04 Mar 2021
Commission action Fined

In the Matter of the Investigation of Mahaffey Hauling LLC of Cherryvale, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-341-PEN
Docket type Transportation
Date filed 16 Mar 2021
Action date 16 Mar 2021
Commission action Fined

In the Matter of the Investigation of Metro Air Conditioning Co of Shawnee, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-349-OOS
Docket type Transportation
Date filed 23 Mar 2021
Action date 23 Mar 2021
Commission action Suspended

In the Matter of the Investigation of Cargo Express Inc. of Lenexa, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-350-OOSDocket typeTransportationDate filed23 Mar 2021Action date23 Mar 2021Commission actionSuspended

In the Matter of the Investigation of Wako Austin Giessel, d/b/a Bar G Trucking of Kismet, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-248-PENDocket typeTransportationDate filed12 Jan 2021Action date12 Jan 2021Commission actionFined

In the Matter of the Investigation of Dave's Inc., d/b/a Dave's Body Shop & Wrecker Service of Independence, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-290-PEN
Docket type Transportation
Date filed 11 Feb 2021
Action date 11 Feb 2021
Commission action Fined

In the Matter of the Investigation of Rocky Hill LLC, d/b/a Rocky Hill Towing of Coffeyville, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-137-PEN
Docket type Transportation
Date filed 13 Oct 2020
Action date 13 Oct 2020
Commission action Fined

In the Matter of the Investigation of J-L Trucking LLC of Garnett, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-140-PEN
Docket type Transportation
Date filed 15 Oct 2020
Action date 15 Oct 2020
Commission action Fined

In the Matter of the Investigation of IWR, LLC of Salina, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-200-OOSDocket typeTransportationDate filed08 Dec 2020Action date08 Dec 2020Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of Royal P & M Construction LLP of Kansas City, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-300-PEN
Docket type Transportation
Date filed 23 Feb 2021
Action date 23 Feb 2021
Commission action Fined

In the Matter of the Investigation of Gibson Products Co of Salina, Inc., d/b/a Ace Hardware Home Center of Salina, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-109-PEN
Docket type Transportation
Date filed 01 Oct 2020
Action date 01 Oct 2020
Commission action Fined

In the Matter of the Investigation of Long Bar S Inc., d/b/a Scott & Associates of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-110-PENDocket typeTransportationDate filed24 Sep 2020Action date24 Sep 2020Commission actionFined

In the Matter of the Investigation of Zackery Mahaffey, d/b/a ZW Hauling of Neodesha, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number 21-TRAM-126-PEN
Docket type Transportation
Date filed 06 Oct 2020
Action date 06 Oct 2020
Commission action Fined

In the Matter of the Investigation of Diamond D Enterprises LLC of Hays, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-144-PENDocket typeTransportationDate filed20 Oct 2020Action date20 Oct 2020Commission actionFined

In the Matter of the Investigation of Jonathan Kelly, d/b/a Its Your Move of Parsons, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-118-PENDocket typeTransportationDate filed06 Oct 2020Action date06 Oct 2020Commission actionFined

In the Matter of the Investigation of Topeka Trailer Repair, Inc. of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-135-OOSDocket typeTransportationDate filed08 Oct 2020Action date15 Oct 2020Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of Big Stacks Trucking LLC of Hays, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number21-TRAM-145-PENDocket typeTransportationDate filed20 Oct 2020Action date20 Oct 2020Commission actionFined

In the Matter of the Investigation of B & E Waste Systems, Inc. of Dodge City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-120-PEN
Docket type Transportation
Date filed 06 Oct 2020
Action date 06 Oct 2020
Commission action Fined

In the Matter of the Investigation of Kolton Harting Trucking LLC of Norton, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-128-PEN
Docket type Transportation
Date filed 08 Oct 2020
Action date 08 Oct 2020
Commission action Fined

In the Matter of the Investigation of Robles Transport, LLC of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-119-OOS
Docket type Transportation
Date filed 01 Oct 2020
Action date 01 Oct 2020
Commission action Granted

In the Matter of the Emergency Suspension of Operating Authority of Full Throttle Transport LLC of White City, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-121-PEN
Docket type Transportation
Date filed 06 Oct 2020
Action date 06 Oct 2020
Commission action Fined

In the Matter of the Investigation of Brandon J. Schwartz, d/b/a Brandon Schwartz Trucking of Great Bend, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-124-OOSDocket typeTransportationDate filed01 Oct 2020Action date01 Oct 2020Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of KC Pier, LLC of Overland Park, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-326-OOS
Docket type Transportation
Date filed 09 Mar 2021
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of Ibarra Steel Structures LLC of Wichita, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-340-PEN
Docket type Transportation
Date filed 16 Mar 2021
Action date 16 Mar 2021
Commission action Fined

In the Matter of the Investigation of JSeven Autos, Inc. of Pretty Prairie, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-129-PEN
Transportation
08 Oct 2020
80 Oct 2020
Fined

In the Matter of the Investigation of Gary Unruh, d/b/a Unruh Distributing of Great Bend, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-130-PENDocket typeTransportationDate filed20 Oct 2020Action date05 Jan 2021Commission actionSuspended

In the Matter of the Investigation of Donald & Beverly Leach, d/b/a Don Leach Truck Line of Hiawatha, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-327-OOS
Docket type Transportation
Date filed 09 Mar 2021
Action date 09 Mar 2021
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of Select Contracting Corp of Wichita, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number21-TRAM-356-OOSDocket typeTransportationDate filed30 Mar 2021Action date30 Mar 2021Commission actionSuspended

In the Matter of the Investigation of Carbon Trucking LLC of Mound City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-357-OOS
Docket type Transportation
Date filed 01 Apr 2021
Action date 01 Apr 2021
Commission action Reinstated

In the Matter of the Investigation of Wausau Supply Trucking LLC of New Century, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-358-OOS
Docket type Transportation
Date filed 30 Mar 2021
Action date 30 Mar 2021
Commission action Suspended

In the Matter of the Investigation of R4WJTT LLC of Hugoton, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-175-PEN
Docket type Transportation
Date filed 17 Nov 2020
Action date 17 Nov 2020
Commission action Fined

In the Matter of the Investigation of 21st Century Transportation Alliance LLC of Sharon Springs, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-302-PENDocket typeTransportationDate filed18 Feb 2021Action date18 Feb 2021Commission actionFined

In the Matter of the Investigation of Oswaldo Varela Marquez, d/b/a OVT of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-337-PEN
Docket type Transportation
Date filed 11 Mar 2021
Action date 11 Mar 2021
Commission action Fined

In the Matter of the Investigation of Aaron Garcia, d/b/a AG Trucking of Ensign, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-352-OOSDocket typeTransportationDate filed23 Mar 2021Action date23 Mar 2021Commission actionSuspended

In the Matter of the Investigation of Gary Tucker, d/b/a Tucker Trucking of Smith Center, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-187-PENDocket typeTransportationDate filed08 Dec 2020Action date08 Dec 2020Commission actionFined

In the Matter of the Investigation of Southwest Towing, Inc. of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-351-OOS
Docket type Transportation
Date filed 23 Mar 2021
Action date 23 Mar 2021
Commission action Suspended

In the Matter of the Investigation of TH Cattle Company LLC of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number 21-TRAM-324-PEN
Docket type Transportation
Date filed 04 Mar 2021
Action date 04 Mar 2021
Commission action Fined

In the Matter of the Investigation of Green Oilfield Services LLC of Jennings, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-339-PEN
Docket type Transportation
Date filed 16 Mar 2021
Action date 16 Mar 2021
Commission action Fined

In the Matter of the Investigation of The Irrigation Company LLC of Pittsburg, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-342-PENDocket typeTransportationDate filed16 Mar 2021Action date16 Mar 2021Commission actionFined

In the Matter of the Investigation of BMB Freight Solutions, Inc. of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-359-OOSDocket typeTransportationDate filed22 Mar 2021Action date30 Mar 2021Commission actionSuspended

In the Matter of the Investigation of Natures Image Aquative Design LLC of Topeka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-402-PENDocket typeTransportationDate filed29 Apr 2021Action date29 Apr 2021Commission actionFined

In the Matter of the Investigation of K-B Lawn Care LLC of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-416-PEN
Docket type Transportation
Date filed 11 May 2021
Action date 11 May 2021
Commission action Fined

In the Matter of the Investigation of Darner Transport LLC of Independence, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-433-PEN
Transportation
27 May 2021
27 May 2021
Fined

In the Matter of the Investigation of Triple 8 Trucking, LLC of Cimarron, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-450-PEN
Docket type Transportation
Date filed 08 Jun 2021
Action date 08 Jun 2021
Commission action Pending

In the Matter of the Investigation of Ottaway Amusement Co. Inc. of Onaga, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-457-PENDocket typeTransportationDate filed22 Jun 2021Action date22 Jun 2021Commission actionFined

In the Matter of the Investigation of RLM Underground LLC of Olathe, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-458-OOS
Docket type Transportation
Date filed 22 Jun 2021

In the Matter of the Emergency Suspension of Operating Authority of B A Drussel Farms LLC of Protection, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-461-OOS
Docket type Transportation
Date filed 24 Jun 2021
Action date 24 Jun 2021
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of Jusif Trucking Inc of Kansas City, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-470-PEN
Docket type Transportation
Date filed 29 Jun 2021
Action date 29 Jun 2021
Commission action Fined

In the Matter of the Investigation of Mike Thomas, d/b/a D and D Transporting of Wichita, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket number21-TRAM-463-OOSDocket typeTransportationDate filed24 Jun 2021Action date24 Jun 2021Commission actionSuspended

In the Matter of the Emergency Suspension of Operating Authority of Ares Transportation LLC of Kansas City, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-465-OOS
Docket type Transportation
Date filed 24 Jun 2021
Action date 24 Jun 2021
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of Safety First Trucking Inc of Olathe Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-474-OOS
Docket type Transportation
Date filed 29 Jun 2021
Action date 29 Jun 2021
Commission action Suspended

In the Matter of the Investigation of Melissa Solorzano of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-469-PEN
Docket type Transportation
Date filed 29 Jun 2021
Action date 29 Jun 2021
Commission action Fined

In the Matter of the Investigation of Superior Sanitation Service Inc of Abilene, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-472-PEN
Docket type Transportation
Date filed 29 Jun 2021
Action date 29 Jun 2021
Commission action Fined

In the Matter of the Investigation of Tireland LLC of Eureka, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-364-OOSDocket typeTransportationDate filed30 Mar 2021Action date30 Mar 2021Commission actionSuspended

In the Matter of the Investigation of Stahl Transport LLC of Alma, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number
Docket type
Date filed
Action date
Commission action

21-TRAM-365-OOS
Transportation
30 Mar 2021
30 Mar 2021
Suspended

In the Matter of the Investigation of Sinbads Tow Service Inc of Lenexa, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-367-OOSDocket typeTransportationDate filed30 Mar 2021Action date30 Mar 2021Commission actionSuspended

In the Matter of the Investigation of 1 Aurora LLC of St. John, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-373-PENDocket typeTransportationDate filed06 Apr 2021Action date06 Apr 2021Commission actionFined

In the Matter of the Investigation of Salina Wrecker Service & Transport Inc. of Salina, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-366-OOSDocket typeTransportationDate filed30 Mar 2021Action date30 Mar 2021Commission actionSuspended

In the Matter of the Investigation of A&B Transportation LLC of Shawnee, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-368-OOSDocket typeTransportationDate filed30 Mar 2021Action date30 Mar 2021Commission actionSuspended

In the Matter of the Investigation of Inglett Logistics LLC of Berryton, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-369-OOS
Docket type Transportation
Date filed 30 Mar 2021
Action date 30 Mar 2021
Commission action Suspended

In the Matter of the Emergency Suspension of Operating Authority of American Landscaping and Lawncare LLC of Topeka, Kansas, for Failure to Comply With Federal New Entrant Safety Requirements as Required by the Kansas Motor Carrier Safety Statutes, Rules and Regulations.

Docket number21-TRAM-389-PENDocket typeTransportationDate filed15 Apr 2021Action date15 Apr 2021Commission actionFined

In the Matter of the Investigation of J & R Trucking, LLC of Dodge City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-393-PEN
Docket type Transportation
Date filed 20 Apr 2021
Action date 20 Apr 2021
Commission action Fined

In the Matter of the Investigation of Rene E. Reyes, d/b/a DM Express of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-392-PEN
Docket type Transportation
Date filed 20 Apr 2021
Action date 20 Apr 2021
Commission action Fined

In the Matter of the Investigation of Midwest Express Corporation of Lenexa, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-394-PENDocket typeTransportationDate filed22 Apr 2021Action date22 Apr 2021Commission actionFined

In the Matter of the Investigation of BelCon Sanitation Inc., d/b/a Oscar Quillian Sheetz of Belleville, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-413-PENDocket typeTransportationDate filed11 May 2021Action date11 May 2021Commission actionFined

In the Matter of the Investigation of Almighty Tow Service LLC of Overland Park, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-414-PEN
Docket type Transportation
Date filed 11 May 2021
Action date 11 May 2021
Commission action Fined

In the Matter of the Investigation of S & S Tire, Inc., d/b/a S & S Towing & Recovery of St. John, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-415-PEN
Docket type Transportation
Date filed 11 May 2021
Action date 11 May 2021
Commission action Fined

In the Matter of the Investigation of Eumelio Varela, d/b/a E Varela Trucking of Garden City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number 21-TRAM-427-PEN
Docket type Transportation
Date filed 25 May 2021
Action date 25 May 2021
Commission action Fined

In the Matter of the Investigation of Specialty Trucking Inc. of Kansas City, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

#### **MOTOR CARRIERS**

Docket number21-TRAM-429-PENDocket typeTransportationDate filed25 May 2021Action date25 May 2021Commission actionFined

In the Matter of the Investigation of Metro Excavating, Inc. of Paola, Kansas, Regarding the Violation(s) of the Kansas Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.



The Commission acted upon the following Multi-Industry filings from July 1, 2020 to June 30, 2021. These filings involved issues generic to a particular industry segment.

## PUBLIC UTILITIES AND COMMON CARRIER ASSESSMENTS

Docket number 21-ALLX-001-ASM
Docket type Multi Industry
Date filed 09 Jul 2020
Action date 09 Jul 2020
Commission action Assessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with such Regulation, as Provided in K.S.A. 66-1501 to 66-1510.

#### **CURB PUBLIC UTILITIES**

Docket number 21-ALLB-002-ASM
Docket type Multi Industry
Date filed 09 Jul 2020
Action date 09 Jul 2020
Commission action Assessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with Such Regulation, as Provided in K.S.A. 66-1501-to 66-1510.

#### **CURB PUBLIC UTILITIES**

Docket number 21-ALLB-131-ASM
Docket type Multi Industry
Date filed 08 Oct 2020
Action date 08 Oct 2020
Commission action Accepted

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with Such Regulation, as Provided in K.S.A. 66-1501-to 66-1510.

## PUBLIC UTILITIES AND COMMON CARRIER ASSESSMENTS

Docket number 21-ALLX-132-ASM
Docket type Multi Industry
Date filed 08 Oct 2020
Action date 08 Oct 2020
Commission action Accepted

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with such Regulation, as Provided in K.S.A. 66-1501 to 66-1510.

#### **EVERGY KANSAS CENTRAL, INC**

Docket number 21-EKCE-170-COM
Docket type Multi Industry
Date filed 28 Oct 2020
Action date 11 Mar 2021
Commission action Denied

In the Matter of the Complaint Against Evergy, Inc., Kansas Gas Service and Wichita Public Works by Latisah Michaux.

#### **CURB PUBLIC UTILITIES**

Docket number 21-ALLB-243-ASM
Docket type Multi Industry
Date filed 12 Jan 2021
Action date 12 Jan 2021
Commission action Assessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with Such Regulation, as Provided in K.S.A. 66-1501-to 66-1510.

## PUBLIC UTILITIES AND COMMON CARRIER ASSESSMENTS

Docket number 21-ALLX-244-ASM
Docket type Multi Industry
Date filed 12 Jan 2021
Action date 12 Jan 2021
Commission action Assessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with such Regulation, as Provided in K.S.A. 66-1501 to 66-1510.

#### **CURB PUBLIC UTILITIES**

Docket number 21-ALLB-378-ASM
Docket type Multi Industry
Date filed 08 Apr 2021
Action date 08 Apr 2021
Commission action Assessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with Such Regulation, as Provided in K.S.A. 66-1501to 66-1510.

## PUBLIC UTILITIES AND COMMON CARRIER ASSESSMENTS

Docket number 21-ALLX-377-ASM
Docket type Multi Industry
Date filed 08 Apr 2021
Action date 08 Apr 2021
Commission action Assessed

In the Matter of the Regulation of Public Utilities and Common Carriers, and Providing Means for Paying Certain Costs and Expenses in Connection with such Regulation, as Provided in K.S.A. 66-1501 to 66-1510.



The Commission acted upon the following Wind filings from July 1, 2020 to June 30, 2021.

#### **EMPIRE DISTRICT ELECTRIC COMPANY**

Docket number 21-EPDE-107-CON

Docket typeWindDate filed08 Sep 2020Action date20 May 2021Commission actionFinal Order

In the Matter of the Application of The Empire District Electric Company's Submission of its Energy Management Services Agreements with North Fork Ridge Wind, LLC and Kings Point Wind, LLC.

## Consumer Complaints

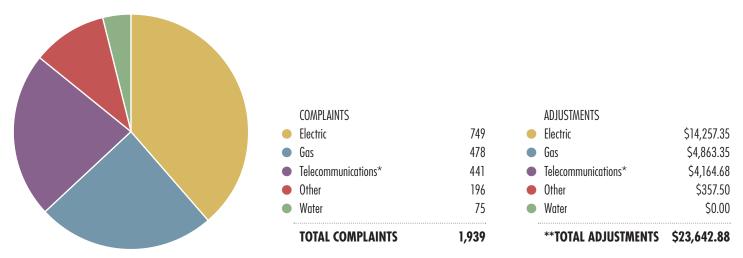
The Commission's Office of Public Affairs and Consumer Protection (PACP) assists Kansas utility customers when they have questions or need help in resolving an issue with a regulated utility. In FY2021, the staff handled 1,939 complaints and helped return more than \$23,000 to consumers in disputed charges. PACP staff worked with ratepayers from all across the state to get answers and resolutions. The charts to follow illustrate the statewide assistance offered and the types of complaints received by industry type as well as category.

#### TOTAL COMPLAINTS BY COUNTY | FY21

Consumers from across the state contacted the KCC for assistance in resolving utility complaints. The largest number of consumer complaints came from counties with the highest populations (Sedgwick, Johnson and Shawnee).

	YENNE O	R	AWLINS	DECATUR <b>O</b>	NORTON	PHILLIPS 0	SМІТН 1	JEWELL	REPUBLIC 0	washington 2	MARSHALL	NEMAHA 8	BROWN	5	k	
SHEF	RMAN	ī	HOMAS	SHERIDAN O	GRAHAM	RODKS O	OSBORNE <b>O</b>	MITCHELL 3	CLOUD 4	CLAY	RILEY 45	TAWATOMIE 0	IACKSON	JETT EROOM	ENWORTH	
WALLAC	CE	LOGA	N	GOVE	TREGO	ELUS 8	RUSSELL 4	LINCOLN 2	SALINE	DICKINSON 4	GEARY 17	WABAUNSEE 14	SHAWNEE 193	8 DOUGLAS 77	JOHNSON 236	90
GREELEY 2		CHITA	SCOTT O	LANE	NESS	RUSH 2	BARTON 12	ELLSWORTH  RICE	MCPHERSON 9	MARION	MUKKIS 1	LYON <b>21</b>	OSAGE 13	FRANKLIN 12	MIAMI <b>27</b>	
HAMILTON		ARNY	FINNEY		HODGEMAN 2	PAWNEE 2	STAFFORD 1	9 RENO	HARVI		0	GREENWOOD	COFFEY  2  WOODSON	ANDERSON 4 ALLEN	5 BOURBON	
STANTON <b>O</b>		RANT	HASKELL	GRAY	FORD 4	1 KIOWA	PRAIT 4	19 KINGMAN	SEDGW		BUTLER 23	1	4 WILSON	2 NEOSHO	17 CRAWFORD	
MORTON 0	STEW	/ENS	O SEWARD 8	MEADE	CLARK O	сомансне 3	BARBER	HARPER	SUMNER 13		COWLEY 10	ELK 1 CHAUTAUQUA	MONTGOMERY	LABETTE 6	13 CHEROKEE 16	

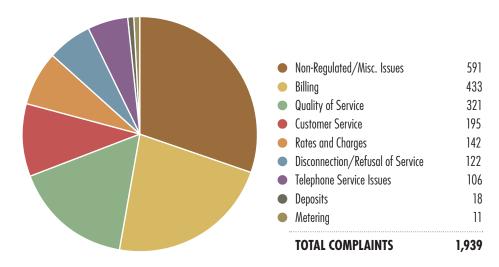
#### TOTAL COMPLAINTS BY INDUSTRY | FY21



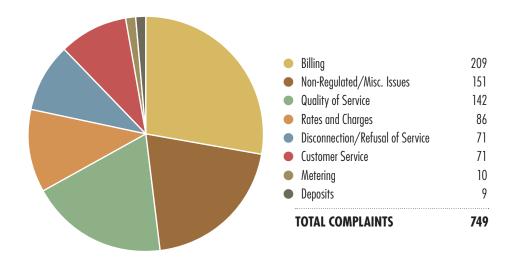
<sup>\*</sup> This includes service IDs (CLEC, LEC, IXC, VOIP, PAGER, CELL, OSP, ETC, CABLE).

<sup>\*\*</sup> Disputed amounts that KCC investigators helped return to Kansas consumers.

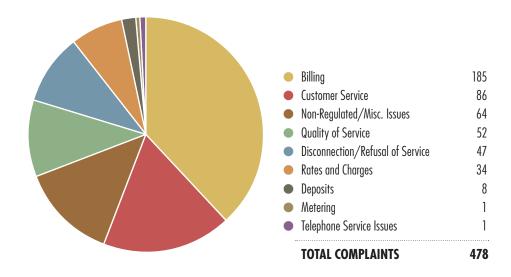
#### TOTAL COMPLAINTS BY CATEGORY | ALL INDUSTRIES COMBINED



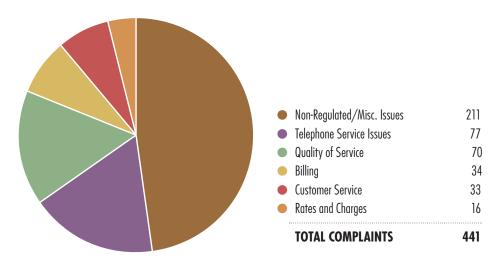
#### CONSUMER COMPLAINTS BY CATEGORY | ELECTRIC



#### CONSUMER COMPLAINTS BY CATEGORY | GAS

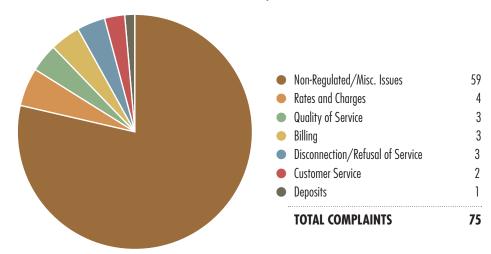


#### CONSUMER COMPLAINTS BY CATEGORY | TELECOMMUNICATIONS\*

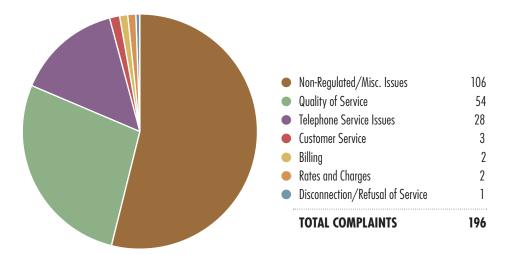


<sup>\*</sup> This includes service IDs (CLEC, LEC, IXC, VOIP, PAGER, CELL, OSP, ETC, CABLE)

#### CONSUMER COMPLAINTS BY CATEGORY | WATER

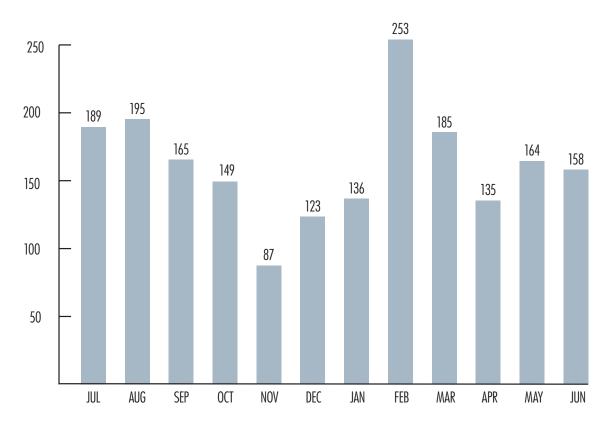


#### CONSUMER COMPLAINTS BY CATEGORY | OTHER



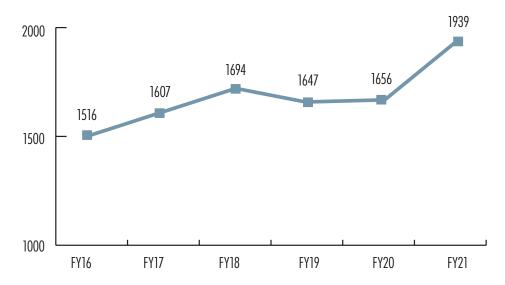
#### TOTAL CONSUMER COMPLAINTS BY MONTH | FY21

In a typical year, complaints are highest in late summer/early fall. However in FY2021, February's winter weather event resulted in a large increase as consumers dealt with controlled outages during the storm and concerns about future bills. Complaints dropped from February's high, but remained noticeably higher than the previous years from March through May.



#### TOTAL CONSUMER COMPLAINTS | FY16 - FY21

Consumer complaints trended upward in 2016, then stabilized a few years later. In FY2021, the number of complaints jumped by nearly 300 after several years of mostly small variations. Concerns over controlled electric outages due to supply issues and high costs during the February winter weather event fueled the increase.





Jeff McClanahan, Director of Utilities

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